AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Smith, Jerry E.	Fifth Circuit Court of Appeals	08/06/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2015 **to** 12/31/2015

7. Chambers or Office Address

12621 U.S. Courthouse
515 Rusk
Houston, TX 77002-2698

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Independent Executor	Estate # 1
2.	Independent Executor	Estate # 2
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2015	Lexis-Nexis Matthew Bender: writing and editing	$3,936.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2015	self-employed, political consulting
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Law Institute	7/22-25/15	Santa Fe, NM	Seminar	Transportation, lodging, and meals
2.	Federalist Society for Law & Public Policy	11/12-14/15	Washington, DC	Convention	Transportation, lodging, and meals
3.	Hofstra Law School	3/15-17/15	Garden City, NY	Convocation	transportation, lodging, and meals
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Smith, Jerry E.	08/06/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Invesco Money Market Fd -- Class AX (ACZXX)	A	Dividend	J	T					
2. 99% int. in Professional Audio-Video Corp. (see Part VIII)		None	J	T					
3. Wells Fargo Bank -- savings account	A	Interest	J	T					
4. Chase Bank -- checking account	A	Interest	J	T					
5. Compass Bank -- checking & IRA cash-equivalent	A	Interest	K	T					
6. Enterprise Bank, Brookshire, TX common stock(see Part VIII)		None	J	T					
7. Fidelity Security Life Ins. Co. -- IRA	A	Interest	J	T					
8. Templeton Growth Fd Inc. Class A (TEPLX)	A	Dividend	J	T					
9. Templeton Funds Inc. World Fd Class A (TEMWX)	A	Dividend	K	T					
10. Wells Fargo Bank -- bank deposit sweep	A	Int./Div.	J	T					
11. American Century Inter-term Tax Free Bond Fd (AXBIX)	A	Dividend	J	T					
12.									
13. JPMorgan Deposit Sweep (QCPCM)	A	Int./Div.	J	T	Buy	03/09/15	J		
14.					Sold (part)	10/28/15	J		
15.									
16. Blackrock High Yield Bd Inst'l Fd (BHYIX)	A	Dividend	J	T	Buy (add'l)	06/11/15	J		
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Smith, Jerry E.	08/06/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.									
19.									
20.									
21. Goldman Sachs Emerging Mkts. (GSDIX)	A	Dividend			Sold	06/11/15	J		
22.									
23. Deutsche X Trackers MSCI EAFE Hedged Equity (DBEF)	A	Dividend	J	T	Buy	04/07/15	J		
24.									
25. Brown Advisory Fds WMC Strategic Europn Eqi (BAFHX)	A	Dividend	J	T	Buy	10/06/15	J		
26.									
27. Wisdom Tree Japan Hedged Equity Fd (DXJ)	A	Dividend	J	T	Buy	10/08/15	J		
28.									
29. Dodge & Cox Funds Int'l Stock Fd (DODFX)	A	Dividend	J	T					
30.									
31. Blackrock Int'l Index Fd Inst'l Cl. (BRMIX)	A	Dividend	J	T	Buy (add'l)	10/06/15	J		
32. Transamerica High Yield Bond Cl. I (TDHIX)	A	Dividend			Sold	03/09/15	J		
33. JPMorgan Tr II Short-Inter Mun Bd Fd Inst'l (JIMIX)	A	Dividend	J	T					
34.									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Smith, Jerry E.	08/06/2016

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. JP Morgan Tr II High Yield Fd Select Cl (OHYFX)	A	Dividend	J	T					
36.									
37.									
38.									
39.									
40. JP Morgan Tr I Mid Cap Equity Fd Select Cl (VSNGX)	A	Dividend	J	T					
41.									
42.									
43.									
44.									
45.									
46.									
47.									
48.									
49.									
50. JP Morgan Tr I US Equity Fd Inst'l Cl (JMUEX)	A	Dividend	J	T					
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Smith, Jerry E.	08/06/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. JPMorgan Tr I Intermed Tax Free Bd Fd Inst'l Cl (JITIX)	A	Dividend	J	T					
53. JPMorgan Tr I Int'l Value Fd Inst'l Cl (JNUSX)	A	Dividend			Sold	04/08/15	J		
54. MFS Ser. Tr. X Emerging Mkt. Debt Fd Cl I (MEDIX)	A	Dividend			Sold	06/11/15	J		
55.									
56.									
57.									
58.									
59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
69.									
70.									
71.									
72.									
73. John Hancock Income Fd Cl I ((JSTIX)	A	Dividend	J	T					
74.									
75. Mainstay High Yield Corp Bd Fd Cl I (MHYIX)	A	Dividend	J	T	Buy	03/09/15	J		
76.					Buy	06/11/15	J		
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85. iShares Russell 2000 ETF (IWM)	A	Dividend			Sold	10/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
86.									
87. Oakmark Fd (OAKMX)	A	Dividend	J	T					
88. Mass. Investors Trust Cl I (MITIX)	A	Dividend	J	T					
89. MFS Research Int'l Fd Cl I (MRSIX)	A	Dividend	J	T					
90. SPDR ETF Trust (SPY)	A	Dividend	J	T	Buy (add'l)	03/06/15	J		
91.					Buy (add'l)	04/07/15	J		
92.					Sold (part)	10/08/15	J		
93.									
94.									
95.									
96.									
97.									
98. Alliance Bernstein Int'l Diversified Mun Fd A (AIDAX)	A	Dividend			Sold	08/14/15	J		
99.									
100. iShares MSCI EAFE ETF (EFA)	A	Dividend	J	T	Sold (part)	04/07/15	J		
101.									
102. PIMCO Low Duration Fd Inst'l Cl (PTLDX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000
3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Smith, Jerry E.	08/06/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
103.									
104. T Rowe Price Summit Mun Intermed Fd (PRSMX)	A	Dividend	J	T	Sold (part)	03/09/15	J		
105.									
106.									
107. Estate #1 (X)									
108. -- First United Bank, Lubbock, TX -- checking acct. (X)			M	T					
109. -- Working int., Bravo Land Co. #1 (X) (see Part VIII)	A	Royalty	J	W					
110. -- Working int., North Timber Creek (X) (see Part VIII)	B	Royalty	J	W					
111. -- Working int., CAT Federal (X) (see Part VIII)	A	Royalty	J	W					
112. -- Working int., J.T. Federal #1A (X) (see Part VIII)	A	Royalty	J	W					
113. -- Working int., J.T. Federal #3 (X) (see Part VIII)	A	Royalty	J	W					
114. -- Working int., J.T. Federal #4 (X) (see Part VIII)	B	Royalty	J	W					
115. -- Working int., J.T. Federal #5 (X) (see Part VIII)	B	Royalty	J	W					
116. -- Working int., Quest Muddy Sand Unit (X) (see Part VIII)	C	Royalty	J	W					
117. -- Working int., Leola Unit (X) (see Part VIII)	A	Royalty	J	W					
118. -- Royalty int., various leases (X) (see Part VIII)	D	Royalty	K	W					
119. -- Royalty int., various leases (X) (see Part VIII)	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Smith, Jerry E.	08/06/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
120. -- Royalty int., various leases (X) (see Part VIII)	A	Royalty	J	W					
121. -- Royalty int., City of Lubbock Unit (X) (see Part VIII)	D	Royalty	J	W					
122.									
123.									
124. Estate # 2 (X)									
125. -- First United Bank, Lubbock, TX -- checking acct. (X)			J	T					
126.									
127. -- Working int., Bravo Land Co. #1 (X) (see Part VIII)	A	Royalty	J	W					
128. -- Working int., North Timber Creek (X) (see Part VIII)	B	Royalty	J	W					
129. -- Working int., CAT Federal (X) (see part VIII)	A	Royalty	J	W					
130. -- Working int., J.T. Federal #1A (X) (see Part VIII)	A	Royalty	J	W					
131. -- Working int., J.T. Federal #3 (X) (see Part VIII)	A	Royalty	J	W					
132. -- Working int., J.T. Federal #4 (X) (see Part VIII)	B	Royalty	J	W					
133. -- Working int., J.T. Federal #5 (X) (see Part VIII)	B	Royalty	J	W					
134. -- Working int., Quest Muddy Sand Unit (X) (see Part VIII)	C	Royalty	J	W					
135. -- Working int., Leola Unit (X) (see Part VIII)	A	Royalty	J	W					
136. -- Royalty int., various leases (X) (see Part VIII)	D	Royalty	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Smith, Jerry E.	08/06/2016

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
137. -- Royalty int., various leases (X) (see Part VIII)	A	Royalty	J	W					
138. -- Royalty int., various leases (X) (see Part VIII)	A	Royalty	J	W					
139. -- Royalty int., City of Lubbock Unit (X) (see Part VIII)	D	Royalty	J	W					
140.									
141.									
142.									
143.									
144.									
145.									
146.									
147.									
148.									
149.									
150.									
151.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2: Victoria, TX (asset: video production equipment)

Part VII, lines 115 & 134: Duval County, TX; Payor: Chroma Operating, Inc., Houston, TX

Part VII, lines 116 & 135: Campbell County, WY; Payor: Eighty-Eight Oil LLC, Casper, WY

Part VII, lines 117 & 136: Campbell County, WY; Payor: Encana Oil & Gas, Inc., Denver, CO

Part VII, lines 118 & 137: Campbell County, WY; Payor: Encana Oil & Gas, Inc., Denver, CO

Part VII, lines 119 & 138: Campbell County, WY; Payor: Encana Oil & Gas, Inc., Denver, CO

Part VII, lines 120 & 139: Campbell County, WY; Payor: Encana Oil & Gas, Inc., Denver, CO

Part VII, lines 121 & 140: Campbell County, WY; Payor: Encana Oil & Gas, Inc., Denver, CO

Part VII, lines 122 & 141: Weson County, WY; Payors: Eighty-Eight Oil LLC, Casper, WY; Maxim Drilling & Exploration, Gillette, WY; Plains Marketing, L.P., Houston, TX

Part VII, lines 123 & 142: Campbell County, WY; Payor: Encana Oil & Gas, Inc., Denver, CO

Part VII, lines 124 & 143: La Plata County, CO; Payor: BP America Production Co., Tulsa, OK

Part VII, lines 125 & 144: Caddo County, OK; Payor: Mustang Fuel Corp., Oklahoma City, OK

Part VII, lines 126 & 145: La Plata County, CO; Payor: Red Willow Production Co., Ignacio, CO

Part VII, lines 121 & 140: Lubbock County, TX; Payor: Texland Petroleum, L.P., Fort Worth, TX

Name of Person Reporting	Date of Report
Smith, Jerry E.	08/06/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jerry E. Smith**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544