

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwell, Robert B.	2. Court or Organization U.S. District Court of S.C.	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 401 West Evans Street McMillan Federal Bldg Florence, SC 29501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Officer/Director	Harwell Farms and Investment, Inc.
2.	Trustee	Trust #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Charles Schwab (sweep acct)	A	Interest	M	T					
2. Wells Fargo & Co Common Stock (and former Wachovia Bank Stock)	A	Dividend			Sold	11/21/12	J	A	
3. Autoliv Inc. Common Stock	A	Dividend	J	T					
4. The Bank of Clarendon (CD)	B	Interest	M	T					
5. First Federal (CD)	B	Interest	M	T					
6. Citizens Bank (CD)	A	Interest	M	T					
7. Anderson Brothers Bank (CD)	B	Interest	M	T					
8. Conway National Bank (CD)	B	Interest	M	T					
9. Carolina Bank (CD)	A	Interest			Matured	11/25/12	M	A	
10. Carolina Bank account (MMA) (X)	A	Interest	M	T					
11. First Federal account (MMA) (X)	A	Interest	M	T					
12. Bank of America Account (MMA) (X)	A	Interest	K	T					
13. BB&T Bank (various accts)	B	Interest	N	T					
14. Harwell Farms & Investment 12 1/2% 12/31/04 compiled \$31M	A	Dividend	K	U					
15. PCDG, LLC (10%) 1998 & 2000 \$32,000		None	K	R					
16. Charles Schwab IRA #1	D	Dividend	M	T					Sold funds 12/04/12
17. -Charles Schwab Cash Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Charles Schwab IRA#2	D	Dividend	N	T					See Note 2
19. -Charles Schwab Cash Fund									
20. Charles Schwab IRA#3	C	Dividend	K	T					See Note 2
21. -Charles Schwab cash fund									
22. Trust #1	A	Dividend	M	T					
23. -Cash Fund-Charles Schwab									
24. -BB&T Corp Common Stock					Sold	11/21/12	L	C	
25. -Vanguard short-term treasury									
26. Berkeley Co SC Zero 6/1/12	A	Interest			Matured	06/01/12	L	A	
27. Piedmont Mun. Power Zero 1/1/13	A	Interest	J	T					
28. Piedmont Mun Power Zero 1/1/15	B	Interest	K	T	Distributed (part)	11/01/12	K		
29. Piedmont Mun Power Zero 1/1/13(X)	A	Interest	J	T	Spinoff (from line 28)				See Note 1
30. Three Rivers Solid Zero 1/1/12	B	Interest			Matured	01/01/12	K	A	
31. Three Rivers Solid Zero 1/1/13	B	Interest	K	T					
32. Dimensional Fund Advisors Tax Man US Mktwde	A	Dividend	K	T					
33. Rental Prop North Myrtle Beach, SC (2003, \$238,600)		None			Sold	04/16/12	N	A	D.S.&K Construction, Inc.
34. David W. Harwell & Sons Prop (Cherry Grove, SC) 50% (\$243,000)		None	M	U					Gifted add'l 1% Relative

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -on 2004 tax return)									
36. College Bound Fund #1, Alliance Bernstein Mutual Funds	A	Dividend	J	T					
37. -CBF Appreciation Port Alt Bx									
38. College Bound Fund #2, Alliance Bernstein Mutual Funds	A	Dividend	J	T					
39. -CBF Appreciation Port Alt BX									
40. SC Future Scholar Plan #1, River Source Fund Dist Inc	B	Dividend	J	T					
41. -Bond Port-A									
42. -Large Cap Core Port-A									
43. -Mid Cap Growth Port-A									
44. -Small Cap Growth Port-A									
45. SC Future Scholar Plan #2, River Source Fund Dist Inc	B	Dividend	J	T					
46. -Bond Port-A									
47. -Large Cap Core Port-A									
48. -Mid Cap Grow Port-A									
49. -Small Cap Growth Port-A									
50. Claussen Farms, Florence, SC (1999 - \$125,000)		None	M	R					
51. Cypress Bend, Florence, SC (2006 \$70,000)		None			Sold	07/20/12	L	A	Rick L. Beasley

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52. SC Tuition Prepayment Program #1 (no control)		None	K	T					
53. SC Tuition Prepayment Program #2 (no control)		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note #1 Reorganized issue Partial Call prorata

Note #2 Liquidated Mutual Funds and did not withdraw

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert B. Harwell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544