

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lynch, Sandra L.	2. Court or Organization U.S. Court of Appeals, 1st Cir	3. Date of Report 08/02/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 1 Courthouse Way, Suite 8710 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Arizona State University	January 26-28, 2017	Phoenix, AZ	Attend a non-Federal Judicial Center event	Travel and Hotel expenses
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Mass Muni MM	A	Dividend	L	T					
2. Bank of America	A	Interest	K	T					
3. ROLLOVER IRA (H)									
4. - Fidelity Disciplined Equity	A	Dividend	J	T					
5. - Fidelity Government Cash Reserves Fund	A	Interest	K	T					
6. Fidelity Investments Core Cash	A	Interest	K	T					
7. TRADITIONAL IRA (H)									
8. - Fidelity Low Priced Stock	D	Dividend	L	T	Sold (part)	04/21/17	J	B	
9.					Sold (part)	12/04/17	J	C	
10. - Fidelity Capital and Income	A	Dividend	K	T	Sold (part)	04/21/17	J	B	
11.					Sold (part)	12/04/17	J	A	
12. - Fidelity Invest Grade	D	Dividend	M	T	Buy (add'l)	03/09/17	J		
13.					Buy (add'l)	04/21/17	J		
14.					Buy (add'l)	05/23/17	J		
15.					Buy (add'l)	08/10/17	J		
16.					Buy (add'l)	10/27/17	J		
17.					Sold (part)	12/04/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18.					Buy (add'l)	12/13/17	J		
19. - Fidelity Blue Chip Growth		None			Sold (part)	04/21/17	J	B	
20.					Sold	08/10/17	L	E	
21. - Fidelity Advisor Strategic Inc CL I	A	Dividend	J	T	Sold (part)	04/21/17	J	A	
22.					Sold (part)	12/04/17	J	A	
23. - Fidelity Total Bond	D	Dividend	M	T	Buy (add'l)	03/09/17	J		
24.					Buy (add'l)	04/21/17	J		
25.					Buy (add'l)	05/23/17	J		
26.					Buy (add'l)	08/10/17	J		
27.					Buy (add'l)	10/26/17	J		
28.					Sold (part)	12/04/17	J	B	
29. - Fidelity Growth Company	C	Dividend	L	T	Sold (part)	03/09/17	J	B	
30.					Sold (part)	04/21/17	J	A	
31.					Buy (add'l)	08/10/17	K		
32.					Sold (part)	12/04/17	J	B	
33. - Fidelity Advisor High Income CL I	A	Dividend	J	T	Sold (part)	12/04/17	J	A	
34. - Fidelity Short Term Bond	A	Dividend	K	T	Buy (add'l)	05/23/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold (part)	08/10/17	J	A	
36.					Sold (part)	12/04/17	J	A	
37.	- Strategic ADV Int'l II FD (formerly Strategic ADV US Oppty II)	C	Dividend	M	T	Sold (part)	02/02/17	J	C
38.					Buy (add'l)	04/21/17	J		
39.					Sold (part)	05/23/17	J	C	
40.					Sold (part)	08/10/17	J	C	
41.					Sold (part)	10/26/17	J	C	
42.					Sold (part)	12/04/17	J	C	
43.	-Fidelity Equity Income	A	Dividend			Sold (part)	04/21/17	J	A
44.					Sold	08/10/17	K	E	
45.	-Fidelity Emerging Markets	A	Dividend	L	T	Sold (part)	04/21/17	J	A
46.					Sold (part)	10/26/17	J	A	
47.					Sold (part)	12/04/17	J	A	
48.	-Fidelity Stock Selector All Cap Fd	B	Dividend	M	T	Sold (part)	03/09/17	J	A
49.					Sold (part)	04/07/17	J	A	
50.					Sold (part)	04/21/17	J	A	
51.					Sold (part)	08/10/17	J	A	

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52.					Sold (part)	12/04/17	J	B	
53.					Sold (part)	12/13/17	J	A	
54. -Fidelity Focused High Income Fund	A	Dividend			Sold (part)	02/02/17	J	A	
55.					Sold	10/26/17	J	D	
56. -Fidelity Stock Selector Small Cap	B	Dividend	K	T	Sold (part)	04/21/17	J	A	
57.					Sold (part)	12/04/17	J	A	
58. -Fidelity Mega Cap Stock Fd		None			Sold (part)	03/09/17	K	D	
59.					Sold (part)	04/07/17	K	D	
60.					Sold	04/21/17	K	D	
61. -Fidelity Real Estate Income	B	Dividend	K	T	Sold (part)	04/21/17	J	A	
62.					Sold (part)	12/04/17	J	A	
63. -Fidelity Floating Rate High Income	A	Dividend	K	T	Sold (part)	12/04/17	J	A	
64. -Fid Advisor Stock Selectr Mid Cap CL I	A	Dividend	K	T	Sold (part)	04/21/17	J	A	
65.					Sold (part)	12/04/17	J	A	
66. -Fidelity Conservativ Income Bond FD CL I	A	Dividend	K	T	Buy (add'l)	02/02/17	J		
67.					Buy (add'l)	05/23/17	J		
68.					Sold (part)	08/10/17	J	A	

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69.					Sold (part)	12/04/17	J	A	
70. -Fid Large Cap Value Enhanced Index	C	Dividend	L	T	Sold (part)	04/21/17	J	A	
71.					Buy (add'l)	08/10/17	J		
72.					Sold (part)	12/04/17	J	A	
73. -Fidelity Contrafund	C	Dividend	K	T	Sold (part)	04/21/17	J	A	
74.					Sold (part)	12/04/17	J	A	
75. -Fidelity Value Discovery	B	Dividend	L	T	Sold (part)	04/21/17	J	A	
76.					Buy (add'l)	08/10/17	J		
77.					Buy (add'l)	08/11/17	J		
78.					Buy (add'l)	10/26/17	J		
79.					Sold (part)	12/04/17	J	A	
80. -Fidelity SAI U.S. Large CAP Index	A	Dividend	K	T	Buy (add'l)	08/10/17	J		
81.					Sold (part)	12/04/17	J	A	
82. -Fidelity SAI International Index	A	Dividend	K	T	Buy (add'l)	08/10/17	J		
83.					Buy (add'l)	10/26/17	J		
84.					Sold (part)	12/04/17	J	A	
85. -Fidelity SAI Emerg Markets Index	B	Dividend	K	T	Sold (part)	12/04/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. -Fidelity SAI U.S. Quality Index Fund	C	Dividend	L	T	Sold (part)	04/21/17	J	A	
87.					Buy (add'l)	08/10/17	J		
88.					Sold (part)	12/04/17	J	A	
89.					Sold (part)	12/13/17	J	B	
90. -Fidelity Small Cap Value	A	Dividend	K	T	Sold (part)	12/04/17	J	A	
91. -Fidelity Select Natural Resources	A	Dividend	K	T	Buy (add'l)	08/10/17	J		
92.					Sold (part)	12/04/17	J	A	
93. -Fidelity Inflat-port BD Index Instl Perm	A	Dividend	K	T	Buy (add'l)	02/02/17	J		
94.					Buy (add'l)	03/09/17	J		
95.					Sold (part)	04/21/17	J	A	
96.					Sold (part)	12/04/17	J	A	
97. -FIMM Government Portfolio: Instl CL	A	Dividend	K	T	Buy (add'l)	02/02/17	J		
98.					Sold (part)	02/14/17	J	A	
99.					Sold (part)	05/30/17	J	A	
100.					Buy (add'l)	08/10/17	J		
101.					Sold (part)	08/21/17	J	A	
102.					Sold (part)	11/20/17	J	A	

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103.					Sold (part)	12/04/17	J	A	
104.					Buy (add'l)	12/13/17	J		
105. -Fidelity Large Cap Stock	C	Dividend	M	T	Buy	03/09/17	K		
106.					Buy (add'l)	04/07/17	K		
107.					Buy (add'l)	04/21/17	K		
108.					Buy (add'l)	04/24/17	J		
109.					Sold (part)	05/23/17	J	A	
110.					Buy (add'l)	08/10/17	K		
111.					Sold (part)	12/04/17	J	A	
112. - Fidelity Government Cash Reserves Fund(X)	A	Interest	J	T					
113. Esplanade Capital Ptnrs I LLC (See note section VIII)	C	Dividend	N	U					
114. PAS BROKERAGE ACCOUNT(H)									
115. - Fidelity Municipal Income	A	Dividend	K	T					
116. - Fidelity Limited Term Muni Income	A	Dividend	J	T	Sold (part)	04/10/17	J	A	
117. - Fidelity Government Cash Reserves Fund(X)		None	K	T					
118. -Deutsch Strategic High Yld Tax S	A	Dividend	K	T	Buy (add'l)	02/10/17	J		
119.					Sold (part)	04/10/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Lynch, Sandra L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/07/17	J		
121.					Buy (add'l)	12/21/17	J		
122. -Deutsche Managed Muni Bond FD S	A	Dividend	J	T					
123. -Fidelity Advisor Int'l Discovery CL I	A	Dividend	J	T					
124. -Fidelity Real Estate Investment		None			Sold	02/01/17	J	C	
125. -Fidelity Capital & Income	A	Dividend	J	T	Sold (part)	04/10/17	J	A	
126. -Champlain Small Co. Fund Adv CL Conf	A	Dividend	J	T					
127. -Allianz NFJ Small Cap Val Admin Class	A	Dividend	J	T					
128. -Artisan Intl Value Fund Inv CL	A	Dividend	J	T					
129. -Baron Growth	A	Dividend	J	T					
130. -William Blair Int'l Growth Class N	A	Dividend	J	T					
131. -JPMorgan Mid-Cap Value Fn Select CL	A	Dividend	J	T					
132. -Hartford Growth Opportunity Class A	B	Dividend	J	T					
133. -Hotchkiss & Wiley Mid Cap Value CL A	A	Dividend	J	T					
134. -Mainstay Lg Cap Grwth Fund Class A	A	Dividend	J	T					
135. -T Rowe Price Equity Income Advisor CL	A	Dividend	J	T					
136. -Templeton Global Bond Class A	A	Dividend	J	T	Buy (add'l)	02/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	08/29/17	J		
138. -Fidelity Mass Muni Income	B	Dividend	K	T	Buy (add'l)	02/01/17	J		
139.					Sold (part)	04/10/17	J	A	
140.					Buy (add'l)	11/07/17	J		
141.					Buy (add'l)	12/07/17	J		
142.					Buy (add'l)	12/21/17	J		
143. -Allianceber Muni Inc II Mass Cl A (Also called AB Muni Income)	A	Dividend	J	T					
144. -JPMorgan US Equity FD Sel Cl	A	Dividend	J	T					
145. -MFS Int'l Diversification Fd A	A	Dividend	J	T					
146. -Causeway Int'l Value Investor	A	Dividend	J	T					
147. -MFS Int'l Val Fd Cl A	A	Dividend	J	T					
148. -MFS Muni Mass Cl A	A	Dividend	J	T					
149. -MFS Research Int'l Cl A	A	Dividend	J	T					
150. -Primecap Odyssey Growth	A	Dividend	J	T					
151. -T. Rowe Price Tax Free Inc Adv	A	Dividend	J	T					
152. -T. Rowe Price Tax Free Short Inter	A	Dividend	J	T					
153. -SPDR S&P 500 ETF	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Lynch, Sandra L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -iShares Russell 1000 Value ETF (name change)	A	Dividend	K	T	Buy (add'l)	12/08/17	J		
155.					Buy (add'l)	12/22/17	J		
156. -iShares Russell 1000 Growth ETF (name change)	A	Dividend	K	T					
157. -Sector Spdr Tr Shs Ben Int Financial	A	Dividend	J	T					
158. -Transamerica Intl Equity CL I		None	J	T	Sold (part)	04/10/17	J	A	
159.					Sold (part)	08/29/17	J	A	
160.					Buy (add'l)	12/21/17	J		
161. -iShares Core S&P Small-cap ETF	A	Dividend	J	T					
162. -Fidelity Emerging Markets	A	Dividend	J	T					
163. -Acadian emerging Markets Port Instl	A	Dividend	J	T					
164. -Janus Enterprise Fund Class	A	Dividend	J	T	Buy (add'l)	02/01/17	J		
165. -iShares Russell 1000 ETF	A	Dividend	J	T					
166. -ISHARES TR S&P Small Cap 600 Growth ETF (name correction)	A	Dividend	J	T					
167. -Vanguard Index Fds Vanguard Value	A	Dividend	J	T					
168. -Causeway Emerging MRKTS FD Inv CL	A	Dividend	J	T	Buy (add'l)	02/01/17	J		
169. -Franklin Convertible Securities Advisor	A	Dividend	J	T	Buy (add'l)	02/01/17	J		
170. -T Rowe Price Overseas Stock I CL	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Lynch, Sandra L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -T Rowe Price Emerg Markets Stock Fund I	A	Dividend	J	T					
172. -T Rowe Price International STK I	A	Dividend	J	T	Buy (add'l)	02/01/17	J		
173.					Sold (part)	04/10/17	J	A	
174. -Wasatch Frontier Emerging Small Co's		None			Sold (part)	02/01/17	J	A	
175.					Sold	04/10/17	J	A	
176. -Blackrock High Yld BD Port CL K	A	Dividend			Sold	04/10/17	J	A	
177. -Ishares S&P Small CAP 600 Value	A	Dividend	J	T					
178. -Mainstay Tax Free Bond Class A	A	Dividend	J	T	Buy (add'l)	08/29/17	J		
179.					Buy (add'l)	04/10/17	J		
180. -Wells Fargo Ultra SHT Muni Inc ADM	A	Dividend	K	T	Buy (add'l)	02/10/17	J		
181.					Buy (add'l)	04/10/17	J		
182.					Buy (add'l)	11/07/17	J		
183.					Buy (add'l)	12/07/17	J		
184.					Buy (add'l)	12/21/17	J		
185. -Fidelity Government Cash Reserves Fund	A	Interest	J	T					
186. -Flexshares Trust Morningstar Global Upstream Nat Res IDX	A	Dividend	J	T	Buy (add'l)	02/03/17	J		
187.					Sold (part)	06/21/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Lynch, Sandra L.

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08/02/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Ishares TR EAFE Smal CP ETF	A	Dividend	J	T					
189. -Ishares Inc Core MSCI Emerging Mkts	A	Dividend	J	T					
190. -Eaton Vance Income Fund Of Boston CL A	A	Dividend			Sold	04/10/17	J	A	
191. -Fidelity Large Cap Stock	A	Dividend	J	T	Buy	02/01/17	J		
192. -MS Frontier Emerging Markets Fund CL I	A	Dividend	J	T	Buy	02/01/17	J		
193. -Ishares Russell Midcap Value ETF	A	Dividend	J	T	Buy	12/08/17	J		
194.					Buy (add'l)	12/22/17	J		
195. -SPDR Index SHS FDs S&P Global Nat Res ETF		None	J	T	Buy	06/21/17	J		
196. IRA ROLLOVER ACCT NO 2(H)									
197. -Fidelity Government Cash Reserves Fund	A	Interest	J	T					
198. IRA- 3(H)	A	Interest	J	T					
199. -Schwab US Treas Money Fund		None			Merged (with line 201)	01/01/17	J		
200. SI INVEST ACCOUNT (IRA) (H)									
201. -Schwab US Treas Money Fund	C	Interest	N	T					
202. -American Express	B	Dividend	L	T					
203. -Apple Inc Com	C	Dividend	M	T					
204. -Capital One Finl Corp Com	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Lynch, Sandra L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Caterpillar Inc Del	C	Dividend	M	T					
206. -Cognizant Tech Solutions Cl A		None			Sold	09/05/17	M	F	
207. -Emerson Electric	B	Dividend	L	T					
208. -Exxon Mobil Corp	C	Dividend	L	T					
209. -Goldman Sachs Group Com	B	Dividend	M	T					
210. -Iberiabank Corp Com	A	Dividend	K	T					
211. -Invesco Ltd Shs	B	Dividend	L	T					
212. -J P Morgan Chase & Co	C	Dividend	M	T					
213. -Mondelez Intl Inc Cl A	B	Dividend	L	T					
214. -Oracle Corp	B	Dividend	L	T					
215. -Qualcomm Inc Com		None			Sold	05/03/17	K	A	
216. -Roche Hldg Ltd Sponsored Adr	B	Dividend	L	T					
217. -Salesforce Com Inc Com		None	M	T					
218. -Schlumberger	B	Dividend	L	T					
219. -Siemens A G Sponsored Adr	B	Dividend	L	T					
220. -Thermo Fisher Scientific Inc	A	Dividend	L	T					
221. -Verizon Communications Com	C	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Lynch, Sandra L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Alphabet Inc. CL A		None	L	T					
223. -Alphabet Inc. CL C		None	M	T					
224. -Coca-Cola Company	B	Dividend	L	T					
225. -Diageo PL C Spon ADR new	B	Dividend	L	T					
226. -Gilead Sciences Inc Com	B	Dividend	L	T					
227. -Johnson & Johnson Com	B	Dividend	L	T					
228. -Pfizer Inc	B	Dividend	K	T					
229. -Occidental Pete Corp		None			Sold	12/18/17	K	A	
230. -Broadcom Ltd Shs	C	Dividend	M	T					
231. -Wal Mart Stores	B	Dividend	L	T	Buy	07/13/17	K		
232. First Republic Bank	A	Interest	K	T					
233. Adams Moutain LLC(Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Investment Limited partnership income whether passive or nonpassive is disclosed as "dividend" income.

Part VII - All investments are publicly traded common stocks or mutual funds unless otherwise noted.

Part VII - Esplanade Capital pturs I LLC is a non-publically trade LLC. The net income from the investment is reported as dividend.

Part VII - Line 112 is a cash reserve account. Report is amended to reflect proper fund name.

Part VII - Line 117 is a cash reserve account. Report is amended to reflect proper fund name.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sandra L. Lynch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544