

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCNAMEE, STEPHEN M.	2. Court or Organization US DISTRICT COURT OF ARIZONA	3. Date of Report 08/04/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE SENIOR STATUS - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address SANDRA DAY O'CONNOR US COURTS 401 WEST WASHINGTON STREET SPC 60 PHOENIX AZ 85003-2158		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	MEMBER	UNIVERSITY OF ARIZONA COLLEGE OF LAW BOARD OF VISITORS
2.	MEMBER	UNIVERSITY OF ARIZONA LAW COLLEGE ASSOCIATION
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	STATE OF ARIZONA TAX REFUND	\$5,047.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	ST LUKES HEALTH INITIATIVE - SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MERRILL LYNCH JOINT MASTER ACCOUNT	A	Int./Div.	K	T					
2. IBM COMMON STOCK)	A	Dividend	J	T					
3. INTERNATIONAL RECTIFIER COMMON STOCK		None	J	T					
4. FAIRFIELD ESTATES FLAGSTAFF AZ		None	J	W					
5. MARRIOT CANYON VILLAS		None	J	W					
6. ST LUKES 403(B)7 (H)	D	Dividend	O	T					
7. -VANGUARD 500 INDEX FD									
8. WELLS FARGO CKG	A	Interest	J	T					
9. US BANK CKG #1	A	Interest	J	T					
10. US BANK CKG #2	A	Interest			Closed	03/14/14	J		
11. US BANK SVGS	A	Interest	K	T					
12. IRA - US BANK PHOENIX AZ - CD	A	Interest	J	T					
13. IRA - US BANK PHOENIX AZ - CD	A	Interest	J	T					
14. MERRILL LYNCH - IRA #1 (H)	B	Int./Div.	K	T					
15. - BANK OF AMERICA, NA (FORMERLY FIA CARD SVCS)									
16. - AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 (AHIFX)									
17. - ISHARES AGENCY BOND FD (AGZ)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - SPDR BARCLAYS 1-10 YEAR TIPS ETF (TIPX)									
19. - ISHARES 1-3 YEAR CREDIT BOND ETF (CSJ)									
20. MERRILL LYNCH - IRA #2 (H)	C	Int./Div.	M	T					
21. - BANK OF AMERICA, NA (FORMERLY FIA CARD SVCS)									
22. - PWRSHARES ETF DYNAMIC LEISURE (PEJ)					Sold (part)	05/12/14	J	A	
23.					Sold (part)	07/03/14	J	C	
24.					Buy (add'l)	11/18/14	J		
25. - PWRSHARES DYNAMIC PHARM (PJP)					Sold (part)	05/12/14	J	A	
26.					Sold (part)	07/03/14	J	C	
27.					Buy (add'l)	11/18/14	J		
28. - PWRSHARES DYNAMIC BLDG AND CONS (PKB)					Buy (add'l)	05/12/14	J		
29.					Sold (part)	07/03/14	J	B	
30.					Sold	11/18/14	K	C	
31. - POWERSHARES DWA HLTHCARE (FORMERLY PWRSHARES ETF) (PTH)					Sold	05/12/14	K	D	
32. - POWERSHARES DWA HLTHCARE (FORMERLY PWRSHARES ETF) (PTH)					Buy	11/18/14	K		
33. - PWRSHARES NASDAQ INT (PNQI)					Sold (part)	05/12/14	J	B	
34.					Sold (part)	07/03/14	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	11/18/14	J		
36. - SPDR INDEX SHS FDS (IPS)					Buy (add'l)	05/12/14	J		
37.					Sold (part)	07/03/14	J	A	
38.					Sold	10/06/14	J	B	
39. - SPDR INDEX SHS FDS (IPD)					Sold (part)	05/12/14	J	A	
40.					Sold (part)	07/03/14	J	B	
41.					Buy (add'l)	11/18/14	J		
42. - SPDR INDEX SHS FDS (IPN)					Sold (part)	05/12/14	J	A	
43.					Sold (part)	07/03/14	J	A	
44.					Buy (add'l)	11/18/14	J		
45. - VANGUARD MID-CAP VAL IDX (VOE)					Sold (part)	05/12/14	J	A	
46.					Sold (part)	07/03/14	J	B	
47.					Buy (add'l)	11/18/14	J		
48. - WISDOMTREE BRAZILIAN REAL FD (BZF)					Buy	08/29/14	J		
49.					Sold	11/18/14	J	A	
50. - VANGUARD EXTD MKT EFT (VXF)					Sold (part)	05/12/14	J	A	
51.					Sold (part)	07/03/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	11/18/14	J		
53. - WISDOMTREE CHINESE YUAN (CYB)					Sold	01/13/14	J	A	
54. - CURRENCY SHARES EURO (FXE)					Buy (add'l)	05/12/14	J		
55.					Sold (part)	07/03/14	J	A	
56.					Sold	08/29/14	J	A	
57. - POWERSHARES ETF TR DYNAMIC MEDIA (PBS)					Buy (add'l)	05/12/14	J		
58.					Sold (part)	07/03/14	J	A	
59.					Buy (add'l)	11/18/14	J		
60. -VANGUARD SMALL CAP GROWTH (VBK)					Sold (part)	07/03/14	J	A	
61.					Buy (add'l)	11/18/14	J		
62. - VANGUARD SMALL CAP PER (VB)					Sold (part)	05/12/14	J	A	
63.					Sold (part)	07/03/14	J	A	
64.					Buy (add'l)	11/18/14	J		
65. - POWERSHARES ETF DYNAMIC BIOTECH (PBE)					Buy (add'l)	05/12/14	J		
66.					Sold (part)	07/03/14	J	B	
67.					Sold (part)	11/18/14	J	A	
68. - CURRENCYSHARES BR ETF (FXB)					Buy (add'l)	05/12/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69.					Sold (part)	07/03/14	J	A	
70.					Sold	11/18/14	J	A	
71. - CURRENCYSHARES SWISS FRANC (FXF)					Buy	01/13/14	J		
72.					Buy (add'l)	05/12/14	J		
73.					Sold (part)	07/03/14	J	A	
74.					Sold	08/29/14	J	A	
75. - POWERSHARES TC WILDER HILL (PBW)					Buy	05/12/14	K		
76.					Sold	05/20/14	K	A	
77. - POWERSHARES ETF TR AERO & DEF (PPA)					Buy	05/20/14	K		
78.					Sold (part)	07/03/14	J	A	
79.					Buy (add'l)	11/18/14	J		
80. - POWERSHARES DB G CURR (DBV)					Buy	08/29/14	J		
81.					Sold	11/18/14	J	A	
82. - SPDR INDEX SHS FDS (IRY)					Buy	10/06/14	J		
83.					Buy (add'l)	11/18/14	J		
84. MERRILL LYNCH - IRRR #1 (H)	C	Int./Div.	M	T					
85. -BANK OF AMERICA, NA (FORMERLY FIA CARD SVCS)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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86. - EATON VANCE FLOATING RATE FUND (EIBLX)									
87. - ISHARES BARCLAY AGENCY BOND FUND (AGZ)									
88. - PIMCO FOREIGN BOND FUND (PFBPX)									
89. - SPDR BARCLAYS 1-10 YEAR TIPS ETF (TIPX)									
90. - ALPS ALERIAN MLP ETF (AMLP)									
91. - ISHARES 1-3 YEAR CREDIT BOND ETF (CSI)									
92. - FIDUCIARY/CLAY MLP OPP FD (FMO)					Buy	07/10/14	K		
93. - VANGUARD MSCI EUROPEAN (VGK)					Buy	07/10/14	K		
94. - SPDR BARCLAYS CONVERTIBLE (CWB)					Buy	07/10/14	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ STEPHEN M. MCNAMEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544