

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Urbanski, Michael F.	2. Court or Organization Virginia - Western District	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 210 Franklin Road, SW, Room 350 Roanoke, VA 24011-2208		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Family Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Part-Time Employee - [REDACTED] self-employed gardener [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Virginia CLE	01/04/2015-01/08/2015	Charlottesville, VA	National Trial Advocacy College (teaching/mock trial judging)	Meals, Lodging
2. Virginia CLE	12/01/2015-12/02/2015	Staunton, VA	CLE (teaching)	Meals, Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank (accounts)	A	Interest	M	T					
2. Grayson Bankshares, Inc. GSON - Common Stock	A	Dividend	K	U					
3. Shenandoah Life Insurance Co.- Whole Life	A	Int./Div.	J	T					
4. MetLife Policyholder Trust	A	Dividend	J	T					
5. Real Estate/ Carroll Co., VA (1/2 int.)(see Part VIII)		None	L	S					
6. AXA Equitable IRA/Multimanager Aggressive Equity Fund	A	Dividend	J	T					
7. Northwestern Mutual - Ordinary Life	B	Dividend	K	T					
8. Northwestern Mutual - Custom CompLife	C	Dividend	K	T					
9. Northwestern Mutual - Term to Age 65	B	Dividend	K	T					
10. Northwestern Mutual - Whole Life	B	Dividend	K	T					
11. Northwestern Mutual - Adjustable CompLife		None	J	T	Buy	08/09/15	J		
12. USAA Subscriber's Account *	A	Distribution	J	T					
13. USAA Life Ins. Co. Annuity *	D	Interest	N	T					
14. USAA Life Ins. Co. Annuity *	A	Interest	J	T	Sold (part)	11/19/15	J	A	
15. USAA Life Ins. Co. Annuity *	A	Interest	K	T	Sold (part)	07/28/15	J	B	
16. Wells Fargo Advisors Cash Account *	A	Interest	J	T					
17. Invnt Mngrs Ctr Coast Ins CCCNX *	C	Dividend	K	T	Sold (part)	10/29/15	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Federated Strt Flu Instl SVAIX *	D	Dividend	L	T	Buy (add'l)	10/29/15	K		
19.					Sold (part)	12/21/15	J		
20. Goldman Sachs Satlft Prt GXSIX *	A	Dividend			Sold (part)	02/25/15	J	A	
21.					Sold (part)	03/25/15	J		
22.					Sold	06/25/15	K		
23. Ivy High Income Fd 1 IVHIX *	A	Dividend			Sold	06/25/15	K		
24. Legg Mason Clrbrdg Agg 1 SAGYX *	B	Distribution	L	T					
25. Lord Abbett Intl Div Incm Fd C1 LIDFX *	A	Dividend			Sold	06/25/15	K		
26. Thornburg Dev. Wrld Inst THDIX *	A	Dividend			Sold (part)	09/25/15	J		
27.					Sold	10/29/15	K		
28. Touchstone Mid Cap Inst. TMCPX *	A	Dividend	K	T					
29. CUNA Mutual Members Variable Annuity *	C	Interest	M	T					
30. Ist Advantage Federal Credit Union - Accounts *	A	Dividend	K	T					
31. American General Life Insurance - Whole Life *	A	Interest	J	T					
32. Invesco High Yield Mun. Fd CL Y ACTDX *	A	Dividend	K	T	Buy	06/25/15	K		
33. Am Pension Invs Tr Eff Frutr Instl APIIX *	A	Dividend	K	T	Buy	06/26/15	K		
34. Henderson Global Equity Income CL 1 HFQIX *	A	Dividend	K	T	Buy	06/25/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other		W = Estimated	
	U = Book Value				

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35.					Buy (add'l)	10/29/15	K		
36. Undiscovered Mgrs Beh Value IUBVLX*	A	Dividend	K	T	Buy	06/26/15	K		
37.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts, Line 5. One-half interest in real estate in Carroll County, Virginia. The assessed value of the entire parcel is \$162,300.
Part VII Investments and Trusts, Lines 12-36. All of these assets, indicated with an asterisk, are owned by Family Trust #1, of which I am a co-trustee.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael F. Urbanski**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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