

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harris, Patricia S.	2. Court or Organization United States District Court, Eastern District of Arkansas	3. Date of Report 06/29/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Courthouse 500 W. Capitol Avenue, Suite C163 Little Rock, AR 72201-3325		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

self employed writer

2. 2016

Arkansas Game & Fish Commission

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. rental property/studio apartment located in Little Rock, Arkansas	D	Rent	K	W					
2. American Funds The Bond Fund of America Class A	A	Int./Div.			Sold	01/19/16	J	A	
3. American Funds Capitol Income Bldr Fund Class A	A	Int./Div.			Sold	01/18/16	J	A	
4. American Funds Capital World Growth and Income Fund Class A	A	Int./Div.			Sold	01/19/16	K	A	
5. American Funds The Growth Fund of America Class A	A	Int./Div.			Sold	01/19/16	K	A	
6. American Funds Small Cap World Fund Class A	A	Int./Div.			Sold	01/19/16	J	A	
7. Bank of America (x)	A	Interest	K	T					
8. AB VPS Growth & Income Portfolio Class B	A	Int./Div.	K	T	Buy	04/29/16	K		
9. Victory Income Investment Quality Bond VIP series (RS Investment VIP)	A	Int./Div.	K	T	Sold (part)	02/05/16	J	A	
10. Victory Income Low Duration Bond VIP Series (RS low duration)	A	Int./Div.	K	T					
11. Janus Aspen Global Technology SS	A	Int./Div.	J	T	Buy	04/29/16	J		
12. Templeton Foreign VIP Fund Class 2 Shares	A	Int./Div.	J	T	Buy	04/29/16	J		
13. Blackrock Large Cap Core CL III	A	Int./Div.	K	T	Buy (add'l)	02/05/16	J		
14. Columbia Variable Portfolio Seligman Global Technology Fund CL 2	A	Int./Div.			Sold	04/29/16	J	A	
15. Columbia Variable Portfolio Small Cap Value Fund Class 2	A	Int./Div.	J	T					
16. Fidelity VIP Contrafund SRCL 2	A	Int./Div.	K	T					
17. Fidelity VIP Midcap port SRCL 2	A	Int./Div.	K	T					

1. Income Gain Codes:	A –\$1,000 or less (See Columns B1 and D4)	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes	F –\$50,001 - \$100,000 J –\$15,000 or less (See Columns C1 and D3)	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes	N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000 (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market

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18. Franklin Small Cap Value VIP Fund Class 2 Shares	A	Int./Div.	J	T					
19. MFS VIT Utilities Series SC	A	Int./Div.	J	T					
20. Oppenheimer Capital APP/VA SC	A	Int./Div.	K	T					
21. Oppenheimer Main Street Small Cap Fund/VA	A	Int./Div.	K	T					
22. Pioneer Disciplined Value VCT Portfolio Class II	A	Int./Div.			Sold	04/29/16	K	A	
23. Pioneer Mid Cap Value VCT CL II	A	Int./Div.	K	T	Buy (add'l)	02/05/16	J		
24. RS Large Cap Alpha VIP Series (Victory)	A	Int./Div.	K	T					
25. Alliancebern (AB) VPS Int'l Value CL B	A	Int./Div.			Sold	04/29/16	J	A	
26. Wells Fargo Advantage VT International Equity Fund Class II	A	Int./Div.	K	T					
27. Franklin US Government Securities VIP Fund Class 2 Shares	A	Int./Div.	K	T	Sold (part)	02/05/16	J	A	
28. Oppenheimer Global Strategic Income/VA SC	A	Int./Div.	K	T					
29. PIMCO Total Return Advisor CL	A	Int./Div.	K	T	Sold (part)	02/05/16	J	A	
30. DFA US Vector Equity PRTF Instl Cl	A	Dividend	J	T	Buy	01/29/16	J		
31. DFA US Core Equity II	A	Dividend	J	T	Buy	01/29/16	J		
32. Dimensional Emerging Mkts Val PRTF Instl	A	Dividend	J	T	Buy	01/29/16	J		
33. DFA Emerging Markets Small Cap	A	Dividend	J	T	Buy	01/29/16	J		
34. DFA International Small Company Port	A	Dividend	J	T	Buy	01/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. DFA International Small Cap Value	A	Dividend	J	T	Buy	01/29/16	J		
36. DFA Emerging Markets Portfolio	A	Dividend	J	T	Buy	01/29/16	J		
37. DFA US Large Cap Value PRTF Instl	A	Dividend	J	T	Buy	01/29/16	J		
38. DFA Real Estate PRTF Instl	A	Dividend	J	T	Buy	01/29/16	J		
39. DFA International Value PRTF Instl	A	Dividend	J	T	Buy	01/29/16	J		
40. DFA Short term government port	A	Dividend	J	T	Buy	01/29/16	J		
41. DFA One Year Fixed Income PRTF Instl	A	Dividend	J	T	Buy	01/29/16	J		
42. DFA Inv't Two Year Global Fixed Income	A	Dividend	J	T	Buy	01/29/16	J		
43. DFA Five Year Glbl Fixed Inc PRTF Instl	A	Dividend	J	T	Buy	01/29/16	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia S. Harris**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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