

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lynch, Thomas M.	2. Court or Organization U.S. Bankruptcy Court, N.D. Ill.	3. Date of Report 5/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse, Chambers 4100 327 S. Church Street Rockford, Illinois 61101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Faculty (not compensated)	Northwestern University School of Law
2. Adjunct Faculty (not compensated)	National Institute for Trial Advocacy
3. Executor (not compensated)	Estate of deceased family member
4. Director (not compensated)	P. M. Mahoney Chapter (Rockford, IL) of the Federal Bar Association
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2008	Faegre Baker Daniels Retirement Savings Plan (succeeding Baker & Daniels retirement plans), no control
2. 1989	Edwards Wildman Retirement Savings Plan (succeeding Wildman Harrold retirement plans), no control
3. 1984	Employee Savings Plan of Winston & Strawn LLP, no control
4. 1984	Winston & Strawn LLP Retirement Plan, no control

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/26/2016 to 10/29/2016	San Francisco	Attend annual meeting of the conference and participate (moderate) program for attys.	Lodging, meals (partial), registration.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Department of Education #1	Student loan to family member	K
2.	SLM Corp. ("Sallie Mae")	Student loan to family member	K
3.	U.S. Department of Education #1	Student loan to family member	K
4.	SLM Corp. ("Sallie Mae")	Student loan to family member	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Faegre BD Retirement Savings Plan (adm'd by Schwab):									
2. - Vanguard Target Retirement 2020 Fund	D	Dividend	M	T					
3. Edwards Wildman retirement Savings Plan (Mass Mutl, adm'r):									
4. - Invesco Stable Value Fund	D	Dividend	N	T					
5. - MML Income and Growth Portfolio Fund (Mass Mutual)	B	Dividend	K	T					
6. Employee Savings Plan of Winston & Strawn, LLP (adm'd by Fidelity):									
7. - Fidelity MIP II Class I Bond Fund	A	Dividend	K	T					
8. Winston & Strawn, LLP Retirement Plan:									
9. - Fidelity MIP II Class I Bond Fund	A	Dividend	K	T					
10. Fidelity SEP IRA:									
11. - Fidelity Cash Reserves	A	Interest	K	T					
12. UBS Financial Services Inc Ind'l IRA (UBS#1):									
13. - Pace Int'l Equity Investments Class A Fund	A	Dividend	J	T					
14. UBS Financial Services Inc Ind'l IRA (UBS#2):									
15. - Invesco American Franchise Fund Class A	A	Dividend	K	T					
16. UBS Financial Services Inc. Investment Account (UBS#3):**									
17. - UBS Bank USA deposit account	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. US Savings Bonds (fbo family member) #1		None			Closed	01/01/16	J		See Part VIII, fn. 1
19. US Savings Bonds (fbo family member) #2		None			Closed	01/01/16	J		See Part VIII, fn. 1
20. Beverly Bank & Trust Co., N.A.:									
21. - deposit account #1	A	Interest	J	T					
22. - Certificate of Deposit #B (fbo family member)		None			Closed	01/01/16	J		See Part VIII, fn. 1
23. - deposit Account #4 (fbo family member)		None			Closed	01/01/16	J		See Part VIII, fn. 1
24. Family Member Estate:**									
25. - AT&T common stock	A	Dividend	J	T					See Part VIII, fn. 2
26. - Fifth Third Bank / State of Ill. treasurer frmr deposit accounts		None	K	W					See Part VIII, fn. 2
27.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FN 1. Re Part VII, "Investments and Trusts", lines 18, 19, 22 and 23 (entries for US Savings Bonds, certificate of deposit and deposit account "fbo family member"). By the beginning of 2016, the adult family members who own the bonds, CD and deposit account were no longer members of my household or a "dependant" for purposes of reporting. As such, these open accounts and assets with with the family member and are listed as "Closed" for purposes of my reporting.

FN 2. Re Part VII, "Investments and Trusts", lines 24 through 26 (entries for "Estate"):

This is the estate of a deceased family member. The estate is in the process of closing and is attempting to locate, identify and recover any assets to which it may have a claim. The estate has been informed that the deposit accounts(s) to which it may have a claim (the "Fifth Third Bank deposit account(s)") may have been turned over to the State of Illinois by the bank. The estate is attempting to identify and recover any recoverable funds attributable to this/these account(s). The value reported on line 29 represents the estate's best estimate of the possible value of the account(s) based on scattered and incomplete information and does not represent their actual value, if any. The estate is not aware of any related interest earned in 2015.

The estate also is attempting to ascertain the deceased's possible holdings, if any, in common stock of the former NYNEX Corp, US West Corp, Bell South Corp. and SBC Corp. At this time, the estate is not aware whether in 2015 or thereafter it held/holds any interest in shares of such stock or interest in other stock other than the common stock identified on line 28 of Part VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas M. Lynch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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