

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEAY, FRANK H.	2. Court or Organization US DISTRICT COURTS-EASTERN	3. Date of Report 05/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address EASTERN DISTRICT OF OKLAHOMA UNITED STATES COURTHOUSE MUSKOGEE, OK 74402-0828		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

STATE OF OKLAHOMA - TEACHERS RETIREMENT SYSTEM

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PRODUCING MIN INT-WILLACY CT, TX APPRAISAL 3-29-89	B	Royalty	J	W					
2. NON-PROD MIN INT-GARVIN, POTT & SEM CT, OK APPRAISAL 3-29-89		None	J	W					
3. PRODUCING MINERAL INT - SEMINOLE CO., OKLA	A	Royalty	J	W					
4. 1/124 WI IN NASH 1-26, POTTAWATOMIE CO., OK	A	Royalty	J	W					
5. 1/124 WI IN STATE 1-16, POTTAWATOMIE CO., OK		None	J	W					
6. 1/124 WI IN STOVER 1-27, POTTAWATOMIE CO., OK		None	J	W					
7. CD'S, SAVINGS & DEMAND DEPOSIT, BANCFIRST, SEMINOLE, OK	A	Interest	J	T					
8. EDWARD D. JONES ACCOUNT									
9. -EDJ-INVESTMENT ACCOUNT INTEREST		None	J	T					
10. -EDJ-BOND-GRDA OK REV	B	Interest	K	T					
11. -EDJ-BOND-CENTRAL BRADFORD PROG	A	Interest	K	T					
12. -EDJ-SANOFI SYNTHELABO SPON	A	Dividend			Sold	02/24/15	J	B	
13. -EDJ-BP-PLC	A	Dividend	J	T					
14. -EDJ-CHESAPEAKE	A	Dividend	J	T					
15. -EDJ-HARTFORD EQUITY INCOME	C	Dividend	K	T					
16. -EDJ- INVESCO AMER FRANCHS FUND	A	Distribution			Sold	02/24/15	J	A	
17. -EDJ- SEVENTY SEVEN ENERGY INC	A	Distribution			Sold	02/24/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -EDJ- AQUA AMERICA INC	A	Dividend	J	T	Buy	02/24/15	J		
19. -EDJ- CONOCOPHILLIPS	A	Dividend	J	T	Buy	02/24/15	J		
20. -EDJ- MERCK & CO INC	A	Dividend	J	T	Buy	02/24/15	J		
21. -EDJ- ONEOK INC	A	Dividend	J	T	Buy	02/24/15	J		
22. -EDJ- INVESCO LTD TERM MUNIC INCM A	B	Dividend	L	T	Buy	02/24/15	L		
23. -EDJ- INVESCO COMSTOCK FUND CL A	B	Dividend	J	T	Buy	02/24/15	J		
24. -EDJ- INVESCO GROWTH ALLOCATION FD	A	Dividend	K	T	Buy	02/24/15	K		
25. -EDJ- INVESCO INTRMDT MUNI FUND A	B	Dividend	L	T	Buy	02/24/15	L		
26. -EDJ- INVESCO INTERNATIONAL GROWTH	A	Dividend	J	T	Buy	02/24/15	J		
27. METLIFE WHOLE POLICY	A	Dividend	J	T					
28. 100% INTEREST IN THE FAYE L. CRUM TRUST									
29. FLC TRUST-BANCFIRST CD	A	Interest	L	T					
30. FLC TRUST-BANCFIRST DEMAND DEPOSIT	A	Interest	K	T					
31. FLC TRUST-EDJ CAL ED FACS BOND	B	Interest			Redeemed	10/01/15	J		
32. FLC TRUST-EDJ GROSSMONT BD	B	Interest	K	T					
33. FLC TRUST-EDJ PENN ST TKP BOND	A	Interest	J	T					
34. FLC TRUST-EDJ OK AG MECH BOND	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. FLC TRUST-EDJ GRDA OK BOND	B	Interest	K	T					
36. FLC TRUST-EDJ SOUTH BROWARD HOSP	B	Interest	K	T					
37. FLC TRUST-EDJ TULSA OKLA PUB FACS AUTH	B	Interest	K	T					
38. FLC TRUST-EDJ MARYSVILLE CA	B	Interest	K	T					
39. FLC TRUST-EDJ MD ST CMNTY DEV	B	Int./Div.	K	T					
40. FLC TRUST-EDJ LORD ABBETT HIGH YIELD	C	Int./Div.	L	T					
41. FLC TRUST-EDJ LORD ABBETT NATIONAL TX	C	Int./Div.	M	T					
42. FLC TRUST-EDJ IN FIN WASTEWATER REV	B	Int./Div.	K	T					
43. FLC TRUST-EDJ NE COOP	B	Int./Div.	K	T					
44. FLC TRUST-EDJ AMERICAN PWR OHIO	B	Int./Div.	K	T					
45. FLC TRUST-EDJ INVESCO INTRMDT MUNI FD	B	Int./Div.	K	T					
46. FLC TRUST-EDJ INVESCO HIGH YLD MUN BD	A	Int./Div.	K	T					
47. FLC TRUST-RENT HOUSE 908 W. WILSON SEMINOLE, OK	C	Rent	L	Q					
48. LPL FINANCIAL									
49. LPL- INVESTMENT ACCOUNT INTEREST		None	J	T					
50. LPL- AMERN INCM FD OF AMER	A	Dividend	J	T					
51. LPL- BOND FUND OF AMERICA	A	Dividend	K	T					

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52. LPL- NEUROCRINE INC		None	J	T					
53. LPL- DAVIS NY VENTURE	D	Dividend	K	T					
54. LPL- FEDERATED KAUFMANN FUND	C	Dividend	K	T					
55. LPL- FIDELITY ADV DIVERSIFIED		None	J	T					
56. LPL- MUTUAL SHARES	A	Dividend	J	T					
57. LPL- OPENHEIMER CAPITAL APP	C	Dividend	K	T					
58. LPL- INVESCO FLOATING RATE	C	Distribution	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ON PART VII, BEGINNING ON LINE 18 THIS IS A HEADER LINE FOR THE FAYE L CRUM TRUST THAT WAS INHERITED IN 2010. ON THE FOLLOWING LINES 19 THROUGH 37, THE NOTATION FLC TRUST STANDS FOR FAYE L CRUM TRUST.

ON LINE 37 THE APPRAISAL DATE OF THE RENT HOUSE WAS JANUARY 25, 2010.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ FRANK H. SEAY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544