

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barbadoro, Paul J.	2. Court or Organization District of New Hampshire	3. Date of Report 11/16/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Warren Rudman U.S. Courthouse 55 Pleasant Street, Room 409 Concord, NH 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Testamentary Trust
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2016	Trustees of Dartmouth College-Teaching \$26,900
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 3-24-2016	Trustees of Dartmouth College	\$26,900.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	New England College
2. 2016	Currier Museum
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking-Merrimack County Savings Bank	A	Interest	J	T					
2. Savings-Merrimack County Savings Bank	A	Interest	J	T					
3. *T. Rowe Price Capital Appreciation Fund	C	Dividend	M	T					
4. *IRA Fidelity Contra Fund	D	Dividend	M	T	Sold (part)	06/20/16	K	D	
5. *TIAA CREF Stock Fund	A	Dividend	K	T	Buy	01/08/16	J		
6. *TIAA CREF Global Fund	A	Dividend	J	T	Buy	01/08/16	J		
7. *TIAA CREF Growth Fund	A	Dividend	K	T	Buy	01/08/16	J		
8. *TIAA CREF Equity Index Fund	A	Dividend	L	T	Buy	01/08/16	J		
9. TIAA CREF Inflation Limited Bond Fund	A	Dividend	J	T					
10. *IRA Fidelity Low Price Stock	C	Dividend	L	T					
11. *IRA Fidelity Cash Reserves (Account A)	A	Interest	J	T	Sold (part)	12/12/16	K	A	
12. *IRA Fidelity Cash Reserves (Account B)	A	Interest	J	T					
13. *T. Rowe Price Prime Reserve	A	Interest	J	T					
14. *IRA Fidelity, Fidelity Extended Market Index Premium Class	D	Dividend	M	T					
15. *IRA Fidelity Value Fund	B	Dividend	L	T					
16. *IRA Fidelity, Fidelity 500 Index Premium Class	B	Dividend	M	T	Buy	02/12/16	K		
17. *IRA Fidelity Harding Loevner Emerging Markets Investor	A	Dividend			Sold	06/20/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. *IRA Fidelity Janus Venture Fund Class T	A	Dividend	K	T					
19. *Fidelity International Index Premium Class	A	Dividend	J	T					
20. *Fidelity Midcap Index Premium Class	A	Dividend	K	T					
21. *Fidelity Cash Reserves (Account C)	A	Interest	J	T					
22. *Testamentary Trust (Centerpoint Energy, Inc.)	A	Dividend	K	T					
23. *Testamentary Trust (Manulife Financial Corp.)	A	Dividend	J	T					
24. *Testamentary Trust (Wells Fargo Bank Dep. Sweep)	A	Interest	J	T					
25. *Fidelity Emerging Market Index Premium Class	A	Dividend	K	T	Buy	06/22/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SECTION II: AGREEMENTS

Since 2010, I have derived non-investment income from teaching. That income has been reported in Section III of my disclosure report. For each year in which I reported income derived from teaching, I have had an agreement with the Trustees of Dartmouth College to perform the teaching that resulted in the disclosed income.

SECTION VII. INVESTMENTS AND TRUSTS

1. T. Rowe Price. I reinvested all dividends
2. IRA-Fidelity. I reinvested all dividends and interest.
3. TIAA CREF Funds. [REDACTED] contributed \$224 per week to the Stock, Global, Growth, and Equity Index funds. [REDACTED] employer contributed \$37 per week to the same accounts.
4. Fidelity International Index Premium Class was listed on my 2016 report as Spartan International Index Adv Class. I reinvested all dividends
5. Fidelity Midcap Index Premium Class was listed on my 2016 report as Spartan Midcap Index Adv Class. I reinvested all dividends.
6. Fidelity Cash. I reinvested all interest.
7. [REDACTED] Testamentary Trust. All dividends were reinvested in the Wells Fargo Advisors Bank Deposit Sweep Account.
8. IRA Fidelity, Fidelity Extended Market Index Premium Class was listed on my 2016 report as IRA Fidelity Spartan Extended Market Index Investor Class. I reinvested all dividends.
9. IRA Fidelity, Fidelity 500 Index Premium Class was listed on my 2016 report as IRA Fidelity Spartan 500 Index FD Advantage Class.
10. Fidelity Emerging Market Index Premium Class. I reinvested all dividends.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul J. Barbadoro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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