

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Swank, Kimberly A.	2. Court or Organization US District Court, Eastern District of North Carolina	3. Date of Report 03/27/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge - Part-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address US Courthouse Annex 215 South Evans Street Greenville, NC 27858		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Federal Magistrate Judges' Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Vidant Medical Center

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Tuition	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State Employees' Credit Union Accounts	B	Int./Div.	L	T					
2. PNC Bank account	A	Interest	J	T					
3. Brokerage Acct #1 (IRA) (H)									
4. --Clearbridge Aggressive (SAGYX)		None			Sold	06/13/17	J		
5. --Hartford Core Equity (HGIIX)		None			Sold	06/13/17	J	B	
6. --John Hancock Bond Fund (JHBIX)	A	Dividend			Sold	06/13/17	J		
7. --John Hancock Intl Growth (GOGIX)		None			Sold	06/13/17	J	A	
8. --JP Morgan Equity Inc. (HLIEX)	A	Dividend			Sold	06/13/17	J	B	
9. --MFS Mid Cap Val (MCVIX)		None			Sold	06/13/17	J	A	
10. --Pioneer Bond Fund (PICYX)	A	Dividend			Sold	06/13/17	J		
11. --Principal Mid Cap (PMCPX)		None			Sold	06/13/17	J	A	
12. --RJ Bank Dep Program Sweep	A	Interest			Closed	06/12/17			
13. --Western Asset Mtg Backed Sec (SGSYX)	A	Dividend			Sold	06/13/17	J		
14. --Invesco Diversified (LCEYX)		None			Sold	06/13/17	J	A	
15. --Henderson Global Eq (HFQIX)		None			Sold	06/13/17	J	B	
16. --Gateway Fund Class Y (GTEYX)		None			Sold	06/13/17	J	A	
17. --Cohen & Steers RE Secs (CSDIX)	A	Dividend			Sold	06/13/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. --Columbia Small Cap (NMSCX)		None			Sold	06/13/17	J	A	
19. --New World Fund (NFFFX)		None			Sold	06/13/17	J	A	
20. --First Trust Lg Cap (FEX)	A	Dividend	J	T	Buy	06/15/17	J		
21.					Sold (part)	11/08/17	J	A	
22. --FQF Oshares US (OUSA)	A	Dividend	J	T	Buy	06/15/17	J		
23. --Guggenheim S&P (RSP)	A	Dividend	J	T	Buy	06/15/17	J		
24.					Buy (add'l)	07/12/17	J		
25. --Ishares Emg Mkt (IEMG)	A	Dividend	J	T	Buy	06/15/17	J		
26. --Ishares Min Vol (USMV)	A	Dividend	J	T	Buy	06/15/17	J		
27.					Sold (part)	07/12/17	J		
28. --Ishares US Stk Mkt (ITOT)	A	Dividend	K	T	Buy	06/15/17	K		
29. --Ishares S&P (IVV)	A	Dividend	J	T	Buy	06/15/17	J		
30.					Sold (part)	09/11/17	J	A	
31. --Ishares Sm Cap (IJR)	A	Dividend	J	T	Buy	06/15/17	J		
32.					Buy (add'l)	07/12/17	J		
33. --Ishares Agg Bond (AGG)	A	Dividend			Buy	06/15/17	J		
34.					Sold (part)	07/12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold	09/11/17	J	A	
36. --JP Morgan Div Intl (JPIN)	A	Dividend	J	T	Buy	06/15/17	J		
37. --Powershares DB Base Metals (DBB)		None	J	T	Buy	07/12/17	J		
38. --Powershares DB Energy (DBE)		None	J	T	Buy	09/11/17	J		
39. --Powershares QQQ (QQQ)	A	Dividend	J	T	Buy	06/15/17	J		
40.					Buy (add'l)	09/11/17	J		
41. --Sector Tech Sel (XLK)	A	Dividend	J	T	Buy	06/15/17	J		
42. --SPDR S&P Mid Cap (MDY)	A	Dividend	J	T	Buy	06/15/17	J		
43.					Buy (add'l)	07/12/17	J		
44. --SPDR Blackstone (SRLN)	A	Dividend	J	T	Buy	06/15/17	J		
45.					Sold (part)	07/12/17	J		
46.					Sold (part)	09/11/17	J		
47. --SPDR Bloomberg (SJNK)	A	Dividend			Buy	06/15/17	J		
48.					Sold	07/12/17	J		
49. --SPDR Emg Mkt (SPEM)		None	J	T	Buy	11/08/17	J		
50. --Wisdomtree Bloomberg (USDU)		None	J	T	Buy	06/15/17	J		
51. --Wells Fargo Cash Sweep Acct	A	Interest	J	T	Open	05/25/17			

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52. VOYA Index Plus Lg Cap		None	L	T					
53. Investment Co of America Fund Class A	A	Dividend			Sold	06/16/17	K	D	
54. Brokerage Acct #2 (H)									
55. --Invesco Comstock Fund Class A	B	Dividend	K	T					
56. --Touchstone MidCap Value Instl Class	B	Dividend	K	T					
57. -- T Rowe Price Blue Chip Growth Fund	B	Dividend	L	T					
58. --AF EuroPac Gr	B	Dividend	K	T					
59. --Dodge & Cox Intl	A	Dividend	K	T					
60. --Invesco Sm Cap	C	Dividend	K	T					
61. --ABF Sm Cap Val Inst	B	Dividend	K	T					
62. --Fid Low Priced Stk	B	Dividend	K	T					
63. --Fid 500 Index Inst	B	Dividend	L	T					
64. --MSIF GLB RE Port IS	B	Dividend	K	T					
65. --PIM Total RT Inst	A	Dividend	K	T					
66. --Vang Tot Bd Mkt Inst	A	Dividend	K	T					
67. Brokerage Acct #3 (H)									
68. --ABBV (Y)									

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69. --ABT	A	Dividend	J	T					
70. --CSCO (Y)									
71. --D	A	Dividend	J	T					
72. --MSFT (Y)									
73. --Janus Enterprise Fund (JAENX)	A	Dividend	J	T					
74.	A	Distribution							
75. --Vanguard 500 Index Fund (VFINX)	A	Dividend	K	T					
76. --Touchstone Strategic Tr Focused Fund TFFYX		None			Sold	11/21/17	J	A	
77. --Ext Ins Sweep Dep Acct	A	Interest			Closed	06/12/17			
78. --Wells Fargo Cash Sweep Acct	A	Interest	J	T	Open	05/30/17			
79. Equitable Variable Life (Cash)	A	Interest	J	T					
80. NC 529 Plans (H)									
81. --Growth Portfolio (Age-Based, Aggressive Track)		None	L	T					
82. --Mod Growth Portfolio (Age-Based, Moderate Track)		None	J	T					
83. --Vanguard Growth (X)		None	J	T					
84. --Vanguard Ttl Stock Mkt (X)		None	J	T					
85. Brokerage Acct #4 (H)									

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86. --Powershares Emg Mkt (PCY)	A	Dividend	J	T	Buy	10/10/17	J		
87. --Vanguard Intl Eq (VWO)	A	Dividend	J	T	Buy	06/20/17	J		
88. --Vanguard Int (VCIT)	A	Dividend	J	T	Buy	06/20/17	J		
89. --Vanguard Ttl Bond (BND)	A	Dividend	J	T	Buy	06/20/17	J		
90.					Buy (add'l)	10/10/17	J		
91. --Vanugard Ttl Stock (VTI)	A	Dividend	J	T	Buy	06/20/17	J		
92.					Buy (add'l)	10/10/17	J		
93. --Vanguard FTSE Dev Mkt (VEA)	A	Dividend	J	T	Buy	06/20/17	J		
94. --Vanguard Mid Cap (VO)	A	Dividend	J	T	Buy	06/20/17	J		
95. --Vanguard Small ETF (VB)	A	Dividend	J	T	Buy	06/20/17	J		
96. --Vanguard ST Bond (BSV) (X)	A	Dividend	J	T					
97. --Ishares S&P (IVV)	A	Dividend	J	T	Buy	06/20/17	J		
98. --SPDR Bloomberg HY (JNK)	A	Dividend	J	T	Buy	06/20/17	J		
99. Brokerage Acct #5 (H)									
100. --ABBV(X)	A	Dividend	J	T	Sold (part)	11/27/17	K	D	
101. --AFLAC (AFL)		None	J	T	Buy	11/27/17	J		
102. --Air Prods & Chem (APD)		None	J	T	Buy	11/27/17	J		

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103. --Altria Grp (MO)		None	J	T	Buy	11/27/17	J		
104. --Am Elec Pwr (AEP)		None	J	T	Buy	11/27/17	J		
105. --Ameriprise (AMP)		None	J	T	Buy	11/27/17	J		
106. --Amgen (AMGN)		None	J	T	Buy	11/27/17	J		
107. --Arthur J Gallagher (AJG)	A	Dividend	J	T	Buy	11/27/17	J		
108. --AT&T (T)		None	J	T	Buy	11/27/17	J		
109. --Blackrock (BLK)	A	Dividend	J	T	Buy	11/27/17	J		
110. --Brisol Myers (BMY)		None	J	T	Buy	11/27/17	J		
111. --Chevron (CVX)		None	J	T	Buy	11/27/17	J		
112. --CSCO (X)	A	Dividend	J	T	Sold (part)	11/27/17	J	A	
113. --Coca-Cola (KO)	A	Dividend	J	T	Buy	11/27/17	J		
114. --Crown Castle (CCI)	A	Dividend	J	T	Buy	11/27/17	J		
115. --Delta Airlines (DAL)		None	J	T	Buy	12/28/17	J		
116. --Eaton (ETN)		None	J	T	Buy	11/27/17	J		
117. --Emerson Elec (EMR) (X)		None	J	T					
118. --Exelon Corp (EXC)		None	J	T	Buy	11/27/17	J		
119. --Exxon Mobil (XOM)		None	J	T	Buy	11/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Ford Motor (F)		None	J	T	Buy	11/27/17	J		
121. --Gilead Sci (GILD)	A	Dividend	J	T	Buy	11/27/17	J		
122. --Home Depot (HD)	A	Dividend	J	T	Buy	11/27/17	J		
123. --HP Inc (HPQ)		None	J	T	Buy	11/27/17	J		
124. --Intel Corp (INTC)		None	J	T	Buy	11/27/17	J		
125. --Invesco Ltd (IVZ)		None	J	T	Buy	11/27/17	J		
126. --Johnson & Johnson (JNJ)		None	J	T	Buy	11/27/17	J		
127. --JP Morgan (JPM)		None	J	T	Buy	11/27/17	J		
128. --KAR Auction (KAR) (X)		None	J	T					
129. --Kimberly-Clark (KMB)		None			Buy	11/27/17	J		
130.					Sold	12/28/17	J	A	
131. --Liberty Prop REIT (LPT)		None	J	T	Buy	11/27/17	J		
132. --Lockheed Martin (LMT)	A	Dividend	J	T	Buy	11/27/17	J		
133. --LyondellBasell (LYB)	A	Dividend	J	T	Buy	11/27/17	J		
134. --Marathon Petr (MPC)		None	J	T	Buy	11/27/17	J		
135. --Marsah McLennan (MMC)		None	J	T	Buy	11/27/17	J		
136. --Merck & Co (MRK)		None	J	T	Buy	11/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --MetLife (MET)		None	J	T	Buy	11/27/17	J		
138. --MSFT (X)	A	Dividend	J	T					
139. --Occidental Pete (OXY)		None	J	T	Buy	11/27/17	J		
140. --Pfizer (PFE)		None	J	T	Buy	11/27/17	J		
141. --Philip Morris (PM)		None	J	T	Buy	11/27/17	J		
142. --Phillips 66 (PSX)		None	J	T	Buy	11/27/17	J		
143. --PNC Fin Svcs (PNC)		None	J	T	Buy	11/27/17	J		
144. --Proctor & Gamble (PG)		None	J	T	Buy	11/27/17	J		
145. --Prologis (PLD)	A	Dividend	J	T	Buy	11/27/17	J		
146. --Prudential Fin (PRU)		None	J	T	Buy	11/27/17	J		
147. --Qualcomm (QCOM)	A	Dividend	J	T	Buy	11/27/17	J		
148. --Schlumberger Ltd (SLB)		None	J	T	Buy	11/27/17	J		
149. --Thomson Reuters (TRI)		None	J	T	Buy	11/27/17	J		
150. --United Tech (UTX)		None	J	T	Buy	11/27/17	J		
151. --US Bancorp (USB)		None	J	T	Buy	11/27/17	J		
152. --Verizon (VZ)		None	J	T	Buy	11/27/17	J		
153. --Wal-Mart (WMT)		None	J	T	Buy	11/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Wells Fargo (WFC)		None	J	T	Buy	11/27/17	J		
155. --Williams Cos (WMB) (X)	A	Dividend	J	T					
156. --Wells Fargo Cash Sweep Acct	A	Interest	J	T	Open	11/17/17			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

- Brokerage Acct # 3, Lines 68, 70 & 72: ABBV, CSCO & MSFT transferred to Brokerage Acct #5 on 11/21/17
- NC 529 Plans, Lines 83 & 84: these investments have increased to meet the requirements for reporting
- Brokerage Acct #4, Line 96: this investment increased in value to meet the reporting requirements during the reporting period
- Brokerage Acct #5, Lines 117, 128, & 155: these investments increased in value to meet the reporting requirements during the reporting period

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kimberly A. Swank**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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