

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Richard B.	2. Court or Organization UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO	3. Date of Report 04/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address US Courthouse 901 19th St Denver CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	PERA STATE OF COLORADO	\$69,824.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	SELF-EMPLOYED TRAVEL AGENT
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -ASG GLOBAL ALTERNATIVES FUND	A	Dividend	J	T	Buy	02/21/14	J		
3. -VANGUARD VALUE VIPERS	B	Dividend	L	T					
4. -VANGUARD FTSE ALL WORLD EX US INDEX	A	Dividend			Sold	01/06/14	J	A	
5. -DREYFUS BASIC S AND P 500	A	Dividend	L	T	Buy (add'l)	02/21/14	K		
6. -VANGUARD EXTENDED MKT VIPERS	A	Dividend	K	T					
7. -VANGUARD GROWTH VIPERS	A	Dividend	L	T					
8. -VANGUARD EMERGING MKTS ETF	A	Dividend			Sold	01/06/14	J	A	
9. -BNY MELLON MUNICIPAL OPPORTUNITIES FUND	A	Dividend	J	T					
10. -BNYMELLON NATIONAL INTERMEDIATE MUNICIPAL BOND FUND	B	Dividend	L	T					
11. -BNY MELLON NATIONAL SHORT TERM MUNICIPAL BOND FUND	A	Dividend	K	T					
12. -DREYFUS HIGH YIELD FUND	A	Dividend	J	T					
13. -DREYFUS FLOATING RATE INC	A	Dividend	J	T	Buy	02/21/14	J		
14. -DREYFUS GLOBAL RETURN	A	Dividend	J	T	Buy	02/21/14	J		
15. -DREYFUS EMERGING MKTS DEBT LOCAL CURRENCY FD	A	Dividend			Sold	01/06/14	J	A	
16. -TCW EMERGING MKTS INCOME FUND	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. -ISHARES MSCI EAFE INDEX FD	A	Dividend	J	T					
18. -ADVANTAGE GLOBAL	A	Dividend	J	T					
19. -DFA EMERGING MARKIETS CORE EQUITY	A	Dividend	K	T					
20. BROKERAGE ACCOUNT #1									
21. -FED HOME LN MTG CORP	A	Dividend	L	T					
22. -FEDERAL HOME LNMTG CORP	A	Dividend	K	T	Buy	05/20/14	K		
23. -FED HOME LOAN BANKS	B	Dividend	L	T					
24. -US TREASURY NOTE 6/2016	B	Interest	L	T					
25. -US TREASURY NOTE 12/16	B	Interest	L	T					
26. -US TREASURY NOTE 7/17	A	Interest	L	T					
27. -US TREASURY NOTE 2% 11/21	A	Interest	L	T					
28. -U.S.TREASURY NOTE 2.25% 4/30/21	B	Interest	L	T	Buy	04/30/14	K		
29. -U.S.TREASURY NOTE 2% 2/15/22	A	Interest	L	T	Buy	12/12/14	L		
30. -U.S.TREASURY NOTE 2% 7/31/20	A	Interest	L	T	Buy	07/31/14	L		
31. -US TREASURY NOTE 2% 2/23	A	Interest	K	T					
32. -BERKSHIRE HATHAWAY INC 2/11/23	A	Interest	K	T	Buy	01/27/14	K		
33. -GEN ELECT CAP CPR 9/17	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. -INTEL CORP 12/15/22	A	Interest	K	T	Buy	01/06/14	K		
35. -PFIZER INC	A	Interest			Sold	02/14/14	K	A	
36. -GOLDMAN SACHS GROUP INC	A	Interest			Sold	10/01/14	K	A	
37. -AT & T INC	B	Interest	K	T					
38. -AIM STIT TREASURY PORTFOLIO	A	Interest	L	T					
39. -SPDR TRUST SERIES 1	D	Dividend	M	T					
40. -ISHARES TR MIDCAP	B	Dividend	L	T					
41. -SPDR DOW JONES INDUSTRIAL AVERAGE	D	Dividend	M						
42. -ISHARES MSCI EAFE INDX FUND	D	Dividend	M	T					
43. -RYDEX ASG MANAGED FUTURES STRATEGY FD	A	Dividend	K	T					
44. -ISHARES RUSSELL 1000 INDEX FUND	C	Dividend			Sold	01/06/14	M	C	
45. -FED HOME LN MTG CORP	B	Dividend	M	T					
46. -FED NAT MTG ASSN	B	Dividend	K	T					
47. -US TREAS NOTE 2.125% 5/31/15	B	Interest	L	T					
48. -BEAR STEARRNS CO 4.65% 7/2/18	B	Dividend	K	T					
49. -DFA EMERGING CORE EQUITY	A	Dividend	L	T					
50. -DREYFUS HIGH YIELD 1	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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51. -DREYFUS EMERGING MKTS DEBT LOCAL	A	Dividend			Sold	01/31/14	K	A	
52. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	K	T	Buy	12/31/14	K		
53. -TCW EMERGING MARKETS INCME FUND	B	Dividend	K	T					
54. -DREYFUS SELECT MGER SCAP	A	Dividend	L	T	Buy (add'l)	01/31/14	L		
55. -BNY MELLON INCOME STOCK FUND	C	Dividend	M	T					
56. -BNY MELLONINTL EQUITY INCOME FUND	A	Dividend	K	T					
57. -ASG MANAGED FUTURES STRATEGY FD	A	Dividend	K	T					
58. -JOHN DEERE CAPITAL CORP 2% 1/13/17X	B	Dividend	K	T					
59. -ANHEUSER BUSCH 25000 .2.5% 7/15/22	A	Dividend	K	T	Buy	05/19/14	K		
60. -AMAZON	A	Dividend	J	T	Buy	04/08/14	J		
61. -ABBOTT LABORATORIES	A	Dividend	J	T					
62. -ABBVIE INC	A	Dividend	J	T	Buy	07/23/14	J		
63. -ACTAVIS PLC		None	J	T	Buy	02/04/14	J		
64. -AFLAC INC	A	Dividend	J	T	Buy	06/10/14	J		
65. -AON PLC	A	Dividend			Sold	02/03/14	J	C	
66. -ASG GLOBAL ALTERNATIVES FUND B		None	J	T	Buy	04/21/14	J		
67. -ASG MANAGED FUTURES STRATEGY FUND	A	Dividend	J	T	Buy	12/30/14	J		

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68. -BEAM INC	A	Dividend			Sold	04/17/14	J	B	
69. -BIOGEN IDEC INC		None	J	T	Buy	02/04/14	J		
70. -INGERSOLL RAND PLC	A	Dividend	J	T					
71. -INVESCO LTD	A	Dividend	J	T					
72. -ADOBE SYSTEMS		None	J	T					
73. -AT AND T INC	A	Dividend	J	T					
74. -ALCATEL-LUCENT	A	Dividend			Sold	02/06/14	J	A	
75. -ALLERGAN	A	Dividend			Sold	06/04/14	J	C	
76. -AMGEN	A	Dividend	J	T					
77. -ANHEUSER BUSCH INBEV SPN	A	Dividend	J	T	Buy	05/19/14	J		
78. -AVAGO TECH	A	Dividend	J	T					
79. -APPLE INC	A	Dividend	K	T					
80. -ACCENTURE	A	Dividend	J	T	Sold (part)	04/01/14	J	A	
81. -ASTRAZENECA PLC 9/18/2019	A	Interest	K	T					
82. -BRISTOL MEYERS	A	Dividend	J	T	Sold (part)	02/04/14	J	A	
83. -CABOT OIL	A	Dividend			Sold	06/19/14	J	A	
84. -CAPITAL 1 FINANCIAL	A	Dividend	J	T	Buy (add'l)	01/21/14	J		

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85. -CARDINAL HEALTH	A	Dividend	J	T	Buy	06/09/14	J		
86. -CATERPILLAR INC	A	Dividend	J	T					
87. -CATERPILLAR INC 2.6% 6/22	A	Interest	K	T					
88. -CELANESE CORP SERIES	A	Dividend	J	T					
89. -CELGENE CORP		None	J	T	Buy	10/24/14	J		
90. -CENTERPOINT ENERGY INC	A	Dividend	J	T					
91. -CHEESECAKE FACTORY	A	Dividend	J	T	Buy	12/22/14	J		
92. -CHEVRON CORP	A	Dividend	J	T					
93. -CITRIX SYSTEMS	A	Dividend			Sold	01/21/14	J	A	
94. -COCA COLACO	A	Dividend			Sold	01/13/14	J	A	
95. -COMCAST CORP	A	Dividend	J	T					
96. -COSTCO	A	Dividend	J	T					
97. -WALT DISNEY	A	Dividend	J	T	Sold (part)	11/20/14	J	B	
98. -DOVER CORP	A	Dividend	J	T					
99. -DOW CHEMICAL	A	Dividend	J	T	Buy	10/07/14	J		
100. -ELECTRONIC ARTS INC		None	J	T	Buy	07/23/14	J		
101. -EXPRESS SCRIPTS HOLDINGS INC	A	Dividend			Sold	04/08/14	J	A	

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102. -EXXON MOBILCORP	A	Dividend	J	T	Buy (add'l)	06/18/14	J		
103. -FACEBOOK INC		None	J	T					
104. -FAMILY DR STORES	A	Dividend			Sold	04/29/14	J	A	
105. -FIDELITY NATIONAL FINANCIAL		None	J	T					
106. -FREEPORT MCMORAN COOPER AND GOLD	A	Dividend			Sold	10/07/14	J	A	
107. -GENERAL ELECTRIC CO	A	Dividend			Sold	08/20/14	J	B	
108. -GILEAD SCIENCES INC		None	J	T					
109. -GOOGLE*		None	J	T	Sold (part)	12/19/14	J	A	
110. -HALLIBURTON CO	A	Dividend	J	T					
111. -HARLEY DAVIDSON	A	Dividend	J	T					
112. -HARTFORD FIN SVS GROUP	A	Dividend	J	T	Buy	03/17/14	J		
113. -HCA HOLDINGS INC		None	J	T	Buy	05/27/14	J		
114. -HERSHEY CO	A	Dividend	J	T	Buy	12/24/14	J		
115. -HOME DEPOT INC	A	Dividend	J	T					
116. -HONEYWELL INC	A	Dividend	J	T					
117. -ILLINOIS TOOL WORKS	A	Dividend	J	T	Buy	08/20/14	J		
118. -INTEL	A	Dividend	J	T	Buy	09/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

119. -INTERCONTINENTAL EXCHANGE GROUP	A	Dividend	J	T	Buy	06/09/14	J		
120. -JP MORGAN CHASE & CO	A	Dividend	J	T					
121. -JOHNSON CONTROLS IN	A	Dividend	J	T					
122. -KLA TENCOR	A	Dividend			Sold	01/07/14	J	A	
123. -LAM RESH CORP	A	Dividend	J	T	Buy	10/06/14	J		
124. -LAS VEGAS SANDS CORP	A	Dividend	J	T					
125. -MALLINCKRODT PLCS		None	J	T	Buy	09/02/14	J		
126. -MARATHON OIL CORP	A	Dividend	J	T	Sold (part)	04/01/14	J	A	
127. -MEAD JOHNSON	A	Dividend	J	T	Buy	06/19/14	J		
128. -MERCK AND CO	A	Dividend	J	T	Buy	01/24/14	J		
129. -METLIFE INC	A	Dividend			Sold	01/22/14	J	C	
130. -MONDELEZ INTL	A	Dividend			Sold	12/16/14	J	B	
131. -MONSTER BEVERAGE		None	J	T	Buy	02/05/14	J		
132. -NATIONAL OILWELL VARCO	A	Dividend			Sold	06/23/14	J	B	
133. -NUCOR COPR	A	Dividend	J	T					
134. -OCWEN FINANCIAL	A	Dividend			Sold	08/12/14	J	A	
135. -OUTFRONT MEDIA INC	A	Dividend	J	T	Buy	02/06/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -PNC FINANCIAL SERVICES	A	Dividend	J	T					
137. -PVH CORP	A	Dividend	J	T					
138. -PEPSICO INC	A	Dividend	J	T					
139. -PEPSICO INC 3.125% 11/20	A	Interest	K	T					
140. -PFIZER INC	A	Dividend	J	T					
141. -PHILIP MORRIS INTL	A	Dividend	J	T					
142. -PHILLIPS 66	A	Dividend	J	T	Buy	11/20/14	J		
143. -PROCTER AND GAMBLE	A	Dividend	J	T	Sold (part)	06/04/14	J	B	
144. -SALESFORCE COM		None	J	T					
145. -SCHLUMBERGER LTD	A	Dividend	J	T					
146. -SEMPRA ENERGY		None	J	T	Sold (part)	02/04/14	J	B	
147. -SERVICENOW INC		None	J	T					
148. -SHIRE PLC	A	Dividend			Sold	05/14/14	J	D	
149. -SOUTHWESTERN ENERGY CO	A	Dividend	J	T					
150. -STATE ST CORP	A	Dividend	J	T					
151. -TAIWAN SEMICONDUCTOR MFG CO	A	Dividend			Sold	04/28/14	J	A	
152. -TEVA PHARMA	A	Dividend			Sold	09/02/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -TE CONNECTIVITY LTD	A	Dividend	J	T	Buy	05/05/14	J		
154. -THERMOFISHER SCIENTIFIC	A	Dividend	J	T					
155. -TIME WARNER	A	Dividend	J	T	Buy	08/18/14	J		
156. -TWITTER		None	J	T	Buy	10/06/14	J		
157. -IBM	A	Dividend			Sold	01/02/14	J	A	
158. -UNION PAC	A	Dividend	J	T					
159. -UNITED TECHNOLOGIES	A	Dividend	J	T					
160. -VALERO ENERGY	A	Dividend	J	T					
161. -VERIZON COMM	A	Dividend	J	T					
162. -VERIZON COMM INCL 3.55 11/21	A	Interest	K	T					
163. -VMWARE INC	A	Dividend			Sold	01/14/14	J	A	
164. -WELLS FARGO AND CO	A	Dividend	J	T					
165. -YAHOO	A	Dividend			Sold	06/23/14	J	C	
166. -YUMI BRANDS	A	Dividend	J	T	Buy (add'l)	04/29/14	J		
167. 401(k) #1									
168. -PERAdvantage Income Fund	B	Dividend	K	T					
169. -PERAdvantage Fixed Income	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. -PERAdvantage US Large Cap Stk	B	Dividend	J	T					
171. -PERAdvantage Intl Stock Fd	B	Dividend	J	T					
172. BROKERAGE ACCOUNT #2									
173. -CHEVRON CORP	B	Dividend	K	T					
174. -EXXON MOBIL	C	Dividend	L	T					
175. -GENERAL ELECTRIC CO	A	Dividend	K	T					
176. -UNION PAC CORP	A	Dividend	K	T					
177. -UNITED TECHNOLOGIES CORP	A	Dividend	K	T					
178. -WALT DISNEY CO	A	Dividend	J	T					
179. -JOHNSON CTLS INC	A	Dividend	J	T					
180. -STARBUCKS CORP	C	Dividend	N	T					
181. -NESTLE S A SPONSORED ADR REPSTG REG	A	Dividend	L	T					
182. -PEPSICO INC	A	Dividend	K	T					
183. -PROCTER & GAMBLE CO	B	Dividend	K	T					
184. -JOHNSON & JOHNSON	B	Dividend	K	T					
185. -PFIZER INC	A	Dividend	J	T					
186. -AON CORP	A	Dividend			Sold	12/01/14	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. -INVESCO LTD	A	Dividend	J	T					
188. -JP MORGAN CHASE & CO	A	Dividend	J	T					
189. -STATE STREET CORP	A	Dividend	J	T					
190. -WELLS FARGO AND CO	A	Dividend	J	T					
191. -ACCENTURE PLC	A	Dividend	J	T					
192. -APPLE INC	A	Dividend	K	T					
193. -GOOGLE INC		None	J	T					
194. -FLORHAM PARK NJ 8% 2/16	B	Dividend	L	T					
195. -SALESFORCE.COM INC		None	J	T					
196. -SEMPRA ENERGY	A	Dividend	J	T					
197. -WATSON PHARMACEUTICALS INC	A	Dividend			Sold	01/06/14	J	A	
198. -ISHARES MSCI EAFE INDEX FD	C	Dividend	L	T					
199. -VANGUARD EXTENDED MARKET VIPERS INDEX FUND	A	Dividend	M	T	Sold (part)	08/04/14	K	A	
200. -RANGELY CO SCH DIST RE 4	B	Interest	L	T					
201. -SNOWMASS VIG CO	B	Interest	L	T					
202. -METROPOLITAN TRANSN AUTH NY	A	Interest			Sold	11/17/14	K	A	
203. -METRO WASTEWTR RECLAM DSTR CO	B	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204. -EL PASO CTY CO REV	B	Interest	L	T					
205. -BNY MELLON MUNICIPAL OPPORTUNITIES	B	Dividend	M	T					
206. -BNY MELLON NATL MUNI MONEY MKT FUND		Dividend			Sold	01/06/14	J	A	
207. -TUALATIN HILL OR 6.21	B	Interest	L	T					
208. -DOVER CORP	A	Dividend	K	T					
209. -IBM CORP	A	Dividend	J	T					
210. -PROVO UTAH 4% 1/20	B	Interest	L	T					
211. -EMC CORP MASS		Dividend	J	T					
212. -BEACHWOOD OHIO 12/19	B	Interest	L	T					
213. -VERIZON COMMUNCATIONS	A	Dividend	J	T					
214. -TEVA PHARM	A	Dividend			Sold	09/04/14	J	B	
215. -AMAZON .COM INC	A	Dividend	J	T					
216. -INTERCONTINENTAL EXCHANGE	A	Dividend	K	T					
217. -ADOBE SYSTEMS INC		None	J	T					
218. -CELANESE CORP	A	Dividend	J	T					
219. -EXPRESS SCRIPTS HOLDING	A	Dividend			Sold	04/14/14	K	C	
220. -CAPITAL FINANCIAL CORP	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221. -NUCOR CORP	A	Dividend	K	T					
222. -EAGLE GARFIELD 4.0% 12/22	A	Interest	L	T					
223. -TAIWAN SEMICONDUCTOR	A	Dividend			Sold	07/15/14	J	B	
224. -FACEBOOK INC		None	J	T					
225. -DFA EMERGING MARKETS CORE EQUITY	A	Dividend	L	T					
226. -ADVANTAGE GLOBAL	A	Dividend	K	T					
227. -DENVER CITY & CTY CO SCH 12/19/2021 4%	B	Interest	L	T	Buy	05/23/14	L		
228. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	K	T	Buy	02/21/14	K		
229. -DREYFUS GLOBAL REAL RETURN FUND	A	Dividend	K	T	Buy	07/31/14	K		
230. -ACTAVIS PLC		None	L	T	Buy	01/06/14	L		
231. -AVAGO TECH	A	Dividend	K	T	Buy	07/15/14	K		
232. -ABBOTT LABS	A	Dividend	J	T	Buy	04/14/14	J		
233. -HARTFORD FINANCIAL SERVICES	A	Dividend	K	T	Buy	12/01/14	K		
234. -ASG GLOBAL ALTERNATIVE FUND	A	Dividend	K	T	Buy	02/21/14	K		
235. -MALLINCKRODT PLC		None	J	T	Buy	09/04/14	J		
236. -DREYFUS HIGH YIELD FD	A	Dividend	J	T					
237. -DREYFUS EMERGING MKTS DEBT LOCAL CUR FD	A	Dividend			Sold	01/31/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238. -TCW EMERGING MKTS INCOME FUND	A	Dividend	J	T					
239. IRA #2									
240. -BNY MELLON INTERM BOND FUND CLASS M	B	Dividend	L	T					
241. -BNY MELLON SHORT TERM US GOVERNMENT SEC FUND	A	Dividend	J	T	Buy	05/08/14	J		
242. -DREYFUS YIELD ENHANCEMENT STRATEGY FUND	A	Dividend	J	T	Buy	05/08/14	J		
243. -DREYFUS PREMIER LTD TERM HIGH YIELD FD	A	Dividend			Sold	05/08/14	J	A	
244. -ADVANTAGE GLOBAL	A	Dividend	J	T					
245. -BNY MELLON SMALL MID CAP FUND		None	J	T					
246. -DREYFUS DIVERSIFIED INTERNATIONAL FUND	A	Dividend	J	T					
247. -DREYFUS EMERGING MKTS DEBT FUND	A	Dividend			Sold	03/24/14	J	B	
248. -TCW EMERGING MKTS INCOME FUND	A	Dividend			Sold	05/08/14	J	A	
249. -DREYFUS BASIC S AND P 500 STOCK INDEX FD	B	Dividend	L	T					
250. -ASG MANAGED FUTURES STRATEGY FUND	A	Dividend	J	T	Buy	04/08/14	J		
251. -ASG GLOBAL ALTERNATIVES	A	Dividend	J	T					
252. BROKERAGE ACCOUNT #3									
253. -DREYFUS LARGE FUND GROWTH FUND CLASS I	A	Dividend	K	T					
254. BROKERAGE ACCOUNT #4									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255. -AMERICAN STRATEGIC INCOME PORTFOLIO	A	Dividend	K	T					
256. -CIT GROUP INC NEW	A	Dividend	J	T					
257. -GABELLI DIVIDEND & INCOME TRUST	A	Dividend	J	T					
258. -AIM INV INVESCO VAN KAMPEN CORP BOND FD.	B	Dividend	K	T					
259. -AIM INV INVESTCO VAN KAMPEN HIGH YD FD CLASS A	A	Dividend	K	T					
260. -PLUM CREEK TIMBER CO INC	A	Dividend	J	T					
261. -QWEST CORP PREFERRED 7/5% 9/15/16	A	Interest	J	T					
262. -WELLSFARGO WEALTH BUILDER MODERATE BALANCE FUND	A	Dividend	K	T					
263. -WELLS FARGO BANK (VARIOUS ACCOUNTS)	A	Interest	K	T					
264. TRUST #1									
265. -SPDR S AND P 500 FD	A	Dividend	K	T					
266. -SPDR DOW JONES INDUSTRIAL AVER TRUST	A	Dividend	K	T					
267. -I-SHARES MSCI EMERGING MKTS FD	A	Dividend	J	T					
268. -VANGUARD EXTENDED MKT VIPERS INDX FD	A	Dividend	K	T					
269. -VANGUARD EMERGING MKTS FUND	A	Dividend	J	T					
270. -BNY MELLON INCOME STOCK FUND	A	Dividend	J	T	Buy	01/06/14	J		
271. -BNY MELLON NATL INTER MUN BD FUND	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
272. -BNY MELLON NATL MUNI MONEY MKT FD	A	Dividend			Sold	01/07/14	J	A	
273. -BNY MELLON NAT S/T MIN BD FUND	A	Dividend	J	T					
274. -DREYFUS HIGH YIELD FD	A	Dividend	J	T					
275. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	J	T	Buy	01/06/14	J		
276. -DREYFUS GLOBAL REAL RETURN FUND	A	Dividend	J	T	Buy	08/11/14	J		
277. -DREYFUS EMERGING MKT DEBT LOCAL FD	A	Dividend			Sold	02/03/14	J	A	
278. -DFA EMERGING MARKETS CORE EQUITY	D	Dividend	J	T					
279. -TCW EMERGING MKTS INCOME FD	A	Dividend	J	T					
280. -ASG GLOBAL ALTERNATIVE	A	Dividend	J	T	Buy	03/24/14	J		
281. -ADVANTAGE DYNAMIC TOTAL RETURN	A	Dividend	J	T	Buy	03/24/14	J		
282. TRUST #2									
283. -SPDR S&P500ETF(fnaSPDR TRust series I)	B	Dividend	L	T					
284. -ADVANTAGE DYNAMIC TOTAL REFUND FUND	A	Dividend	J	T	Buy	03/21/14	J		
285. -ASC GLOBAL ALTERNATIVES FUND	A	Dividend	J	T	Buy	03/21/14	J		
286. -SPDR DOW JONES INDUSTRIAL AV TRUST	B	Dividend	L	T					
287. -ISHARES MSCI EAFE INDX FD	A	Dividend	K	T					
288. -VANGUARD EXTENDED MTK VIPERS	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

289. -BNY MELLON INT MUN BD FD	A	Dividend			Sold	03/21/14	J	A	
290. -BNY MELLONNATL MUNI MONEY MKT FD	A	Dividend	K	T					
291. -BNY MELLON INCOME STOCK FUND	A	Dividend	J	T					
292. -TCWEMERGING MKTS INCOME FUND	A	Dividend	J	T	Buy	01/06/14	J		
293. -DREYFUS HIGH YIELD FUND	A	Dividend	J	T					
294. -DFA EMERGING MARKETS CORE EQUITY	A	Dividend	K	T					
295. -DREYFUS EMERGING MKTS DEBT LOCAL CURRENCY FD	A	Dividend			Sold	01/31/14	J	A	
296. DREYFUS GLOBAL REAL RETURN FUND	A	Dividend	K	T	Buy	08/11/14	J		
297. DREYFUS FLOATING RATE INCOME FUND	A	Dividend	K	T	Buy	01/06/14	J		
298. -VANGUARD EMERGING MKTS FUND	A	Dividend			Sold	01/31/14	J	A	
299. -BNYMELLON NATIONAL SHORT TERM MUN FUND	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard B. Jackson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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