

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HODGES, GEORGE R.	2. Court or Organization U.S. BANKRUPTCY COURT	3. Date of Report 05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, Retired/Recalled	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address UNITED STATES COURTHOUSE 401 West Trade Street CHARLOTTE, NC 28202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Nationwide Ins. Co.	Triangle Properties Assoc. LLC secured by shopping center in Hickory, NC	P1
2.	Nationwide Ins. Co.	Note by JM Wallace Land Co. secured by shopping center in Iredell County, NC	P1
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sonoco Products	C	Dividend	M	T					
2. Morgan Stanley int. bearing demand accts.	A	Int				04/23/14	J		Merrill Lynch
3. Merrill Lynch int. bearing demand acct.	A	Interest	J	T	Open	04/23/14			Morgan Stanley
4. IRA - MSDW Liquid Asset Fund		None			Closed	05/15/14	K	A	Merrill Lynch
5. IRA - Baxter Int'l.	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
6. IRA - Apple Inc.		None			Sold	05/15/14	K	A	Merrill Lynch
7. IRA - IShares IBOXX	A	Dividend			Sold	05/15/14	J	A	Merrill Lynch
8. IRA - Danaher Corp.	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
9. IRA - General Electric	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
10. IRA - Ishares MSCI Emerging Mkt	A	Dividend			Sold	05/15/14	J	A	Merrill Lynch
11. IRA - Chevron	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
12. IRA - Textron Inc.	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
13. IRA - Buffalo Small Cap Fund		None			Sold	05/15/14	J	A	Merrill Lynch
14. IRA - Cohen + Steers Gbl Inf. A	A	Dividend			Sold	05/15/14	J	A	Merrill Lynch
15. IRA - Goldman Sachs Sm Cap Val A	A	Distribution			Sold	05/14/14	K	A	Merrill Lynch
16. IRA - Ivy Small Cap GRW A		None			Sold	05/15/14	J	A	Merrill Lynch
17. IRA - Nuveen Trade Val. Op. A	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IRA - Royce Op.. Svc		None			Sold	05/15/14	K	A	Merrill Lynch
19. IRA - Cerner Corp.		None			Sold	05/15/14	J	A	Merrill Lynch
20. IRA - Thermo Fischer Sci Inc.	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
21. Bristol Myers Squibb	B	Dividend	L	T					
22. Oracle Corp.	B	Dividend	M	T					
23. Lincoln Nat'l. Corp.	B	Dividend	L	T					
24. Altria Group	B	Dividend	K	T					
25. Kraft Foods	A	Dividend			Sold	05/14/14	J	D	Merrill Lynch
26. Coca Cola Co.	A	Dividend	K	T					
27. IRA -- Alexion Pharm		None			Sold	05/15/14	K	A	Merrill Lynch
28. IRA -- HCA Holdings		None			Sold	05/15/14	K	A	Merrill Lynch
29. IRA -- Carefusion Corp.		None			Sold	05/15/14	J	A	Merrill Lynch
30. IRA -- Borg Warner	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
31. IRA -- Gilead Science		None			Sold	05/15/14	J	A	Merrill Lynch
32. IRA -- Biogen IDEC Inc.		None			Sold	05/15/14	K	A	Merrill Lynch
33. IRA -- Franklin Inc. Adv.	B	Interest			Sold	05/15/14	K	A	Merrill Lynch
34. IRA -- Prudential Sht Dur Hi Yld	C	Interest			Sold	05/15/14	K	A	Merrill Lynch

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. IRA -- JPMorgan Chase	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
36. IRA -- Power Shares Wat Res	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
37. IRA -- Williams Co.	A	Dividend			Sold	05/15/14	J	A	Merrill Lynch
38. IRA -- Actavis, PLC (formerly Watson Pharm)	A	Dividend			Sold	05/15/14	J	A	Merrill Lynch
39. IRA -- Google Inc. CLA		None			Sold	05/15/14	K	A	Merrill Lynch
40. IRA -- Wm Blair Intl Gwth	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
41. IRA -- Nucor Corp	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
42. IRA -- Prudential Gbl RE	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
43. Mondelez Intl	A	Dividend			Sold	05/15/14	K	D	Merrill Lynch
44. IRA -- MS Emergin M KT Debt	A	Interest			Sold	05/15/14	J	A	Merrill Lynch
45. Merck	C	Dividend	M	T					
46. Coca Cola Bot. Consol.	A	Dividend			Sold	05/14/14	K	D	Merrill Lynch
47. Microsoft	D	Dividend	N	T					
48. Intel	A	Dividend			Sold	05/14/14	J	B	Merrill Lynch
49. Spectra Energy	A	Dividend			Sold	05/14/14	J	D	Merrill Lynch
50. Duke Energy Holding Co.	A	Dividend	K	T					
51. General Electric Co.	C	Dividend	L	T	Buy (add'l)	02/04/14	K		Merrill Lynch

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Phoenix Cos.	B	Dividend			Sold	01/31/14	K	F	Barry, Evans
53. Philip Morris Int'l. Inc.	C	Dividend	L	T					
54. ML CMA demand deposit	A	Interest	L	T					
55. N Carolina Estn MPA Sys	A	Interest	J	T					
56. CSX Corp.	A	Dividend	K	T	Buy	02/14/14	K		Merrill Lynch
57. IBM Corp.	A	Dividend	K	T	Buy	12/17/14	K		Merrill Lynch
58. Nash Hlth Cre Sys NCHCF	B	Interest	L	T					
59. Berkshire Hathaway CLB		None	M	T					
60. N. Car. MCC. Healthcare	B	Interest	L	T					
61. Johnson + Johnson	B	Dividend	L	T					
62. American Balanced Fund	C	Interest	M	T					
63. American Fundamental	B	Interest	M	T					
64. First Eagle Overseas FD		None	L	T					
65. First Eagle Global FD		None	L	T					
66. JPMorgan Inc. Bldr. FD	B	Interest	L	T					
67. IRA - Cash/Money Mkt.	A	Interest	J	T					
68. IRA - American Capital Wld Fund	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. IRA - American Cap Inc. Bldr.	C	Interest	L	T					
70. IRA - American Growth Fund	A	Interest	L	T					
71. 529 College Amer. Fund	B	Dividend	L	T					
72. N. Car. MCC HCF	B	Interest	L	T	Buy	01/14/14	L		Merrill Lynch
73. American AMCAP 529A	A	Dividend	K	T					
74. American BDFD 529A	A	Dividend	J	T					
75. American CAP WRLD G&I 529A	A	Dividend	J	T					
76. Cisco Sys	C	Dividend	M	T	Buy (add'l)	05/14/14	K		Merrill Lynch
77. Emerson Elec	B	Dividend	L	T					
78. Nucor Corp.	B	Dividend	K	T					
79. American EUROPACIFIC 529A	A	Dividend	K	T					
80. American GR FD of Amer 529A	A	Dividend	K	T					
81. Amer. SMALLCAP World 529A	A	Dividend	K	T					
82. Amer. WA Mutual 529A	A	Dividend	J	T					
83. IRA -- 3-D Systems		None			Sold	05/15/14	J	A	Merrill Lynch
84. IRA -- Lockheed Martin	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
85. IRA -- Lyondellbasell	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. IRA -- Sigma Aldrich	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
87. IRA -- Stratasys Ltd.		None			Sold	05/15/14	J	A	Merrill Lynch
88. IRA -- Terex	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
89. IRA -- Tyco Int'l	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
90. IRA -- United Technologies	A	Dividend			Sold	05/15/14	K	A	Merrill Lynch
91. IRA -- Shroder Emerg. Mkt.	A	Dividend			Sold	12/10/14	K	A	Merrill Lynch
92. Barrick Gold Corp.	A	Dividend			Sold	12/17/14	J	A	Merrill Lynch
93. IRA -- BOA RASP	A	Interest	K	T	Buy	05/15/14	K		Merrill Lynch
94. IRA -- Vornado Real. Tr.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
95. IRA -- Albemarle Corp.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
96. IRA -- Altria Group	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
97. IRA -- Blackrock, Inc.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
98. IRA -- Bristol My. Sq.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
99. IRA -- Carnival Corp. Pr.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
100. IRA -- Chevron Corp.	A	Dividend	J	T	Buy	10/01/14	J		Merrill Lynch
101. IRA -- Cinn. Fin. Crp.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
102. IRA -- Cisco Sys. Inc.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. IRA -- Coca Cola Com	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
104. IRA -- Conoco Phillips	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
105. IRA -- Corning Inc.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
106. IRA -- Corr. Corp. Am.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
107. IRA -- Dominion Res.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
108. IRA -- Duke Energy	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
109. IRA -- Eli Lilly	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
110. IRA -- Fed. Investors B	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
111. IRA -- Gen. Dynamics	A	Dividend	K	T	Buy	05/15/14	K		Merrill Lynch
112. IRA -- Hasbro Inc.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
113. IRA -- Intel Corp.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
114. IRA -- IBM Corp.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
115. IRA -- Kinder Morgan	A	Dividend	K	T	Buy	05/15/14	K		Merrill Lynch
116. IRA -- Lorillard Inc.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
117. IRA -- Lowes Cos.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
118.					Sold (part)	07/07/14	J	A	Merrill Lynch
119. IRA -- Microsoft	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. IRA -- Newmarket Corp.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
121. IRA -- Paychex Inc.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
122. IRA -- Pfizer Inc.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
123.					Sold (part)	07/07/14	J	A	Merrill Lynch
124. IRA -- Reynolds Am.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
125. IRA -- Mosaic Co.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
126. IRA -- Verizon Commun.	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
127. IRA -- Wells Fargo & Co.	A	Dividend	K	T	Buy	05/15/14	J		Merrill Lynch
128. IRA -- Windstream Hold.	B	Dividend	K	T	Buy	06/30/14	J		Merrill Lynch
129. IRA -- Del. Value Fd.	B	Dividend	M	T	Buy	05/15/14	M		Merrill Lynch
130. IRA -- Eaton Vance ATL	C	Dividend	L	T	Buy	05/15/14	L		Merrill Lynch
131. IRA -- iShares Russell	D	Dividend	M	T	Buy	05/15/14	M		Merrill Lynch
132. IRA -- Loomis Sayles Bed. Fd.	E	Dividend	N	T	Buy	05/15/14	N		Merrill Lynch
133. IRA -- MFS Int'l Value	C	Dividend	M	T	Buy	05/15/14	M		Merrill Lynch
134. IRA -- Johnson & Johnson	A	Dividend	J	T	Buy	05/15/14	J		Merrill Lynch
135.					Sold	06/30/14	J	A	Merrill Lynch
136. SX5E Stepup 4/15		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. SX5E Stepup 10/16		None	K	T					
138. IRA - BB&T variable rate time investment	A	Dividend	K	T					
139. BB&T demand deposit	A	Interest	N	T					
140. Morgan Stanley - Liquid Assets Acct.	A	Interest			Closed		N		Merrill Lynch
141. Merrill Lynch CMA	A	Interest	N	T	Open	04/23/14	N	A	Merrill Lynch
142. Coca Cola Co.	D	Dividend	N	T					
143. Spectra Energy	B	Dividend	L	T					
144. Duke Energy Corp.	D	Dividend	M	T	Buy (add'l)	02/05/14	K		Morgan Stanley
145. Exxon/Mobil Corp.	E	Dividend	O	T	Donated (part)				
146. General Electric Co.	D	Dividend	N	T					
147. International Paper Co.	C	Dividend	M	T					
148. Harris Teeter Suprmkt		None			Sold	01/29/14	L	D	Kroger Co.
149. Sonoco Products	C	Dividend	M	T					
150. Schlumberger, Ltd.	A	Dividend	L	T					
151. Merck Co.	D	Dividend	M	T					
152. Triangle Properties Assoc., LLC	G	Distribution	P1	U					
153. J.M. Wallace Land Co., LLC	G	Distribution	P1	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. SX5E Stepup 8/17		None	L	T	Buy	08/28/14	L		Merrill Lynch
155. East Mecklenburg Co.	G	Distribution	O	U					
156. The Mason Wallace Co., LLC	G	Distribution	P1	U					
157. Indian Land, SC Investment Real Estate		None	N	W					
158. Microsoft	D	Dividend	N	T					
159. McDonalds Corp.	D	Dividend	M	T					
160. Verizon Comm.	D	Dividend	M	T					
161. AT&T	D	Dividend	M	T					
162. Phillips 66	B	Dividend	L	T					
163. Pfizer	D	Dividend	M	T	Buy (add'l)	02/05/14	K		Morgan Stanley
164. Chevron Corp.	D	Dividend	M	T					
165. Express Scripts Hldg (formerly Medco)		None	K	T					
166. Conoco Phillips	D	Dividend	M	T					
167. Tradewinds Global Inv. Fund	C	Dividend			Sold	05/14/14	O	A	Merrill Lynch
168. MS Emerging Mkts. Debt.	D	Dividend			Sold	04/23/14	M	A	Merrill Lynch
169. Baxter Int'l.	D	Dividend	M	T	Buy (add'l)	02/05/14	K		Morgan Stanley
170. Bristol Myers Squibb	D	Dividend	M	T	Buy (add'l)	02/05/14	K		Morgan Stanley

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Dominion Res.	D	Dividend	M	T					
172. Veritiv Corp.		None			Sold	07/02/14	K	A	Int'l Paper
173. SX5E Stepup		None	L	T	Buy	09/05/14	L		Merrill Lynch
174. Emerson Electric	A	Dividend	K	T	Buy	12/22/14	K		Merrill Lynch
175. IBM	A	Dividend	K	T	Buy	12/22/14	K		Merrill Lynch
176. Nucor Corp.	A	Dividend	K	T	Buy	12/22/14	K		Merrill Lynch
177. Lockheed Martin	D	Dividend	M	T	Buy (add'l)	02/05/14	K		Morgan Stanley
178. Nuveen Hi YLD Muni Bond C	B	Interest			Sold	05/14/14	M	A	Merrill Lynch
179. Lowes Cos.	C	Dividend	N	T					
180. Pepsico	C	Dividend	M	T	Buy (add'l)	02/05/14	K	A	Morgan Stanley
181. Hatteras Alpha Hgd. FD		None			Sold	05/14/14	M	A	Merrill Lynch
182. MFS Muni High Inc. FD	B	Dividend			Sold	05/14/14	M	A	Merrill Lynch
183. INTER VIVOS TRUST Dtd 11-22-89		None	L	T					
184. John Hancock Life Insurance Policy:		None	M	T					
185. BB&T Demand Deposit Account	A	Interest	J	T					
186. Prudential Financial	A	Dividend	K	T					
187. NY Life Fixed Annuity	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. AmEuro Pacific FD	B	Dividend	L	T	Buy	12/10/14	L		Merrill Lynch
189. Artisan Int'l FD	B	Dividend	M	T	Buy	05/19/14	M		Merrill Lynch
190. Fst. Eagle Global FD	A	Dividend	M	T	Buy	05/19/14	M		Merrill Lynch
191. Mainstay Epoch Gbl. FD	A	Dividend	M	T	Buy	12/10/14	M		Merrill Lynch
192. Schroder Abs. Rtn FD	B	Dividend	M	T	Buy	05/19/14	M		Merrill Lynch
193. Wells Fargo Adv. Abs FD	C	Dividend	M	T	Buy	05/19/14	M		Merrill Lynch
194. Allianzgi NFJ Int. Val FD	A	Dividend	M	T	Buy	05/19/14	M		Merrill Lynch
195.					Sold	12/10/14	M	A	Merrill Lynch

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS

Trustee Inter Vivos life insurance trust dated 11-22-89.

VII. INVESTMENTS and TRUSTS

Conflicts: I do not handle any disputes involving any company in which stock is owned (individually and as Trustee). Also, I do not handle any disputes involving closely held businesses or anything related thereto. Those matters are assigned to the other bankruptcy judges in the Western District of North Carolina. I no longer have a conflict on matters involving Bank of America, since my [REDACTED] retirement from it. The Committee on Codes of Conduct has determined that that is not a conflict for my hearing disputes involving BB&T on account of a [REDACTED] involvement on a "local board" of the bank.

Note: Income is received from several closely held family corporations including East Mecklenburg Corp. ("S" corporation), The Mason Wallace Co., LLC, J.M. Wallace Land Co., LLC, and Triangle Properties Associates, LLC, and real property owned in common. This is in the nature of investment income and is reported in PART VII. The corporations buy, sell and own real estate, but the stockholders do not control any of those decisions or assets individually.

I own two cows that produce calves that I sell. The cows have a value of 1,000. During 2014, I sold two calves at the Upstate Livestock Market for a total of \$2,023.

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

05/15/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ GEORGE R. HODGES**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544