

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Richard B.	2. Court or Organization UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO	3. Date of Report 04/22/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/21/2017
7. Chambers or Office Address US Courthouse 901 19th St Denver CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	PERA STATE OF COLORADO	\$72,232.02
2. 2017	SOCIAL SECURITY	\$29,178.00
3. 2017	IRA DISTRIBUTION	\$106,540.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	SELF-EMPLOYED TRAVEL AGENT
2. 2017	SOCIAL SECURITY
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -ASG MANAGED FUTURES STRATEGY FUND	A	Dividend			Sold	08/22/17	J	A	
3. -VANGUARD VALUE VIPERS	B	Dividend	L	T					
4. -DREYFUS BASIC S AND P 500	A	Dividend	L	T					
5. -VANGUARD EXTENDED MKT VIPERS	A	Dividend	K	T					
6. -VANGUARD GROWTH VIPERS	A	Dividend	L	T					
7. -BNY MELLON MUNICIPAL OPPORTUNITIES FUND	A	Dividend	J	T					
8. -BNYMELLON NATIONAL INTERMEDIATE MUNICIPAL BOND FUND	B	Dividend	L	T					
9. -DREYFUS HIGH YIELD FUND	A	Dividend	J	T					
10. -DREYFUS FLOATING RATE INC	A	Dividend	J	T					
11. -DREYFUS GLOBAL RETURN	A	Dividend	J	T					
12. -DREYFUS DIVERSIFIED EMR MKTS	A	Dividend	K	T					
13. -DREYFUS MIDCAP INDEX FUND	A	Dividend	L	T	Buy (add'l)	02/02/17	L		
14. -DREYFUS SMALL CAP		None	J	T	Buy	11/06/17	J		
15. -ISHARES MSCI EAFE INDEX FD	A	Dividend	L	T	Buy (add'l)	06/21/17	L		
16. -ADVANTAGE GLO DYNAMIC TOTAL RETURN FUND		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. -US TREASURY NOTE 0.875% 1/31/17	A	Interest			Sold	01/31/17	K	A	
18. -US TREASURY NOTE 0.875% 1/15/18	A	Interest	K	T					
19. BROKERAGE ACCOUNT #1									
20. -FED HOME LN MTG CORP	A	Dividend	L	T					
21. -FEDERAL HOME LNMTG CORP	A	Dividend	K	T					
22. -FED HOME LOAN BANKS	B	Dividend			Sold	07/31/17	K	A	
23. -US TREASURY NOTE 7/17	A	Interest			Sold	07/31/17	K	A	
24. -US TREASURY NOTE 2% 11/21	A	Interest	L	T					
25. -U.S.TREASURY NOTE 2.25% 4/30/21	B	Interest	L	T					
26. -U.S.TREASURY NOTE 2% 2/15/22	A	Interest	L	T					
27. -U.S.TREASURY NOTE 2% 7/31/20	A	Interest	L	T					
28. -US TREASURY NOTE 2% 2/23	A	Interest	K	T					
29. -BERKSHIRE HATHAWAY INC 2/11/23	A	Interest	K	T					
30. -GEN ELECT CAP CPR 9/17	A	Interest			Sold	09/15/17	K	A	
31. -INTEL CORP 12/15/22	A	Interest	K	T					
32. -AT & T INC 2/1/2018	A	Interest	K	T					
33. -AIM STIT TREASURY PORTFOLIO		None			Sold	01/05/17	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. -SPDR TRUST SERIES 1	D	Dividend			Sold	08/23/17	L	A	
35. -ISHARES TR MIDCAP	B	Dividend	L	T	Sold (part)	10/23/17	J	C	
36. -SPDR DOW JONES INDUSTRIAL AVERAGE	D	Dividend			Sold	01/09/17	L	B	
37. -ISHARES MSCI EAFE INDX FUND	D	Dividend	M	T					
38. -RYDEX ASG MANAGED FUTURES STRATEGY FD	A	Dividend			Sold	08/23/17	K	A	
39. -FED HOME LN MTG CORP	B	Dividend	M	T					
40. -FED NAT MTG ASSN	B	Dividend	K	T					
41. -BEAR STEARRNS CO 4.65% 7/2/18	B	Interest	K	T					
42. -DFA EMERGING CORE EQUITY	A	Dividend	L	T	Buy (add'l)	08/23/17	K		
43. -DREYFUS BASIC S AND P 500 STOCK INDEX	D	Dividend	N	T					
44. -DREYFUS DIVERSIFIED INTL	A	Dividend	L	T					
45. -DREYFUS HIGH YIELD 1	B	Dividend	L	T	Buy (add'l)	01/05/17	K		
46. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	L	T	Buy (add'l)	08/23/17	K		
47. -DREYFUS SELECT MGER SCAP	A	Dividend	L	T					
48. -DREYFUS SELECT MGER SCAP GROWTH FUND		None	K	T	Buy	08/23/17	K		
49. -BNY MELLON INCOME STOCK FUND	C	Dividend	M	T	Sold (part)	03/03/17	L	E	
50. -BNY MELLON CORP BOND	B	Dividend	M	T	Buy (add'l)	09/21/17	M		

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51. -BNY MELLON BOND FUND	B	Dividend	M	T	Buy (add'l)	09/21/17	K		
52. -BNY MELLONINTL EQUITY INCOME FUND	A	Dividend	K	T					
53. -ADVANTAGE DYNAMIC TOTAL RETURN FUND		None	K	T	Buy	12/14/17	K		
54. -ASG MANAGED FUTURES STRATEGY FD	A	Dividend			Sold	08/23/17	K	A	
55. -JOHN DEERE CAPITAL CORP 2% 1/13/17X	B	Dividend			Sold	08/23/17	K	A	
56. -ANHEUSER BUSCH 25000 .2.5% 7/15/22	A	Dividend	K	T					
57. -ASG GLOBAL ALTERNATIVES FUND B		None			Sold	12/14/17	K	A	
58. -ASTRAZENECA PLC 9/18/2019	A	Interest	K	T					
59. -BLACKROCKK INC 3.5% 3/24	A	Interest	K	T					
60. -CATERPILLAR INC 2.6% 6/22	A	Interest	K	T					
61. -PEPSICO INC 3.125% 11/20	A	Interest	K	T					
62. -VERIZON COMM INCL 3.55 11/21	A	Interest	K	T					
63. -WELLS FARGO & CO 3/4/21	A	Interest	L	T					
64. 401(k) #1									
65. -PERAdvantage Income Fund	B	Dividend	K	T					
66. -PERAdvantage Fixed Income	B	Dividend	K	T					
67. -PERAdvantage US Large Cap Stk	B	Dividend	J	T					

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68. -PERAdvantage Intl Stock Fd	B	Dividend	J	T					
69. BROKERAGE ACCOUNT #2									
70. --ALAMEDA CNTYH CA TRANSP AUTHO 3/1/205%	A	Interest	L	T					
71. -ADVANTAGE DYN TOTAL REFTURN		None	L	T	Buy (add'l)	12/08/17	L		
72. -AMERICAN TOWER CORP	A	Dividend	J	T					
73. -CHEVRON CORP	B	Dividend	K	T					
74. -XIRIWA DINuxiL FEOUP CITIZENS	A	Dividend			Sold	12/08/17	J	C	
75. -EXXON MOBIL	C	Dividend	L	T					
76. -GENERAL ELECTRIC CO	A	Dividend			Sold	08/24/17	K	C	
77. -UNION PAC CORP	A	Dividend	K	T					
78. -UNITED TECHNOLOGIES CORP	A	Dividend			Sold	05/24/17	K	E	
79. -WALT DISNEY CO	A	Dividend	J	T					
80. -STARBUCKS CORP	C	Dividend	N	T					
81. -NESTLE S A SPONSORED ADR REPSTG REG	A	Dividend	L	T					
82. -PEPSICO INC	A	Dividend	K	T					
83. -PROCTER & GAMBLE CO	B	Dividend	K	T					
84. -JOHNSON & JOHNSON	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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85. -PFIZER INC	A	Dividend	J	T					
86. -INVESCO LTD	A	Dividend	J	T					
87. -WELLS FARGO AND CO	A	Dividend			Sold	08/24/17	J	B	
88. -ACCENTURE PLC	A	Dividend	J	T					
89. -APPLE INC	A	Dividend	K	T					
90. -ASG MANAGED FUTURES STRATEGY FUND	A	Dividend			Sold	08/22/17	K	A	
91. -GOOGLE INC/ALPHABET		None	J	T					
92. -SALESFORCE.COM INC		None	J	T					
93. -ISHARES MSCI EAFE INDEX FD	C	Dividend	M	T					
94. -ISHARES MSCI EMERGING MKTS	B	Dividend	L	T	Buy	05/24/17	L		
95. -VANGUARD EXTENDED MARKET VIPERS INDEX FUND	A	Dividend	M	T					
96. -SNOWMASS VIG CO	B	Interest			Sold	12/15/17	L	A	
97. -EL PASO CTY CO REV	B	Interest	L	T					
98. -BNY MELLON MUNICIPAL OPPORTUNITIES	B	Dividend	M	T					
99. -TUALATIN HILL OR 6.21	B	Interest	L	T					
100. -PROVO UTAH 4% 1/20	B	Interest	L	T					
101. -BEACHWOOD OHIO 12/19	B	Interest	L	T					

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102. -VERIZON COMMUNICATIONS	A	Dividend	J	T					
103. -ALIGN TECH		None	J	T	Buy	06/28/17	J		
104. -AMAZON .COM INC	A	Dividend	J	T					
105. -INTERCONTINENTAL EXCHANGE	A	Dividend	K	T					
106. -INTEL CORP	A	Dividend			Sold	05/24/17	J	A	
107. -ADOBE SYSTEMS INC		None	J	T					
108. -CELANESE CORP	A	Dividend	J	T					
109. -CORNING INC	A	Dividend	K	T	Buy	08/24/17	K		
110. -DEERE AND CO	A	Dividend	K	T	Buy	08/24/17	K		
111. -DOMINION RES INC	A	Dividend	J	T					
112. -DOUGLAS CNT CO SCH 5/25% 12/15/21	A	Interest	L	T					
113. -DREYFUS MIDCAP INDEX FUND	A	Dividend	L	T					
114. -DREYFUS SMALL CAP STOCK INDX		None	K	T	Buy	06/22/17	K		
115. -EATON CORP	A	Dividend	J	T	Buy	05/24/17	J		
116. -EL PASO CNTY CO SCH 5%9/15/24	A	Interest	L	T					
117. -EXELON CORP	A	Dividend	J	T					
118. -JP MORGAN CHASE	A	Dividend	K	T	Buy	12/08/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/22/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

119. -MANSFIELD TX WTR SWR REVN 9/1/19	A	Interest	L	T					
120. -MICROSOFT CORP	A	Dividend	J	T					
121. -NXP SEMICONDUCTORS	A	Dividend			Sold	06/28/17	J	B	
122. -NUCOR CORP	A	Dividend	K	T					
123. -PALO ALTO NETWORKS INC		None			Sold	03/22/17	J	A	
124. -PLATTE RIVER CO PWER 5% 6/1/24	A	Interest	K	T					
125. -QUALCOMM INC	A	Dividend			Sold	06/28/17	J	A	
126. -TAIWAN SEMICONDUCTOR MFG	A	Dividend	J	T					
127. -VISA INC	A	Dividend	J	T					
128. -CHARLES SCHWAB CORP	A	Dividend	J	T					
129. -EAGLE GARFIELD 4.0% 12/22	A	Interest	L	T					
130. -FACEBOOK INC		None	J	T					
131. -ADVANTAGE GLOBAL	A	Dividend			Sold	08/24/17	K	B	
132. -DENVER CITY & CTY CO SCH 12/19/2021 4%	B	Interest	L	T					
133. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	L	T	Buy (add'l)	05/22/17	L		
134. -DREYFUS GLOBAL REAL RETURN FUND	A	Dividend			Sold	12/07/17	K	A	
135. -DREYFUS INTL SMALL CAP FUND	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

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04/22/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -ACTAVIS PLC/ALLERGAN		None	L	T					
137. -AVAGO TECH	A	Dividend	K	T					
138. -ABBOTT LABS	A	Dividend	J	T					
139. -HARTFORD FINANCIAL SERVICES	A	Dividend	K	T					
140. -DREYFUS HIGH YIELD FD	A	Dividend	K	T	Buy (add'l)	08/22/17	K		
141. IRA #2									
142. -BNY MELLON INTERM BOND FUND CLASS M	B	Dividend	L	T					
143. -BNY EMERGING MKTS	A	Dividend	J	T	Buy	05/22/17	J		
144. -BNY MELLON SHORT TERM US GOVERNMENT SEC FUND	A	Dividend	J	T					
145. -BNY MELLON BOND FUND	A	Dividend	L	T	Buy	08/23/17	L		
146. -DREYFUS YIELD ENHANCEMENT STRATEGY FUND	A	Dividend	J	T					
147. -ADVANTAGE GLO DYNAMIC TOTAL RETURN		None	J	T	Buy (add'l)	08/23/17	J		
148. -DREYFUS DIVERSIFIED INTERNATIONAL FUND	A	Dividend	K	T					
149. -DREYFUS BASIC S AND P 500 STOCK INDEX FD	B	Dividend	L	T					
150. -DREYFUS INFLATION ADJUST SECURITY FUND	A	Dividend	J	T					
151. -DREYFUS MIDCAP INDEX	A	Dividend	K	T					
152. -DREYFUS SELECT MANAGER SC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/22/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -ASG MANAGED FUTURES STRATEGY FUND	A	Dividend			Sold	08/23/17	J	A	
154. -ASG GLOBAL ALTERNATIVES		None			Sold	12/15/17	J	A	
155. BROKERAGE ACCOUNT #3									
156. -DREYFUS LARGE FUND GROWTH FUND CLASS I	A	Dividend	K	T					
157. BROKERAGE ACCOUNT #4									
158. -CIT GROUP INC NEW	A	Dividend	J	T					
159. -DIVERSIFIED REAL ASSET INCOME FUND/NUVEEN	A	Dividend	J	T					
160. -GABELLI DIVIDEND & INCOME TRUST	A	Dividend	J	T					
161. -AIM INV INVESCO VAN KAMPEN CORP BOND FD.	B	Dividend	K	T					
162. -AIM INV INVESTCO VAN KAMPEN HIGH YD FD CLASS A	A	Dividend	K	T					
163. -PLUM CREEK TIMBER CO INC/ Weyerheuser CO	A	Dividend	J	T					
164. -WELLSFARGO WEALTH BUILDER MODERATE BALANCE FUND	A	Dividend	K	T					
165. -WELLS FARGO BANK (VARIOUS ACCOUNTS)	A	Interest	K	T					
166. TRUST #1									
167. -SPDR S AND P 500 FD	A	Dividend	K	T	Sold (part)	03/09/17	J	A	
168. -I-SHARES MSCI EMERGING MKTS FD	A	Dividend	J	T					
169. -BNYMELLON INCOME STOCK	A	Dividend			Sold	03/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/22/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. -BNY MELLON NATL INTER MUN BD FUND	A	Dividend	L	T	Sold (part)	03/07/17	K	A	
171. -BNY MELLON NAT S/T MIN BD FUND	A	Dividend	J	T					
172. -BNY MELLON SMALL CAP MULTI STRATEGY		None	J	T					
173. -DREYFUS HIGH YIELD FD	A	Dividend	J	T					
174. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	J	T					
175. -DREYFUS BNY MEL ALTERNATIVE DIVERSIFIER STRAT FUND	A	Dividend	J	T					
176. -DREYFUS INTL SMALL CAP FUND		None			Sold	03/09/17	J	A	
177. -DFA EMERGING MARKETS CORE EQUITY	D	Dividend	J	T					
178. TRUST #2									
179. -SPDR S&P500ETF(fnaSPDR TRust series I)	B	Dividend	L	T	Sold (part)	03/09/17	J	A	
180. -ISHARES MSCI EAFE INDX FD	A	Dividend	K	T					
181. -VANGUARD EXTENDED MTK VIPERS	A	Dividend	K	T					
182. -BNY MELLONNATL MUNI MONEY MKT FD	A	Dividend			Sold	03/07/17	J	A	
183. -BNY MELLON INCOME STOCK FUND	A	Dividend	J	T					
184. -BNY SMALL CAP MULTI STRATEGY	A	Dividend	J	T					
185. -DREYFUS HIGH YIELD FUND	A	Dividend	J	T					
186. -DREYFUS FLOATING RATE INCOME FUND	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/22/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. -DREYFUS DIVERSIFIED INTL		None	K	T					
188. -DREYFUS MID CAP		None	J	T	Buy	03/03/17	J		
189. -DREYFUS DIVERSIFIED EMERG MKTS	A	Dividend	J	T					
190. -DREYFUS INTL SMALL CAP		None	J	T					
191. -BNYMELLON NATIONAL SHORT TERM MUN FUND	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Jackson, Richard B.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/22/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard B. Jackson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544