

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Daniel, Wiley Y.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 04/16/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court 901 19th Street, A 1038 Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member of Board	Round Up River Ranch
2.	Member of Board and Immediate Past President	Federal Judges Association
3.	Member of Board	Center for Legal Inclusiveness (f/k/a Colorado Campaign for Inclusive Excellence)
4.	Member of Board	Denver Kids, Inc.
5.	Member of Board	Center for African American Health
6.	Member of Board	Rocky Mountain Childrens Choir

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/16/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	University of Colorado School of Law for teaching	\$7,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Law Education Institute & Colorado Bar Association	1/9-1/11/14	Vail, CO	Speaker at CLE	Lodging & Food
2. American Conference Institute	1/16-1/17/14	Los Angeles, CA	Panel Member at Residential Mortgage Conference	Transportation, Lodging & Food
3. American Conference Institute	4/28-4/29/14	Chicago, IL	Panel Member at ERISA Conference	Transportation, Lodging & Food
4. Federal Judges Association	5/4-5/6/14	Washington, D.C.	Attendee at Conference	Transportation, Lodging & Food
5. American Conference Institute	10/23-10/24/14	San Francisco, CA	Panel Member at Consumer Finance Conference	Transportation, Lodging & Food

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/16/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Mortgage on real property in Frisco, CO	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/16/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Oakmark Select	B	Distribution	J	T					
2. Harbor Capital Appreciation		None	J	T	Sold (part)	03/21/14	J	C	
3. Artisan International	A	Dividend	J	T					
4. Gateway	A	Dividend	J	T	Buy	08/28/14	J		
5. Oakmark International	A	Dividend	J	T					
6. Riverpark Wedgewood	A	Dividend	J	T	Buy	03/21/14	J		
7. Loomis Sayles Bond	A	Dividend	J	T	Sold (part)	08/26/14	J	B	
8. Select American Shares	A	Dividend	J	T	Sold (part)	03/21/14	J	A	
9. Schwab S&P 500 Index	A	Dividend	J	T	Buy	08/12/14	J		
10. Westport	A	Distribution	J	T	Buy	08/28/14	J		
11. Schwab Money Market Fund		None	J	T					
12. Berkshire Hathaway		None	J	T					
13. Frisco, CO real property	D	Distribution	K	W	Sold	06/02/14	J	C	
14. Denver, CO real property	D	Distribution	M	W					
15. Oppenheimer Developing Markets		None	J	T	Sold	01/01/14	J	B	
16. Wasatch Small Cap Growth		None	J	T	Sold	01/01/14	J	B	
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/16/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/16/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Wiley Y. Daniel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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