

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Collins, Daniel P.	2. Court or Organization Bankruptcy Court Arizona	3. Date of Report 05/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 230 N. 1st Avenue, Suite 601 Phoenix, Arizona 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	University of Arizona Law School Board of Visitors
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	May, Potenza, Baran & Gillespie, P.C. -- wages from law practice	\$3,541.67
2. 2013	May, Potenza, Baran & Gillespie, P.C. -- stock and equipment buyout	\$5,800.00
3. 2013	May, Potenza, Baran & Gillespie, P.C.--1099 income	\$339,280.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Mountain View Family Physicians, PLLC --- K-1 income from medical practice
2. 2013	Mountain View Family Physicians, PLLC --- K-1 income from medical practice
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	08-22-2013 to 08-23-2013	Tahoe, California	Speaking at bankruptcy seminar on Valuations	airline travel
2. National Conference of Bankruptcy Judges	10-29-2013 to 11-02-2013	Atlanta, Georgia	Attending annual conference	Hotel expense reimbursement
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.	Gonzaga University	College tuition	K
3.	University of Southern California	College tuition	K
4.	Xavier College Preparatory	High School tuition	K
5.	Loyola Marymount University	College tuition	K

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mountain View Family Physicians, PLLC-- Medical practice		None	J	W					
2. 401K #1	F	Int./Div.	O	T					
3. -- Openheimer Funds-Cash Reserves									
4. -- Openheimer Funds -Value Fund									
5. -- Openheimer Funds-Rising Dividends Fund									
6. -- Openheimer Funds-Discovery Fund									
7. -- Openheimer Funds-Small and MidCap Value Fund									
8. -- Openheimer Funds-International Diversified Fund									
9. -- Openheimer Funds-Global Strategic Income Fund									
10. 401K #2	C	Int./Div.	M	T					
11. -- Schwab Investor Money Fund									
12. -- Income and Growth ETF Fund 35									
13. Allianz--Bonus Dex Annuity	A	Int./Div.	K	T					
14. Allianz--Accumulator Bonus Maxx Annuity	A	Int./Div.	K	T					
15. IRA #1	C	Int./Div.	L	T					
16. -- RBC Dain Rauscher Cash and Money Market Fund									
17. -- Wells Fargo Utilities Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Templeton Global Bond Fund									
19. -- Fidelity Advisors New Insights C Fund									
20. -- Ivy Asset Strategy Fund									
21. IRA #2	A	Int./Div.	J	T					
22. -- Fidelity Advisors New Insights C Fund									
23. -- Templeton Select Shares Money Market Fund									
24. IRA #3 (SEP IRA)	C	Int./Div.	L	T					
25. -- Franklin Income Class C Fund									
26. --Jennison Natural Resources Fund									
27. IRA # 4	C	Int./Div.	M	T					
28. -- Vanguard -WellingtonAdmiral Fund									
29. -- Vanguard -Windsor II Admiral Fund									
30. IRA #5	C	Int./Div.	M	T					
31. -- Vanguard-WellingtonAdmiral Fund									
32. -- Vanguard -Windsor II Admiral Fund									
33. Aviva--Retirement Annuity	A	Int./Div.	K	T					
34. JP Morgan Chase Bank--savings and checking accounts	A	Interest	N	T					

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35. Janus Fund	D	Int./Div.	M	T					
36. Janus Fund	E	Distribution	K	T					
37. Fidelity-Unique 2012 Fund	A	Int./Div.	L	T					
38. Vanguard-500 Index Fund	A	Int./Div.	K	T					
39. American Funds	B	Int./Div.	M	T					
40. -- Growth Fund of America 529C									
41. --Washington Mutual Investors Fund 529C									
42. --Income Fund of America 529C									
43. --American Balanced Fund 529C									
44. --Intermediate Bond Fund of America 529C									
45. Merrill Lynch-MILM Equity Portfolio S Fund	A	Int./Div.	K	T					
46. Franklin Templeton-Aged Based Growth Asset Allocation 13-16	A	Int./Div.	K	T					
47. Arizona Bank & Trust	A	Interest	M	T					
48. Health Savings Account		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I have maintained a list of all gifts received at the time of my investiture in April 2013 and the name of each person presenting me with a gift. Virtually all of these gifts were worth less than \$25 but one gift (a wind chime) is believed to be worth about \$50.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel P. Collins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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