

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Owen, Priscilla R.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 903 San Jacinto Blvd., Rm 434 Austin, TX 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Service Dogs, Inc.
2. Advisory Board Member	Federalist Society, Austin Chapter
3. Advisory Board Member	Federalist Society, Houston Chapter
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015	State of Texas Employee Retirement System, Judicial Retirement Plan System II; age 65, 50% of state salary paid TX Supreme Court Justice or 40% at age 60
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Judicial Retirement System of Texas	\$67,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transaction

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		Gross of rep
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)

1.	Federated Prime Obligation #10	A	Dividend	J
2.	Vanguard 500 Index Fund Admiral		None	
3.	1/8 interest, surface, [REDACTED] Matagorda County, TX	B	Rent	M
4.	1/12 mineral interest, [REDACTED], Matagorda County, TX		None	J
5.	1/4 surface interest in [REDACTED], Matagorda County, TX	A	Rent	J
6.	mineral interest, [REDACTED], Matagorda County, TX (Brigham		None	J
7.	[REDACTED] land, Matagorda County, TX	A	Rent	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard Equity Income Fund Admiral Shs (IRA)	B	Dividend	L	T	Sold (part)	07/22/15	K	D	
19. Vanguard 500 Index Fund Admiral	B	Dividend	L	T					
20. Vanguard World Fund Intl Growth Fund Admiral Shs	A	Dividend	K	T					
21. FNMA StrNt 1.5% Due 12/18/2020	A	Interest	K	T					
22. FNMA StrNt 2% Due 12/28/2027	A	Interest	K	T					
23. Dodge & Cox Income Fund	B	Dividend	K	T					
24. Eaton Vance Mutual Funds Tr Fltg Rate Fund Class I	A	Dividend	K	T					
25. Vanguard Fixed Income GNMA Fund Admiral Shs	A	Dividend	K	T					
26. Vanguard Fixed Income Secs Funds Admiral	A	Dividend	K	T					
27. FHLMC 2% Due 12/30/2019	A	Interest			Redeemed	06/30/15	K		
28. FFCB 2.375% Due 7/16/2020	B	Interest			Redeemed	07/16/15	L		
29. Vanguard Emerging Mkts Stock Idx Adm	A	Dividend	J	T	Buy	02/12/15	J		
30. FHLMC 1.5% Due 12/24/18	A	Interest			Buy	06/30/15	K		
31.					Redeemed	09/24/15	K		
32. FFCB 1.2% Due 7/13/18		None	K	T	Buy	07/16/15	K		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Financial Disclosure Report that I filed for 2014, in Part VII, Investments and Trusts, on line 19 reflected that an asset's name was FNMA StrNt 1% Due 12/18/2020. That was the information provided by the bank that manages this asset. In preparing my report for 2015, I learned that the correct percentage for this asset is 1.5%. The information that the bank sent to me for the year 2014 was incorrect. The asset on line 19 of the 2014 Report is the same as that appearing on line 21 of Part VII in the current, 2015, Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Priscilla R. Owen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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