

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thurman, William T.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 04/22/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 350 South Main Street, Rm 358 Salt Lake City, Utah 84101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board of Trustees	Utah Bankruptcy Lawyers Forum
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	Jan. 19, 20, 21 2012	Denver, Colorado	Speak at seminar	meals, lodging, travel expense and tuition waiver
2.	Norton Institute on Bankruptcy Law	Feb. 26 and 27, 2011	Park City, Utah	Speak at seminar	meals, lodging and tuition waiver
3.	National Conference of Bankruptcy Judges	March 18, 19 and 20 2012	Miami, Fla.	Committee Chair; Mid year meeting	meals, lodging, travel expense.
4.	Utah State Bar Association	March 15 and 16, 2012	St. George, Utah	Attend Mid-year meeting	tuition wavier, meals
5.	Federal Bar Association of Utah	May 4, 2012	St. George, Utah	Speak at seminar	tuition, meals

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6.	Northwestern University, Law School	April 19-27, 2012	Chicago, Ill.	Attend Judicial Training Seminar	tuition, meals, lodging, travel expense
7.	National Conference of Bankruptcy Judges	September 11-13, 2012	Washington, D.C.	Participate in Reception for Congressmen with FJA and MJA	meals, lodging, travel expense
8.	Federal Bar Association of Utah	October 11, 12 and 13, 2012	Ketchum, Ida	Speak at Tri-State seminar	meals, lodging, travel expense
9.	American College of Bankruptcy	October 19, 2012	Salt Lake City, Utah	Speak at seminar	meal, tuition waiver
10.	National Conference of Bankruptcy Judges	October 23-28, 2012	San Diego, Ca.	Attend Annual Meeting; Committee Chair	meals, lodging, travel expense
11.	Federal Bar Association of Utah	November 14, 2012	Salt Lake City, Utah	Participate in Annual Dinner	meal

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Savings, checking Accts									
2. ---Mountain America Credit Union	D	Interest	K	T					
3. ---Zions First National Bank checking and savings	D	Interest	N	T					
4.									
5. Broker #1 Account									
6. ---Eaton Vance Tx Mngd Value C Fund	A	Int./Div.	K	T	Sold (part)	12/27/12	J	A	
7.									
8. New England Life (funds held for filer as cash values)									
9. ---BlackRock Bnd Inc. Prt fund	A	Dividend	J	T					
10. ---BR Money Market Fund	A	Dividend	J	T					
11. ---MetLife Stock Index Fund	A	Dividend	J	T					
12. ---FI Val Leaders Fund	A	Dividend	J	T					
13. ---L/S Small Cap Fund	A	Dividend	J	T					
14. ---Art Inter Stck Port fund	A	Dividend			Sold	07/03/12	J	A	
15. ---Baillie Intn'l Stock fund	A	Dividend	J	T	Buy	07/05/12	J		
16. ---Davis Venture Value Fund	A	Dividend	J	T					
17. ---Jenison Growth Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.									
19. IRA (Same Broker as #1)									
20. ---DA Davidson Money Market Fund	A	Int./Div.	J	T					
21. ---Davis NY Venture Value Cl C Fund.	A	Dividend	J	T					
22. ---Oppenheimer Main St. Sm Cap Cl C Fund.	A	Dividend			Sold	07/31/12	J	A	
23. ---Franklin Rising Dividends Cl C Fund	A	Dividend	J	T	Buy	08/01/12	J		
24. ---Thornburg Inv. Inc Builder Class C Fund	A	Dividend	J	T	Buy	08/01/12	J		
25. ---Capital Inc. Builder Class C fund	A	Dividend	J	T	Buy	08/01/12	J		
26. ---Growth Fund of Am Cl C Fund.	A	Dividend	J	T					
27.									
28. 401(K) Broker #2 Account									
29. ---Dodge & Cox Income Fund	A	Int./Div.	K	T					
30. ---Dodge & Cox Stock Fund	A	Dividend	J	T					
31. ---Riversource Trust Stable Cap II Fund	A	Dividend	K	T					
32. ---Royce Low priced Stock Fund	A	Dividend	J	T					
33. ---Prudential Jennison Growth Fund	A	Dividend	J	T					
34. ---American Funds EurPacific Growth Fund Class A	A	Dividend	K	T	Sold (part)	09/06/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. ---Victory Established Value Fund I	A	Dividend	J	T	Buy	09/07/12	J		
36. ---Columbia Mid Cap Growth Fund	A	Dividend	J	T	Buy	09/07/12	J		
37. ---Brown Capital Mgt. small Co. Fund	A	Dividend	J	T					
38.									
39. Utah Educational Savings Plan, Age Based Gr; see Part VIII	A	Dividend	J	T					
40.									
41.									
42.									
43.									
44.									
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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52.									
53.									
54.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#39 Part VII. The full name of this fund is the Utah Educational Savings Plan, Age-Based Aggressive Growth (529 Plan). Four separate Funds are maintained for four separate minor children under the filer's and spouse's names. Monthly contributions are made.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William T. Thurman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544