

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gettleman, Robert W.	2. Court or Organization U.S. District Court, N.D. Illinois	3. Date of Report 07/27/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 219 South Dearborn Street Chambers 1788 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015	General Partner: Bob Jo Investments (passive investment partnership with Joel Rubin in single real estate venture; we each own 50% of one limited partnership)
2. 2015	CCTO Production Partnerhsp (passive limited partnership interest in oil and gas production; 0.0135% interest)
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/27/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Northwestern University School of Law; taught courses	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	LCSW, Private Social Work Practice
2. 2015	Teachers' Retirement Pension
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/27/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/27/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank (Chicago)	A	Interest	J	T					
2. Bob Jo Investments (Real Estate)	G	Distribution	K	T					
3. Morgan Stanley Liq. Asset Fund	A	Interest	J	T					
4. MS Natural Resources	A	Interest	K	T					
5. Morgan Stanley Active Assets Money Trust	C	Interest	J	T					
6. Ill. St. Civic CTR REF-SPL (Bond)	A	Interest	J	T					
7. Illinois St. Gen. Oblig. (Bond)	A	Interest	J	T					
8. Ill. Health Facs. Auth. (Bond)	A	Interest	J	T					
9. CCTO Production Partnership	B	Distribution	K	T					
10. Sacred Silks	A	Interest	J	T					
11. Eldorado Gold CP	A	Dividend	J	T					
12. Bristol Myers Squibb Co.	A	Dividend	J	T					
13. ING Plus	A	Interest	J	T					
14. GE Money BK	A	Interest	J	T					
15. MS Bank CD	A	Interest	J	T					
16. Giga Tronics	A	Dividend			Sold	03/01/15	J	A	
17. McEwen Mng Inc Com (MUX)	A	Dividend			Sold (part)	07/08/15	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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07/27/2016

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. McEwen Mng Inc Com (MUX)	A	Dividend			Sold	07/13/15	J	A	
19. Stora Enso SP ADR Ser R (SEQAY)	A	Dividend	J	T					
20. Turquoise Hill Res Ltd Com (RRQ)	A	Dividend	J	T					
21. BB&T Corporation	A	Dividend	J	T					
22. Amer. FDS Cap. Bldrs.	C	Dividend	K	T					
23. Morgan Stanley Growth Fund of Amer.	C	Dividend	K	T					
24. U.S. Treas. Bonds	A	Interest	L	T					
25. Morgan Stanley U.S. Govt. Sec.	C	Dividend	M	T					
26. Morgan Stanley Liq. Asset Fund	B	Dividend	K	T					
27. Morgan Stanley European Growth	A	Dividend	K	T					
28. Morgan Standly Pacific Grouwth B	A	Dividend	J	T					
29. Bristol Myers Squibb Co.	A	Dividend	J	T					
30. Novartis AG ADR	A	Dividend	J	T					
31. Raytheon Co (New)	A	Dividend	J	T					
32. GMAC Bank CD	A	Dividend	J	T					
33. Eli Lilly & Co.	A	Dividend	J	T					
34. Intel Corp.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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35. Isis Pharm Inc.	A	Dividend	J	T					
36. Sanofi Aventis Ads	A	Dividend	J	T					
37. Seabridge Gold Inc	A	Dividend			Sold	07/13/15	J	A	
38. American Mutual A	A	Interest	J	T					
39. Columbia Global Value B	A	Dividend	J	T					
40. Agnico Eagle Mines	A	Dividend			Sold	07/06/15	J	A	
41. Echelon	A	Dividend	J	T					
42. Eldorado Gold	A	Dividend			Sold	07/16/15	J	A	
43. Goldman Sachs GRP Inc.	A	Dividend	J	T					
44. Market Vectors Gold Mines	A	Dividend	J	T					
45. Morgan ST III Cum	A	Dividend	J	T					
46. Yamana Gold Ind.	A	Dividend			Sold	07/13/15	J	A	
47. Chicago Go-EsD BE	A	Dividend	J	T					
48. Duke Energy Corp	A	Dividend	J	T					
49. BP PLC ADS	A	Dividend			Sold	07/28/15	J	A	
50. Genuine Parts Co.	A	Dividend	J	T					
51. IBM	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 14

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52. Proctor & Gamble	A	Dividend	J	T					
53. Rubicon Minerals	A	Dividend			Sold	07/28/15	J	A	
54. Waste Management	A	Dividend	J	T					
55. Aqua Amer Inc	A	Dividend	J	T					
56. Echelon (ELON)	A	Dividend			Sold	03/01/15	J	A	
57. Kansas City Life Ins.	A	Dividend	J	T					
58. Verizon Comm	A	Dividend	J	T					
59. Calif St. Genl Oblig	A	Dividend	J	T					
60. Thornburg Inv. Inc.	A	Dividend	J	T					
61. Giga Tronics (GUGA)	A	Dividend	J	T					
62. Albuquerque Bernalillo Cnty Wtr Util Au N M JT WTR Rev-A-1	A	Interest	J	T					
63. Will Cnty Ill Cmnty Cons Sch Dist. No. 30-C Ser B	A	Interest	J	T					
64. Baltimore Cnty MD Genl Oblig Ref Met Dist	A	Interest	J	T					
65. San Diego Cnty Calif Wtr Auth Fing Agy Wt Rev-A	A	Interest	J	T					
66. San Antonio Tex Wtr Sys Rev Ref	A	Interest	J	T					
67. 3M Company (MMM)	A	Dividend	J	T					
68. AGL Resources IN (GAS)	A	Distribution	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Gettleman, Robert W.

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07/27/2016

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69. Amer Nat Ins Co (ANAT)	A	Dividend	J	T					
70. Cisco Syst Inc (CSCO)	A	Dividend	J	T					
71. Clorox CO DE (CLX)	A	Dividend	J	T					
72. Colgate Palmolive Co (CL)	A	Dividend	J	T					
73. Compass Div TR SHS Beneficial (CODI)	A	Dividend	J	T					
74. Covidien PLC New (COV)	A	Distribution	J	T					
75. Health Cre Reit Inc (HCN)	A	Dividend	J	T					
76. Johnson & Johnson	A	Dividend	J	T					
77. Microsoft Corp (MSFT)	A	Dividend	J	T					
78. Monsanto CO/New (MON)	A	Dividend	J	T					
79. United Fire & Casualty (UFCS)	A	Dividend	J	T					
80. Weatherford International LTD (WTF)	A	Dividend	J	T					
81. New York City Genl Oblig Ser-F Subseries F-1 Build America	A	Interest	J	T					
82. Pennsylvania St. Build America Bond	A	Interest	J	T					
83. New York St. Mun Bd Bk Agy Recovery Act Build America	A	Interest	J	T					
84. New York City Go Build America C-1	A	Interest	J	T					
85. Alcoa Inc (AA)	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

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86. Aqua Ameri Inc (WTR)	A	Dividend	J	T					
87. Corning Inc (GLW)	A	Dividend	J	T					
88. Encana Corp (ECA)	A	Dividend	J	T					
89. Facebook Inc CL-A (FB)	A	Dividend	J	T					
90. General Mtrs Co (GM)	A	Dividend	J	T					
91. Isis Pharm Inc (ISIS)	A	Dividend	J	T					
92. KB Home (KBH)	A	Dividend	J	T					
93. Merck & Co Inc New Com (MRK)	A	Dividend	J	T					
94. Mercury Genl CP New (MCY)	A	Dividend	J	T					
95. Paramount Gold & Silver Corp (PZG)	A	Dividend	J	T					
96. Statoil Asa Adr (STO)	A	Dividend	J	T					
97. Vanguard European MSCI ETF (VGK)	A	Dividend	J	T					
98. Newmont Mining Corp (New) (NEM)	A	Dividend	J	T					
99. ABB LTD (ABB)	A	Dividend	J	T					
100. Aircastle Limited (AYR)	A	Distribution	J	T					
101. Assoc Estates Realty (AEC)	A	Dividend			Sold	04/22/15	J	A	
102. Hess Corporation	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 14

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103. KKR & Co LLP (KKR)	A	Dividend	J	T					
104. Mallinckrodt Public Ltd. Co (MNK)	A	Dividend			Sold	10/07/15	J	A	
105. Mannkind Corp (MNKD)	A	Dividend	J	T					
106. Newmont Mining Corp (New)(NEM)	A	Dividend	J	T	Sold (part)	04/17/15	J	A	
107. Nippon Telegraph & Telephone ADS	A	Dividend	J	T					
108. Rait Financial Trust Com New (RAS)	A	Dividend			Sold	10/07/15	J	A	
109. United STS STL CP (New) (X)	A	Dividend	J	T					
110. MS US Inflation Linked Notes	A	Dividend	J	T					
111. Royal Bank of Scotland	A	Dividend	J	T					
112. LLoyds TSB Bank PLC	A	Dividend	J	T					
113. Calamos Asset Mgmt Inc CL-A (CLMS)	A	Dividend	J	T					
114. Potash CP of Saskatchewan Inc (POT)	A	Dividend			Sold	07/31/15	J	A	
115. WP Carey Inc Com (WPC)	A	Dividend	J	T					
116. Adadarko Pete (APC)	A	Dividend			Sold	10/07/15	J	A	
117. BCE Inc (NEW)(BCE)	A	Dividend	J	T					
118. Covanta Holding Corp (CVA)	A	Dividend			Sold	07/31/15	J	A	
119. Kyocera CO ADR (KYO)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 14

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Medical Properties Trust Inc (MPW)	A	Dividend	J	T					
121. Navigator Holdings Ltd. (NVGS)	A	Dividend			Sold	07/21/15	J	A	
122. New Gold, Inc. (NGD)	A	Dividend			Sold	07/08/15	J	A	
123. Palo Alto Networks, Inc. (PANW)	A	Dividend	J	T					
124. Pan Amer Silver Corp. (PAAS)	A	Dividend			Sold	12/07/15	J	A	
125. PPL Corporation (PPL)	A	Dividend	J	T					
126. Sibanye Gold Ltd Spon ADR (SBGL)	A	Dividend			Sold	07/13/15	J	A	
127. Sumitomo Mitsui Finl Group, Inc. (SMFG)	A	Dividend	J	T					
128. Teck Resources Ltd (TCK)	A	Dividend			Sold	07/21/15	J	A	
129. Tejon Ranch Co (TRC)	A	Dividend			Sold	07/21/15	J	A	
130. Twitter Inc (TWTR)	A	Dividend	J	T					
131. Alibaba Group (BABA)	A	Dividend	J	T	Buy	02/10/15	J		
132. Apple Inc (AAPL)	A	Dividend	J	T	Buy	07/16/15	K		
133. Chevron	A	Dividend	J	T	Buy	01/23/15	K		
134. Chevron	A	Dividend	J	T	Buy (add'l)	08/31/15	J		
135. Chevron	A	Dividend	J	T	Buy (add'l)	09/03/15	J		
136. Chevron	A	Dividend	J	T	Buy (add'l)	12/11/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/27/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Northwest Bancshares	A	Dividend	J	T	Buy	07/29/15	J		
138. Northwest Bancshares	A	Dividend	J	T	Buy (add'l)	11/24/15	J		
139. TMCC STE UP	A	Dividend	J	T	Buy	04/14/15	J		
140. Nike, Inc.	A	Dividend	J	T	Buy	07/16/15	J		
141. Alliance Rest. Part. (ARLP)	A	Dividend	J	T	Buy	02/24/15	J		
142. Blackstone (BX)	A	Dividend	J	T	Buy	07/29/15	J		
143. Eaton Corp.	A	Dividend	J	T	Buy	10/06/15	J		
144. China Life	A	Dividend	J	T	Buy	07/29/15	J		
145.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/27/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II. Agreements

Agreement No. 1 contd.: interest. The underlying real estate was sold in 2014. Wound up activities of partnership in 2015.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/27/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert W. Gettleman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544