

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WEISSBRODT, ARTHUR S.	2. Court or Organization U.S. BANKRUPTCY COURT, N.D. CA	3. Date of Report 07/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address United States Bankruptcy Court 280 S. First Street, Rm. 3035 San Jose, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

WEISSBRODT, ARTHUR S.

Date of Report

07/24/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Part-time Professor, Lincoln Law School of San Jose	\$8,500.00
2. 11/29/2011	IRA Death Distribution	\$4,001.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Retirement income \$8420
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges (NCBJ)	10/11/2011 to 10/17/2011	Tampa, FL	Annual Conference	travel, lodging and subsistence
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

WEISSBRODT, ARTHUR S.

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07/24/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

WEISSBRODT, ARTHUR S.

Date of Report

07/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Lot, Rehoboth, DE (bought 1987 for \$42,500)		None	K	R					
2. Lot, Atl. City, NJ (bought 1988 for \$5000)		None	J	R					
3. Capital One Account	A	Interest	J	T					
4. Merrill Lynch, CMAS, part IRA	A	Int./Div.	J	T					Cash MMF Only
5. T. Rowe Price Prime Reserve MMA	A	Dividend	L	T					cash MMA only
6. Wells Fargo Bank Accounts	A	Interest	N	T					
7. T-Bills, Notes & Treasury Bonds, part IRA	A	Interest	L	T					
8. T. Rowe Price Growth & Inc. MF - IRA	A	Dividend	L	T					
9. Meriwest Credit Union Account		None	J	T					
10. McDonald's Corp Common	C	Dividend	M	T					
11. Optelecom Common		None			Sold	1/28/11	J		
12. Fidelity Magellan Fund - IRA	A	Dividend	J	T					
13. Fidelity Independence Fund - IRA	A	Dividend	K	T					
14. Schwab MMFs and Schwab Bank	A	Int./Div.	M	T					Cash MMF & bank accts only
15. AIM Fin. SVS MF	A	Dividend	J	T					
16. Black Rock Muniyield CA	A	Dividend	J	T					
17. Aim Diverse Dividends MF	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

WEISSBRODT, ARTHUR S.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Aim European Growth Fd.	A	Dividend	J	T					
19. Intel Common	A	Dividend	J	T					
20. Oracle Common	A	Dividend	K	T					
21. Johnson & Johnson Common	A	Dividend	J	T					
22. Schlumberger Common	A	Dividend	K	T					
23. Transocean Inc. Common	A	Dividend	J	T					
24. Linear Tech Common	A	Dividend	J	T					
25. Maxim Common	A	Dividend	J	T					
26. Dell Common		None	J	T					
27. Fidelity Spartan U.S. Equity Index - IRA	A	Dividend	J	T					
28. Chase Bank account		None	J	T					
29. Amgen Common	A	Dividend	K	T					
30. Pfizer Common	A	Dividend	J	T					
31. Prologis Trust REIT	A	Dividend	J	T					
32. Zimmer Holdings Common		None	J	T					
33. Morgan Stanley Smith Barney brokerage accounts - part IRA:		None							
34. - AT&T Common -- IRA	A	Dividend	J	T	Buy	03/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

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35. - Allscripts Common - IRA		None	J	T	Buy	03/01/11	J		
36. - Centerpoint Energy Common - IRA		None			Sold	10/12/11	J	A	
37. - Amazon Common- IRA		None	J	T					
38. - American Express Common - IRA	A	Dividend	J	T	Buy	6/13/11	J		
39. - Archer Daniels Midland Common - IRA	A	Dividend	J	T	Buy	04/15/11	J		
40. - Marriott Common - IRA	A	Dividend	J	T					
41. - Celgene Common - IRA		None			Sold	08/02/11	J	A	
42. - Starwood Hotels Common - IRA	A	Dividend	J	T					
43. - Textron Common - IRA	A	Dividend	J	T					
44. - Corning Common - IRA		None			Sold	02/10/11	J	A	
45. - Occidental Petrol Common - IRA	A	Dividend	J	T					
46. - Goldman Sachs Floating PFD - IRA	A	Dividend	J	T					
47. - Bristol Myers Common - IRA	A	Dividend	J	T	Buy	08/02/11	J		
48. - Corn Products Common - IRA	A	Dividend	J	T	Buy	01/03/11	J		
49. - Citibank account/CD part IRA	A	Interest	K	T					
50. - Dreyfus MMF	A	Dividend	J	T					
51. - Metlife Floating Rate - IRA	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. - Barclay's Treas. FD - IRA		None			Sold	04/21/11	J	A	
53. - Edison Common - IRA	A	Dividend	J	T	Buy	10/12/11	J		
54. - Allergan Common IRA	A	Dividend	J	T					
55. - Estee Lauder Common IRA	A	Dividend	J	T	Buy	02/14/11	J		
56. - Google Common IRA		None	J	T					
57. - Halliburton Common IRA	A	Dividend	J	T					
58. - Hewlett Packard Common IRA	A	Dividend	J	T	Buy	02/10/11	J		
59. - McCormick Common IRA		None			Sold	01/03/11	J	A	
60. - McKesson Common IRA		None			Sold	03/01/11	J	A	
61. - Philip Morris Common - IRA		None			Sold	02/02/11	J	A	
62. - Verizon Common - IRA		None			Sold	03/21/11	J	A	
63. - Weyerhaeuser Common - IRA		None			Sold	02/14/11	J	A	
64. - Warner Chilcott Common - IRA		None			Sold	05/12/11	J	A	
65. - First Trust Indust. FD -- IRA	A	Dividend	J	T					
66. - TE Connectivity Common -- IRA	A	Dividend	J	T	Buy	5/12/11	J		
67. Metlife Bank Account - part CD	C	Interest	M	T					
68. Star One Credit Union Account	A	Interest	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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WEISSBRODT, ARTHUR S.

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69. California State Bond	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

WEISSBRODT, ARTHUR S.

Date of Report

07/24/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 17 -- Invesco Energy MF changed name to Invesco Diverse Dividends

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

WEISSBRODT, ARTHUR S.

Date of Report

07/24/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ARTHUR S. WEISSBRODT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544