

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bohm, Carlota M.	2. Court or Organization U.S. Bankruptcy Court for the Western District of PA	3. Date of Report 11/16/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 54th Floor US Steet Tower 600 Grant Street Pittsburg, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Income from prior Misc. Bankruptcy/Trustee Related	\$19,418.87
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Westinghouse Electric Co. -pension plan - See Part VIII - additional information
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Erie County Bar Assoc.	07/23/2015 - 07/25/2015	Erie, PA	Seminar/Program	Transportation, meals, lodging
2. NCBJ/CLLA	09/27/2015 - 09/30/2015	Miami, FL	Seminar/Program	Transportation, meals, lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Jackson National Annuity - Fixed Income Annuity	A	Dividend	N	T					
2. Jackson National Annuity -JNL/S&P Managed Moderate Growth Fund	A	Dividend	M	T					
3. Touchstone Sands Capital Select Growth Fund (CFSIX f/k/a TSNAX)	B	Dividend	L	T	Sold (part)	12/08/15	J	A	
4. Pioneer Disciplined Value Fund (CVFYX)	A	Dividend			Sold (part)	07/21/15	J	A	
5.					Sold	08/19/15	K	A	
6. Lord Abbett Value Opportunities Fund (LVOFX f/k/a LVOAX)	A	Dividend			Sold (part)	12/08/15	J	A	
7.					Sold	12/30/15	K	A	
8. Lord Abbett Fundamental Equity Fund (LAVFX f/k/a LDFVX)	A	Dividend			Sold	08/19/15	K	A	
9. Oppenheimer Developing Markets Fund (ODVYX f/k/a ODMAX)	A	Dividend			Sold	12/30/15	J	A	
10. Pioneer Strategic Income Fund (STRYX f/k/a PSRAX)	B	Dividend			Sold (part)	12/08/15	J	A	
11.					Sold	12/30/15	K	A	
12. Pioneer Pioneer Fundamental Growth Fund (FUNYX)	A	Dividend	K	T	Sold	12/28/15	J	A	
13. Invesco Select Companies Fund (ATIYX f/k/a ATIAX)	A	Dividend			Sold (part)	12/08/15	J	A	
14.					Sold	12/30/15	K	A	
15. Henderson Intl Opportunities Fund (HFOIX f/k/a HFOAX)	A	Dividend	K	T	Sold (part)	12/08/15	J	A	
16. Loomis Sayles Strategic Income Fund (NEZYX f/k/a NEFZX)	A	Dividend			Sold	08/19/15	J	A	
17. JP Morgan Us Equity Fund Select (JUESX)	A	Dividend	L	T	Buy (add'l)	08/19/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18.					Sold (part)	12/08/15	J	A	
19.					Sold	12/30/15	L	A	
20. Sun American Focused Dividend Strategy Fund (FDSWX)	A	Dividend	K	T	Buy (add'l)	08/19/15	K		
21.					Sold (part)	12/08/15	J	A	
22. Touchstone Small Cap Value Opportunities Fund (TSOYX)	A	Dividend	K	T	Buy (add'l)	12/30/15	K		
23. Loomis Sayles Investment Grade Bond (LHYFX n/k/a LSIIX)	A	Dividend			Sold	12/30/15	J	A	
24. Lord Abbett HighYield Fund (LHYFX)	A	Dividend			Sold	12/30/15	J	A	
25. AB High Income Fund (AGDYX)	A	Dividend	J	T	Buy	08/19/15	J		
26.					Sold	12/30/15	J	A	
27. Virtus Emerging Markets Opportunities (HIEMX)		None	J	T	Buy	12/30/15	J		
28. PIMCO Income Fund (PONPX)		None	K	T	Buy	12/30/15	K		
29. Hennessy Focus 30 Fund Inst (HIMDX)		None	K	T	Buy	12/30/15	K		
30. Wells Fargo Large Cap Core Fund (EGOIX)		None	L	T	Buy	12/31/15	K		
31. USB Bank USA Dep Account (X)	A	Interest	J	T					
32. Fidelity Japan Smaller Companies (FJSCX) (X)	A	Dividend			Sold (part)	12/18/15	J	A	
33.					Sold	12/22/15	J	A	
34. Fidelity Growth Strategies Fund (FDEGX) (X)	A	Dividend			Sold	12/18/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Autoliv, Inc (ALV) (X)	A	Dividend	J	T		12/15/15			
36. CBS Stock (X)	A	Dividend	J	T		12/15/15			
37. Eli Lilly (LLY) (X)	A	Dividend	J	T		12/15/15			
38. Viacom, Inc (VIAB) (X)	A	Dividend	J	T		12/15/15			
39. Vanguard Energy (VGENX) (X)	A	Dividend	J	T		12/23/15			
40. Vanguard European Stock Index Adm FD (VEUSX) (X)	A	Dividend	J	T		12/23/15			
41. Vanguard Global Equity (VHGEX) (X)	A	Dividend	J	T		12/23/15			
42. Vanguard International Value Fund (VTRIX) (X)	A	Dividend	J	T		12/23/15			
43. Vanguard PRIMECAP Fund (VPMCX) (X)	A	Dividend	J	T		12/23/15			
44. Vanguard Windsor II Inv Fund (VWNFX) (X)	A	Dividend			Sold	12/24/15	J	A	
45. Rental Property-Pgh PA (2011 - pd. \$150,000.00) (Y)									
46. Dollar Bank account (Y)									
47. PNC Bank Account	A	Interest	L	T					
48. Roam Bally IV, LP	A	Interest			Sold	12/31/15	J	D	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III. B - My divorce was final on 01/15/2015. I do not believe that my former spouse received any other income than what has been listed in Part III B.

VII - the rental property -listed on line 59 has been transfered to my former spouse on 4/20/2015 as part of the divorce.

The Dollar Bank account was closed in 2014 and I accidently forgot to report the closing of the account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carlota M. Bohm**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544