

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Braden, Susan G.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 08/25/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, D.C. 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	American Bar Association - salary compensation
2. 2013	Ropes & Gray L.L.P. - retirement benefits
3. 2013	American University - honorarium (\$200)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Conner Inn of Court	1/15/2013	New York, New York	Annual Judicial Program (attendee)	Meals
2. American IP Law Association/IP Law Association of Chicago	2/28/2013	Chicago, Illinois	Symposium (panelist)	Transportation and lodging
3. New York IP Law Association	3/22/2013	New York, New York	Annual Judicial Program (attendee)	Transportation, lodging, and meals
4. American Bar Association, IP Law Section	4/3/2013-4/5/2013	Arlington, Virginia	Conference (attendee)	Meals
5. American IP Law Association	5/1/2013	Seattle, Washington	Spring Meeting (panelist)	Transportation, lodging, and meals

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6.	American IP Law Association	6/27/2013-6/28/2013	Paris, France	Colloquium (panelist)	Meals
7.	Berkeley Center for Law & Technology	10/24/2013-10/25/2013	Berkeley, California	Roundtable (panelist)	Transportation, lodging, and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BB&T	Mortgage on Investment Real Property	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental Property (Washington, D.C.) [REDACTED]	D	Rent			Sold	07/12/13	O	G	Public
2. Rental Property (Alexandria, VA) [REDACTED] (X)	D	Rent	P1	W	Buy	10/17/13	P1		
3. Citibank [REDACTED]	A	Interest	L	T					
4. RGIP, LLC [REDACTED]	E	Distribution	M	W					
5. Dept. of Justice Credit Union [REDACTED] (IRA/CD)	D	Interest	N	T					
6. Dept. of Justice Credit Union [REDACTED] (SEP)	C	Interest	M	T					
7. J.P. Morgan 401(k) [REDACTED]	F	Int./Div.	P1	T	Distributed (part)	09/27/13	M		
8. Oil & Gas Royalty (Houston & San Antonio, TX) [REDACTED]	A	Royalty	K	W					
9. Federal DOJ/FTC Retirement [REDACTED]	A	Interest	K	T					
10. Ropes & Gray Partnership Savings Retirement Account [REDACTED]	H1	Interest	L	T					
11. U Fund College Investing Plan: MA Portfolio 2021	C	Dividend	K	T	Buy (add'l)	12/17/13	J		
12. U Fund College Investing Plan: MA Portfolio 2024	C	Dividend	K	T	Buy (add'l)	12/17/13	J		
13. U Fund College Investing Plan: MA Portfolio 2024	C	Dividend	K	T	Buy (add'l)	12/17/13	J		
14. U Fund College Investing Plan: MA Portfolio 2027	C	Dividend	K	T	Buy (add'l)	12/17/13	J		
15. U Fund College Investing Plan: MA Portfolio 2027	C	Dividend	K	T	Buy (add'l)	12/17/13	J		
16. U Fund College Investing Plan: MA Portfolio 2030	A	Dividend	J	T	Buy	12/17/13	J		
17. Ropes & Gray Personal Trust Account [REDACTED]	D	Int./Div.	N	T	Buy (add'l)	09/27/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Las Vegas Sands Corp. (Common Stock) [REDACTED]		None	J	W					
19. American Bar Ass'n Thrift Plan (x) (no control) [REDACTED]	D	Int./Div.	L	T	Buy (add'l)	11/01/13	K		
20.									
21.									
22.									
23.									
24.									
25.									
26.									
27.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 5, lines 3 and 6: These are [REDACTED] investment and retirement accounts; however, neither the filer nor the filer's [REDACTED] directs the investment, purchase or disposition of assets in those accounts, and filer has no knowledge of the specific investments in these accounts. (RGIP is a series of investments managed by Ropes & Gray Investment Partnership; the 401(k) plan is held by J.P. Morgan and managed by Ropes & Gray).

Part VII, page 5, lines 11-16 (College Savings Funds): The assets listed are 529 Savings Plans invested by [REDACTED] for [REDACTED] through the state of Massachusetts and managed by Fidelity; they do not allow for the selection of assets within the accounts by either the owner or beneficiary.

Part VII, page 5, line 17: Neither the filer nor the filer's [REDACTED] directs the investment, purchase or disposition of assets in this trust account (managed by Ropes & Gray), and filer has no knowledge of the specific investments in these accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan G. Braden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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