

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davila, Edward J.	2. Court or Organization U.S. District Court, Northern District, California	3. Date of Report 07/23/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 280 South First Street San Jose, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Law Advisory Board	Santa Clara University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	California Public Employees Retirement System - Pension	\$34,810.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	California Public Employees Retirement System - Pension
2. 2017	County of Santa Clara - Salary
3. 2017	State of California - Salary
4. 2017	Replacement Benefit Fund - Pension

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Eastwood DIY Legends Contest	Gift Card	\$3,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.	Chase Bank	Mortgage on Second Property #1, Sunnyvale, CA	N
3.	American Express	Credit Card	J
4.	Capital One	Credit Card	J
5.		Tuition	J
6.	Chase	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America		None	J	T					
2. Santa Clara County Federal Credit Union Accounts	A	Interest	L	T					
3. Chase Bank Account	A	Interest	J	T					
4. Investment Property, Sunnyvale, CA		None	O	W					
5. Utah Educational Savings Plan 529: Static Option A	A	Dividend	L	T	Buy (add'l)	12/31/17	J		See Note In Part VIII
6. (H) ICMA - RC 457 Deferred Compensation Plan									
7. VT Plus Fund	A	Dividend			Sold	12/07/17	J	A	See Note In Part VIII
8. T Rowe Price Intl Large-Cap Growth	A	Dividend			Sold	12/07/17	N	G	See Note In Part VIII
9. (H) Pershing Account #1									
10. Pershing Govt Account - Money Market Fund	A	Int./Div.	K	T					
11. AMCAP Fund Class A, Mutual Fund	C	Dividend	L	T					
12. American Balanced Fund Class A, Mutual Fund	C	Dividend	L	T					
13. American High Income Trust Class A, Mutual Fund	D	Dividend	M	T					
14. The Bond Fund of America Class F-2, Mutual Fund	B	Dividend	L	T					See Note in Part VIII
15. Capital Income Builder Fund Class A, Mutual Fund	C	Dividend	L	T					
16. Capital World Bond Fund Class F-2, Mutual Fund	A	Dividend	K	T					See Note in Part VIII
17. Capital World Growth and Income Class F-2, Mutual Fund	C	Dividend	L	T					See Note in Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Fundamental Investors Class A, Mutual Fund	B	Dividend	K	T					
19. The Growth Fund of America Class A	C	Dividend	K	T					
20. Voya Corporate Leaders 100 Fund, Mutual Fund (Formerly ING CorpLdr100)	A	Dividend	K	T					
21. The Income Fund of America Class A, Mutual Fund	B	Dividend	K	T					
22. International Growth and Income Fund Class A, Mutual Fund	A	Dividend	K	T					
23. The Investment Company of America Class A, Mutual Fund	D	Dividend	L	T					
24. New Perspective Fund Class A, Mutual Fund	D	Dividend	L	T					
25. Carter Validus REIT	A	Dividend	J	T					
26. KBS Real Estate REIT	A	Dividend	J	T					
27. (H) Pershing Account #2									
28. Franklin Dynatech Fund Class A, Mutual Fund	A	Dividend	J	T					
29. (H) Pershing Account #3									
30. Pershing Federated Government Reserves - Money Market	A	Int./Div.	J	T					
31. Baron Growth Fund, Mutual Fund	A	Dividend	J	T					
32. Dodge & Cox Stock Fund, Mutual Fund	A	Dividend	J	T					
33. (H) Savings Plus 457 Plan									
34. Target Date Fund - 2020		None	L	T	Buy (add'l)	01/03/17	J		See Note in Part VIII

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35. Target Date Fund - 2020					Buy (add'l)	02/01/17	J		
36. Target Date Fund - 2020					Buy (add'l)	03/01/17	J		
37. Target Date Fund - 2020					Buy (add'l)	04/03/17	J		
38. Target Date Fund - 2020					Buy (add'l)	05/02/17	J		
39. Target Date Fund - 2020					Buy (add'l)	06/01/17	J		
40. Target Date Fund - 2020					Buy (add'l)	07/03/17	J		
41. Target Date Fund - 2020					Buy (add'l)	08/02/17	J		
42. Target Date Fund - 2020					Buy (add'l)	09/01/17	J		
43. Target Date Fund - 2020					Buy (add'l)	10/02/17	J		
44. Target Date Fund - 2020					Buy (add'l)	11/01/17	J		
45. Target Date Fund - 2020					Buy (add'l)	12/01/17	J		
46. Chase Private Client Accounts	A	Interest	L	T					
47. (H) County of Santa Clara Deferred Compensation Plan									See Note in Part VIII
48. Fidelity TRP Inst LgCap Grth		None	N	T	Open	12/17/17	N		See Note in Part VIII
49. Fidelity Vantage Plus R10		None	J	T	Open	12/17/17	J		See Note in Part VIII

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts:

Line 5, Column A: Utah Educational Savings Plan 529 - Static Option A: Utah Public Treasurers Investment Fund (no control)

Line 5, Column D: Utah Educational Savings Plan 529 - \$300.00 contributions made to the account on a bi-weekly basis (did not add line-by-line detail of these transactions). Total value of all contributions for 2017 recorded in column D3.

Lines 6, 7, 8: ICMA RC 457 Deferred Compensation Plan was transferred through a new contract with the County to Fidelity Investments - County of Santa Clara Deferred Compensation Plan (see new account info on line 36, 37, 38).

Lines 14, 16, 17: Class conversions from Class A to Class F-2 shares done internally through Pershing on 7/27/2017.

Lines 33-45: Savings Plus 457 Plan - \$2,000.00 contributions made to the Target Date Fund 2020 on a monthly basis. All monthly detail added to column D.

Lines 36, 37, 38: New account - Fidelity Investments - County of Santa Clara Deferred Compensation Plan (see old account ICMA RC 457 Deferred Compensation Plan lines 6, 7, 8).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Edward J. Davila**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544