

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Somers, Dale L.	2. Court or Organization U.S. Bankruptcy Court - Kansas	3. Date of Report 04/27/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 255 U.S. Courthouse 444 SE Quincy Topeka, KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Somers, Dale L.

Date of Report

04/27/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Somers, Dale L.

Date of Report

04/27/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Somers, Dale L.

Date of Report

04/27/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BJD Somers Family LLC	E	Distribution	M	U					See Note in Part VIII
2. C.M. Life Insurance Company	B	Int./Div.	K	T					
3. C.M. Life Insurance Company	A	Int./Div.	J	T					
4. C.M. Life Insurance Company	A	Int./Div.	J	T					
5. Fidelity Management Trust Company - IRA Account									See Note in Part VIII
6. -Claymore Securities Defined Portfolios	B	Dividend	K	T					
7. -Fidelity Cash Reserves	A	Int./Div.	K	T					
8. -Templeton Global Bond Fund	A	Dividend	J	T					
9. -Harbor Bond Adm	C	Dividend	M	T	Buy (add'l)	02/10/17	J		
10.					Buy (add'l)	09/22/17	J		
11.					Sold (part)	12/07/17	J	A	
12. -Ishares S&P Midcap 400 Growth	A	Dividend	L	T	Sold (part)	02/14/17	K	D	
13.					Sold (part)	04/10/17	K	D	
14. -Doubleline Total Rt Bond Fd	C	Dividend	M	T	Buy (add'l)	05/18/17	J		
15. -Metropolitan West Total Return	C	Dividend	M	T	Buy (add'l)	04/06/17	J		
16.					Buy (add'l)	09/22/17	J		
17. -Fidelity High Income	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Somers, Dale L.

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04/27/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Eaton Vance Floating Rate	C	Dividend	L	T					
19. -Oakmark Intl Investor Cl	C	Dividend	M	T	Buy (add'l)	05/18/17	K		
20.					Sold (part)	09/22/17	J	C	
21. -American Century Value CL I	D	Dividend	M	T	Buy (add'l)	04/06/17	J		
22. -Ishares Core S&P 500 ETF	B	Dividend	L	T	Sold (part)	02/14/17	L	D	
23.					Sold (part)	04/10/17	K	D	
24.					Sold (part)	05/22/17	K	D	
25. -Ishares S&P 500 Growth ETF	B	Dividend	M	T	Sold (part)	04/10/17	J	B	
26.					Sold (part)	12/08/17	K	D	
27. -Ishares S&P 500 Value ETF	B	Dividend	L	T	Buy (add'l)	04/10/17	K		
28.					Buy (add'l)	05/22/17	J		
29. -Ishares Trust Core MSCI EAFE ETF	C	Dividend	M	T	Buy (add'l)	05/22/17	L		
30.					Sold (part)	09/25/17	K	B	
31. -Wells Fargo Special Mid Cap CL I	C	Dividend	L	T					
32. -Baird Aggregate Bond Fund Investor Cl	B	Dividend	L	T					
33. -Ishares Core S&P Small Cap ETF	A	Dividend			Sold (part)	02/14/17	J	B	
34.					Sold	05/22/17	L	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. -Ishares Core U.S. Aggregate Bond ETF	D	Dividend	N	T	Buy (add'l)	02/14/17	K		
36.					Buy (add'l)	09/25/17	K		
37. -Glenmede Small Cap Equity-Adv	D	Dividend	L	T	Buy	04/06/17	K		
38.					Buy (add'l)	05/18/17	K		
39.					Sold (part)	12/07/17	J	B	
40. -Harding Loevner Emerg Mrkts Port Adv	A	Dividend	K	T	Buy	02/10/17	K		
41. -Ishares Inc Core MSCI Emerging Mkts	A	Dividend	J	T	Buy	09/25/17	J		
42. -Ishares Inc MSCI JPN ETF New	A	Dividend			Buy	02/14/17	K		
43.					Sold	05/22/17	K	B	
44. Fidelity Management Trust Company - IRA Account									See Note in Part VIII
45. -Fidelity Cash Reserves	A	Int./Div.	J	T					
46. -Harbor Bond	B	Dividend	K	T					
47. -Templeton Global Bond	A	Dividend	J	T					
48. -Ishares S&P 500 Growth ETF	A	Dividend	J	T	Sold (part)	05/22/17	J	B	
49.					Sold (part)	12/08/17	J	B	
50. -Baird Aggregate Bond Fund Investor Cl	A	Dividend	L	T	Buy (add'l)	09/22/17	J		
51. Fidelity Management Trust Company - Non IRA Acct									See Note in Part VIII

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. -Overland Park KS Go Intl Impt Bd 9/1/20 4%	A	Interest			Redeemed	09/01/17	K	A	
53. -Fidelity Government Cash Reserves	A	Dividend	J	T					
54. -Templeton Global Bond	B	Dividend	L	T					
55. -American Century Value Fund Class I	B	Dividend	K	T					
56. -Ishares Russell 1000 Value	B	Dividend	L	T	Sold (part)	12/08/17	J	C	
57. -Ishares Trust Core MSCI EAFE ETF	A	Dividend			Sold	09/25/17	L	D	
58. -Ishares Core S&P 500 Value ETF	A	Dividend	K	T	Buy (add'l)	05/22/17	J		
59. -Ishares Core S&P 500 Growth ETF	A	Dividend	L	T	Buy (add'l)	12/08/17	J		
60. -Oakmark International C1 I	A	Dividend			Sold	09/22/17	K	A	
61. -Doubleline Total Return Bond Fund (X)	A	Dividend	J	T					
62. -Ishares S&P Midcap 400 Growth (X)	A	Dividend	K	T	Sold (part)	09/25/17	J	A	
63. -Harding Loevner Emerg Mrkts Port Adv	A	Dividend	L	T	Buy (add'l)	02/10/17	K		
64.					Sold (part)	05/18/17	K	C	
65. -TCW Core Fixed Income Class N	A	Dividend			Sold	02/10/17	K	A	
66. -Ishares Core U.S. Aggregate Bond	A	Dividend	K	T					
67. -Glenmede Small Cap Equity Adv	B	Dividend	K	T	Buy	05/18/17	J		
68. -The Hartford Intl Oppt Fd Class I	D	Dividend	M	T	Buy	09/22/17	M		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Ishares Inc Core MSCI Emerging Mkts	A	Dividend	J	T	Buy	09/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
Somers, Dale L.	04/27/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- A. Item #1 BJD Somers Family LLC - I am a member, I am not an officer or board member and I have no managerial responsibilities.
- B. Items listed in #6 through #43 are all assets of Fidelity Management Trust Company IRA account identified in #5 above.
- C. Items listed in #45 through #50 are all assets of Fidelity Management Trust Company IRA account identified in #44 above.
- D. Items listed in #52 through #69 are all assets of Fidelity Management Trust Company non-IRA account identified in #51 above.
- E. Item listed on #21 was converted from American Century Value Inv CL to American Century Value Fund Class I
- F. Item listed on #31 was converted from Wells Fargo Special Mid Cap Adm to Wells Fargo Special Mid Cap Class I
- G. Item listed on #55 was converted from American Century Value Inv CL to American Century Value Fund Class I

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Somers, Dale L.

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04/27/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dale L. Somers

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544