

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Ron	2. Court or Organization District Court, E.D. Texas	3. Date of Report 05/8/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 300 Willow #221 Beaumont, TX 77701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Owner 529 Acct 1	529 Acct 1
2.	Owner 529 Acct 2	529 Acct 2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	State of Texas Retirement Plan, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self employed movie & video producer.
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Texas School of Law	February 19-20, 2015	Austin, Texas	Speak at U.T. Intellectual Property Law Journal seminar	Milage, parking, meals, 1 night at hotel
2. Eastern District of Texas Bar Association	October 20-23, 2015	Plano, Texas	Attend meeting, speak on several panels at Annual Bench-Bar meeting.	meals, 3 nights at hotel.
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA Mutual Fund USEXX	A	Interest	J	T					
2. USAA Mutual Fund USSPX	A	Dividend	K	T					
3. Vanguard VMMXX Money Market	A	Dividend	K	T					
4. Vanguard Windsor II Fund	D	Dividend	M	T					
5. Vanguard Mutual Funds IRA 1 (H)									
6. -Health Care Fund	D	Dividend	M	T					
7. -Windsor II Fund	D	Dividend	M	T					
8. -Explorer Fund	A	Dividend	J	T					
9. -500 Index Fund	B	Dividend	L	T					
10. Vanguard Mutual fund IRA 2 - Mid-cap Index Fund	A	Dividend	K	T					
11. Vanguard Mutual fund IRA 3 - 500 Index Fund	A	Dividend	K	T					
12. Vanguard Mutual Fund IRA 4 - 500 Index Fund	A	Dividend	L	T					
13. American Mut. Fund IRA New Perspective Fund	E	Dividend	N	T					
14. Vanguard Variable Annuity		None	K	T					
15. XOM com. stock	D	Dividend	M	T					
16. U.S. Savings Bond		None	J	T					
17. Compass Bank Accounts	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. 529 Acct 1 - American Funds (H)				T					
19. -New Perspective Fund	A	Dividend	J	T					
20. -New World Fund	A	Dividend	J	T					
21. -Capital Wrld Grwth & Income	A	Dividend	J	T					
22. 529 Acct 2 American Funds (H)									
23. -New Perspective Fund	A	Dividend	J	T					
24. -New World Fund	A	Dividend	J	T					
25. -Capital Wrld Grwth & Income	A	Dividend	J	T					
26. AMGN com. stk	D	Dividend	N	T					
27. AT&T com. stk	B	Dividend	K	T					
28. AMAT com. stk	A	Dividend			Donated				
29. ADP. com. stk	C	Dividend	M	T					
30. CDK Global Inc. com.stk	A	Dividend	K	T	Donated (part)				
31. CVX com. stk	A	Dividend	K	T					
32. KO com. stk	B	Dividend	K	T					
33. ETN com. stk	C	Dividend	M	T					
34. EMR com. stk	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. HD com. stk	C	Dividend	M	T					
36. LLY com. stk	B	Dividend	L	T					
37. MCD com. stk	B	Dividend	L	T					
38. PEP com stk	B	Dividend	L	T					
39. PFE com. stk	B	Dividend	K	T					
40. SLB com. stk	B	Dividend	K	T					
41. American Mutual Fund, New Perspective Fund	E	Distribution	N	T					
42. American Mutual Fund Small Cap World	C	Distribution	L	T					
43. Dreyfus S&P 500 Index Fund X	D	Dividend	M	T					
44. Nuveen Arizona Prem. Inc. Muni. Bnd. Fund	A	Dividend	K	T					
45. Dreyfus AMT-Free Mun. Bnd Fund	C	Dividend	M	T					
46. Dreyfus Mun. Bnd. Fund	C	Dividend	L	T					
47. McCallister Academic VLG bonds	A	Interest	K	T					
48. Pima Cty AZ bond	A	Interest	J	T					
49. Coconino & Yavapai Cos AZ bonds	A	Interest			Redeemed	07/01/15	J	A	
50. Mesa AZ Util Sys bonds	A	Interest			Redeemed	07/01/15	J	A	
51. RMA Tax-Free Fund	A	Interest	M	T					

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52. Beneficiary IRA (H)									
53. -AT&T com. stk	B	Dividend	K	T					
54. -CVX com. stk	D	Dividend	M	T					
55. -XOM com.stk	D	Dividend	N	T					
56. -WRE com. stk	A	Dividend	J	T					
57. -WRI com. stk	A	Dividend	J	T					
58. -Oppenheimer Gbl Strategic Income fund	A	Dividend	J	T					
59. -Nuveen S&P 500 Dyn. Overwrite formerly Nuveen P&G	A	Dividend	J	T					
60. -Eaton Vance En. Equity Inc Fund	A	Dividend	J	T					
61. -John Hancock Inc Sec. Fund	A	Dividend	J	T					
62. -Lord AbbotBond Deb. Fund	B	Dividend	K	T					
63. -Franklin H.I. Fund Class A	A	Dividend	J	T					
64. -Franklin US Govt Sec. A	A	Dividend	J	T					
65. -USA Bank IRA Cash Sweep Account	A	Interest	K	T	Distributed (part)	09/29/15	K		
66. IP	A	Dividend			Sold	08/28/15	J	C	
67. Am Century Inter term tax free TWTIX	A	Dividend			Sold	08/28/15	K		
68. BMO Intermed tax free MITFX	A	Int./Div.			Sold	08/28/15	K		

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69. Laudus Grwth Investors, LGILX		None			Sold	08/28/15	K	D	
70. Ridgeworth Lg Cap. STVTX		None			Sold	08/28/15	K	C	
71. SECTOR SPDR Energy Select: XLE	A	Dividend			Sold	08/28/15	K	D	
72. SECTOR SPDR Tech Select: XLK	A	Dividend			Sold	08/28/15	K	D	
73. SECTOR SPDR TR CON STPLS: XLP	A	Dividend			Sold	08/28/15	K	D	
74. SPDR S&P Div ETF: SDY	B	Int./Div.			Sold	08/28/15	K	D	
75. Vanguard Financial VFH	A	Dividend			Sold	10/27/15	K	D	
76. Vanguard Health Care VHT	A	Dividend	J	T	Sold (part)	11/20/15	K	D	
77. Vanguard Small Cap Grwth VBK	A	Dividend			Sold	07/08/15	K	D	
78. Vanguard Small Cap Value VBR	A	Dividend			Sold	08/28/15	K	D	
79. Schwab Money Market account	A	Interest	J	T					
80. Veritiv Corp com. stock		None			Sold	04/06/15	J	A	
81. Take A Bow, LLC		None	L	U					
82. Wells Fargo Bank	A	Interest	N	T					
83. Royalty Interest, Hardin County, Texas (X)	A	Royalty	J	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 51: name change. Was listed previously as RMA Money Market Fund, but actual name is RMA Tax-Free Fund.

Line 65: New instructions require separate entry for each item of IRA account. This is where cash is held in the IRA. Amount of cash held at end of year was previously included in totals reported for IRA as a whole as permitted under previous instructions.

Lines 67 & 68: As stated at page 43 of the instructions, since the sales resulted in "a loss, or no gain or loss, Column D4 under GAIN should be left blank."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ron Clark**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544