

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tigar, Jon S.	2. Court or Organization U.S. District Court for the Northern District of California	3. Date of Report 05/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 450 Golden Gate Avenue, 19th Floor San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Trust #1
2.	Director	Trust #2
3.	Director	Trust #3
4.	Director	Trust #4
5.	Director	Trust #5

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Tigar, Jon S.

Date of Report

05/13/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Matthew Bender -- Publishing royalties	\$4,206.73
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	The Durfee Foundation - Salary
2. 2014	Orange County Community Foundation - honorarium - \$250
3. 2014	Stanford University - honorarium - \$150
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Tigar, Jon S.

Date of Report

05/13/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Tigar, Jon S.

Date of Report

05/13/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Driehaus Emerging Markets Growth Fund		None			Sold	01/27/14	K	A	
2. Fidelity International Discovery Fund		None			Sold	01/27/14	K	D	
3. PRIMECAP Odyssey Aggressive Growth Fund	C	Dividend	L	T					
4. RidgeWorth Intermediate Bond Fund		None			Sold	01/27/14	K	A	
5. RidgeWorth Seix Floating Rate Hi Inc I	C	Dividend	L	T	Buy	01/27/14	L		
6. Schwab Fundamental Int'l Small Co. Index Fund	A	Dividend	L	T	Buy	01/27/14	K		
7. TIAA-CREF Traditional Annuity		None	K	T					
8. Vanguard Dividend Appreciation Index Fund	B	Dividend	L	T					
9. Vanguard Mid-Cap Index Fund		None			Sold	01/27/14	K	E	
10. Vanguard Small-Cap Growth Index Fund	A	Dividend	L	T					
11. Schwab Money Market Accounts -- Cash	A	Interest	O	T					
12. Bank of America Accounts	A	Int./Div.	J	T					
13. Trust #1	G	Int./Div.	P1	T					
14. -- Avery Dennison Common Stock									
15. -- Eaton Vance Tax- Managed Emerging Markets Fund									
16. -- Fidelity International Discovery Fund									
17. -- Nuveen Real Estate Securities Fund Class A									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Tigar, Jon S.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Oakmark Global Fund									
19. -- PIMCO Total Return Fund III									
20. -- PRIMECAP Odyssey Aggressive Growth Fund									
21. -- Ridgeworth Intermediate Bond Fund									
22. -- RS Partners A Fund									
23. -- Schwab 1000 Index Fund									
24. -- Vanguard Dividend Appreciation Index Fund									
25. -- Vanguard Mid-Cap Index Fund									
26. -- Vanguard Prime Money Market Fund (X)					Sold (part)	05/29/14	J	A	
27. -- Vanguard Prime Money Market Fund					Sold (part)	05/14/14	K	A	
28. -- Vanguard Prime Money Market Fund					Sold (part)	05/01/14	J	A	
29. -- Vanguard Prime Money Market Fund					Sold (part)	04/14/14	K	A	
30. -- Vanguard Small-Cap Growth Index Fund (X)									
31. -- Wasatch Small Cap Growth Index Fund									
32. Trust #2	C	Int./Div.	N	T					
33. -- Avery Dennison Common Stock									
34. -- Driehaus Emerging Markets Growth Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Tigar, Jon S.

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35. -- Fidelity International Discovery Fund									
36. -- PRIMECAP Odyssey Aggressive Growth Fund									
37. -- RidgeWorth Intermediate Bond Fund									
38. -- Vanguard Dividend Appreciation Index Fund									
39. Trust #3	D	Int./Div.	N	T					
40. -- Century Small Cap Select Fund									
41. -- Fidelity International Discovery Fund									
42. -- PRIMECAP Odyssey Aggressive Growth Fund									
43. -- RidgeWorth Intermediate Bond Fund									
44. Trust #4	B	Int./Div.	L	T					
45. -- Avery Dennison Common Stock									
46. -- RidgeWorth Intermediate Bond Fund									
47. -- Vanguard Dividend Appreciation Index Fund									
48. Trust #5	D	Int./Div.	N	T					
49. -- Century Small Cap Select Fund									
50. -- Driehaus Emerging Markets Growth Fund									
51. -- Fidelity International Discovery Fund									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Tigar, Jon S.

Date of Report

05/13/2015

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52. -- PRIMECAP Odyssey Aggressive Growth Fund									
53. -- RidgeWorth Intermediate Bond Fund									
54. -- Vanguard Dividend Appreciation Index Fund									
55. Trust #6 (X)	D	Int./Div.	N	T					
56. -- Arbitrage Fund Class I									
57. -- DFA Global Real Estate Securities I									
58. -- PIMCO Commodity Real Return Strategy Fund									
59. -- Vanguard 500 Index Fund									
60. -- Vanguard Extended Market Index Fund									
61. -- Vanguard FTSE All-World Ex-US Index Fund									
62. -- Vanguard Short Term Investment Grade Fund									
63. -- Vanguard Small Cap Index Fund									
64.									
65.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Tigar, Jon S.

Date of Report

05/13/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets marked with an (X) in Trust #1 in Part VII formerly also appeared at lines 8 and 9 of the 2013 Financial Disclosure Form. These assets were listed twice in error. They are held in the trust and now appear accurately on this disclosure form. They were not transferred.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Tigar, Jon S.

Date of Report

05/13/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jon S. Tigar**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544