

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Peterson, Rosanna M.	2. Court or Organization U.S. District Court	3. Date of Report 10/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. District Court for the Eastern District of WA 920 W. Riverside Spokane WA 99210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Washington State University--Teaching

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CNA whole life insurance		None	J	T					
2. TIAA Traditional		None	N	T					
3. CREF Stock		None	L	T					
4. CREF Equity Index		None			Sold	04/30/14	K		
5. T-C Intl Eq Index-Rtmt	A	Dividend	K	T					
6. T-C Large Cap Val-Rtmt	A	Dividend	L	T					
7. T-C Mid-Cap Gr-Rtmt		None			Sold	04/30/14	J		
8. T-C Sm-Cap Eq-Rtmt	A	Dividend	K	T					
9. TIAA-CREF Equity Index Fund (Y)									
10. TIAA Real Estate		None	M	T					
11. CREF Bond Market		None	L	T					
12. CREF Inf'l Linked Bond		None	J	T					
13. CREF Money Market		None			Sold	04/04/14	J		
14. CREF Money Market		None			Sold	04/04/14	J		
15. TIAA-CREF Int'l Eq Idx-Inst (Y)									
16. TIAA-CREF Lg-Cap Gr Idx-Inst (Y)									
17. TIAA-CREF Lg-Cap Val-Prem (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. TIAA-CREF Mid-Cap Gr-Prem (Y)									
19. TIAA-CREF Mid-Cap Val-Prem (Y)									
20. TIAA-CREF Social Ch Eq-Prem (Y)									
21. CREF Growth		None	K	T					
22. USAA Cash/Money Market (Y)									
23. CREF Social Choice		None	M	T					
24. USAA Global Opportunities Portfolio (Y)									
25. USAA UMP Moderate Portfolio prev.Strategic Fund Adviser (Y)									
26. TIAA-CREF Intl Eq-Prem (Y)									
27. USAA Precious Metals & Minerals (Y)									
28. American Smallcap World A (Y)									
29. USAA Real Return Fund (Y)									
30. USAA High Income Fund (Y)									
31. USAA Short Term Bond (Y)									
32. USAA Intermediate Bond Fund (Y)									
33. USAA Income Fund (Y)									
34. USAA Emerging Markets (Y)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. USAA International Fund (Y)									
36. USAA Small Cap (Y)									
37. USAA Income Stock (Y)									
38. USAA Growth Fund (Y)									
39. T-C Mid-Cap Val-Rtmt	A	Dividend	K	T					
40. T-C Lg-Cap Val-Inst	A	Dividend	L	T					
41. T-C Social Ch Eq-Inst		None			Sold	04/30/14	L		
42. T-C Mid-Cap Gr-Inst	A	Dividend	J	T					
43. T-C Mid-Cap Val-Inst	B	Dividend	J	T					
44. T-C Intl Eq Idx-Inst	C	Dividend	M	T					
45. T-C Lg-Cap Gr Idx-Inst	A	Dividend	K	T					
46. T-C Eq Index-Inst		None			Sold	04/30/14	J		
47. T-C lifecycle 2020-Rtmt		None			Sold	04/30/14	M		
48. CREF Global Equities (X)		None	M	T	Buy	04/30/14	M		
49. T-C Int'l Eq-Rtmt (X)	A	Dividend	J	T	Buy	04/30/14	K		
50. T-C Growth & Inc.-Rtmt (X)	A	Dividend	K	T	Buy	04/30/14	J		
51. T-C High-Yield Rtmt (X)	A	Dividend	J	T	Buy	04/30/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. T-C Infl-Lukd Bond-Rtmt (X)	A	Dividend	J	T	Buy	04/30/14	J		
53. T-C Bond Rtmt (X)	A	Dividend	J	T	Buy	04/30/14	J		
54. T-C Soc Choice Bond Rtmt (X)	A	Dividend	K	T	Buy	04/30/14	K		
55. T-C Int'l Eq Inst (X)	A	Dividend	J	T	Buy	04/30/14	J		
56. T-C Sm-Cap Eq-Inst (X)	A	Dividend	K	T	Buy	04/30/14	K		
57. T-C S&P 500 Idx-Inst (X)	B	Dividend	L	T	Buy	04/30/14	L		
58. T-C Lg-Cap Value Idx-Inst (X)	A	Dividend	K	T	Buy	04/30/14	K		
59. T-C Sm-Cap Bl Idx-Inst (X)	A	Dividend	K	T	Buy	11/10/14	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII: Line 9 should have been CREF Equity Index, not TIAA-CREF Equity Index Fund from previous report, which was a typo. CREF Equity Index is listed at line 4.

Section VII: Lines 15 is the same as line 44 except that the name was written out as TIAA-CREF Int'l Eq Idx-Inst in lines 15 and is referred to as T-C Int'l Eq Idx-Inst in lines 44.

Section VII: Lines 16 is the same as line 44 except that the name was written out as TIAA-CREF Lg Cap-Gr Idx-Inst in lines 16 and is referred to as T-C Lg Cap-Gr Idx-Inst in line 45.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rosanna M. Peterson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544