

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gross, Kevin	2. Court or Organization U.S. Bankruptcy Court, DE	3. Date of Report 07/20/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2014 to 12/31/2014
7. Chambers or Office Address 824 N. Market St, 6th Floor Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York Institute of Credit	1/30/14	New York, NY	NYIC/AIRA	train/cab
2.	American Bankruptcy Institute	4/25/14	Washington, DC	ABI Spring Conference	train/cab
3.	American Bankruptcy Institute	7/31/14	Cambridge, MD	ABI Mid Atlantic Conference	mileage
4.	American Bankruptcy Institute	10/24/14	Washington, DC	ABI Views from the Bench	train/parking
5.	INSOL International	11/16 - 11/17/14	Toronto, ON	INSOL International Mediation Conference	flight/lodging/parking

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State of Israel 7th Dev Reg Savings Amended Bond 46513ENN8	A	Interest	J	T					
2. State of Israel 8th Infr & Absp Issue Bond 4651387L3		None			Redeemed	01/01/14	J		
3. State of Israel 8th Infr & Absp Issue Bond 46513ELEO	A	Interest	J	T					
4. State of Israel 5th SABRA SAV 3Y 46513A2C3	A	Interest	J	T	Buy	01/01/14	J		
5. Newberger Berman Brokerage Account #1									
6. -Dreyfus Treas Prime Cash Mgmt Inv Shs money market fund		None	J	T					
7. -Schlumberger Ltd common stock	A	Dividend			Sold	01/02/14	J	B	
8. -Neuberger Berman Genesis FD Institutional Class mutual fund	A	Dividend	K	T	Buy (add'l)	03/10/14	J		
9.	B	Distribution							
10. -EMC Corp - Mass common stock		None			Sold	01/02/14	J	B	
11. -Google Inc Cl A common stock		None			Sold	01/02/14	J	C	
12. -Cenovus Energy Inc common stock	A	Dividend			Sold (part)	01/02/14	J	A	
13.					Buy (add'l)	03/05/14	J		
14.					Sold	12/12/14	J		
15. -Sanofi Aventis Spon ADR common stock		None			Sold	01/02/14	J	B	
16. -Valmont Industries Inc common stock	A	Dividend			Sold	01/02/14	J	B	
17. -Occidental Pete Corp common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Honeywell Intl Inc common stock		None			Sold	01/02/14	J	B	
19. -Neuberger Berman Eq FDS GBL Thematic Opp FD Instl mutual fd	A	Dividend	K	T	Buy (add'l)	03/10/14	J		
20. -Unilever N V New York Shs New ADR		None			Sold	01/02/14	J	A	
21. -Novartis AG American Depository Shrs		None			Sold	01/02/14	J	B	
22. -American International Group Inc New common stock	A	Dividend	J	T					
23. -Cisco Systems common stock	A	Dividend			Sold	01/02/14	J	A	
24. -General Dynamics Corp common stock	A	Dividend	J	T	Sold (part)	03/03/14	J	B	
25.					Sold (part)	07/16/14	J	B	
26. -Deere & Co common stock	A	Dividend			Sold	01/02/14	J	A	
27. -Koninklijke Philips N V common stock	A	Dividend	J	T					
28. -Foot Locker common stock	A	Dividend	J	T	Sold (part)	10/10/14	J	A	
29. -Bed Bath & Beyond common stock		None	J	T	Buy (add'l)	07/16/14	J		
30.					Sold (part)	08/18/14	J		
31. -Express Scripts Holding Company common stock		None	J	T					
32. -Gap Inc common stock	A	Dividend	J	T	Sold (part)	01/02/14	J	A	
33.					Buy (add'l)	03/07/14	J		
34. -Corning Inc comon stock		None			Sold	01/02/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Antero Resources Corp common stock		None			Sold	12/10/14	J		
36. -General Motors Company common stock		None			Sold	01/02/14	J	A	
37. -Sandisk Corp common stock		None			Sold	01/02/14	J	A	
38. -Eaton Corp PLC common stock	A	Distribution	J	T	Buy	01/14/14	J		
39. -Devon Energy Corporation New common stock		None	J	T	Buy	12/10/14	J		
40. -Oracle Corporation common stock		None	J	T	Buy	10/13/14	J		
41. -California Resources Corporation common stock		None	J	T	Spinoff (from line 17)	12/04/14	J		
42. M&T Bank checking account	A	Interest	K	T					
43. IRA #1									
44. -Guardian Fund Investor Class mutual fund	A	Interest	K	T					
45. IRA #2									
46. -Guardian Fund Investor Class mutual fund	A	Interest	K	T					
47. MetLife-whole life		None			Closed	07/17/14	K		
48. MetLife-whole life		None			Closed	07/17/14	K		
49. MetLife common stock (X)	A	Dividend	J	T					
50. MetLife common stock (X)	A	Dividend	J	T					
51. 1/3 interest in Trisis-oil & gas royalty interest & land in									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Scurry, Tyler & Wood Counties, TX	E	Royalty	O	W					
53. IRA Rollover Acct #1									
54. -Dreyfus Treas Prime Cash Mgmt Inv shs money mkt fd		None	M	T					
55. -Neuberger Berman Genesis FD Institutional Class mutual fund	A	Dividend	M	T					
56.	D	Distribution							
57. -Range Resources Corp common stock	A	Dividend	L	T					
58. -Google Inc common stock		None	L	T					
59. -Unilever N V New York Shs New ADR common stock		None			Sold	01/14/14	L	E	
60. -Cenovus Energy Inc common stock	B	Dividend	K	T					
61. -Sanofi Aventis Spon ADR common stock	B	Dividend	K	T					
62. -Valmont Industries Inc common stock	A	Dividend	K	T					
63. -Neuberger Berman Eq FDS GBL Thematic Opp Fd Inst mutual fun	A	Dividend	M	T					
64. -Honeywell Intl Inc common stock	B	Dividend	L	T					
65. -Boeing Co common stock	A	Dividend			Sold (part)	01/12/14	K	E	
66.					Sold	09/02/14	K	D	
67. -Novartis AG American depository shares	B	Dividend	L	T	Buy (add'l)	03/11/14	J		
68. -Roche Holding LTD Spon ADR REPSTG ORD	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -Occidental Pete Corp common stock	B	Dividend	L	T	Buy (add'l)	02/24/14	J		
70. -Cisco Systems Inc common stock	B	Dividend	L	T					
71. -Deere & Co common stock	B	Dividend			Sold	10/08/14	L		
72. -American International Group Inc New common stock	A	Dividend	K	T					
73. -Neuberger & Berman Equity FDS Equity Income FD Instl mutual fund	C	Dividend	M	T					
74.	C	Distribution							
75. -Bed Bath & Beyond Inc common stock		None	L	T					
76. -Norfolk Southern Corp common stock	A	Dividend	K	T					
77. -General Dynamics Corp common stock	B	Dividend	K	T	Sold (part)	02/10/14	L	E	
78.					Sold (part)	12/22/14	K	D	
79. -Koninkluke Philips NV common stock	B	Dividend	K	T					
80. -C R Bard Inc common stock	A	Dividend			Sold	06/10/14	K	D	
81. -Exxon Mobil Corp common stock	B	Dividend	K	T	Buy (add'l)	02/24/14	K		
82. -Foot Locker Inc common stock	B	Dividend	K	T	Sold (part)	10/10/14	K	E	
83. -Express Scripts Holding Company common stock		None	L	T					
84. -Gap Inc common stock	B	Dividend	K	T					
85. -Corning Inc common stock	A	Dividend			Sold	09/08/14	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. -Antero Resources Corp common stock		None	K	T	Buy (add'l)	02/27/14	K		
87. -Lennar Corp Cl A common stock	A	Dividend	K	T					
88. -General Motors common stock	B	Dividend			Buy (add'l)	02/24/14	K		
89.					Sold	09/03/14	L		
90. -Sandisk Corp common stock	A	Dividend	L	T					
91. -Eaton Corp PLC common stock	A	Distribution	K	T	Buy	01/03/14	K		
92. -Invesco Ltd common stock	B	Dividend	L		Buy	03/12/14	L		
93. -Checkpoint Software Technologies LTD common stock		None	L	T	Buy	07/24/14	K		
94. -E I DuPont De Nemours & Co common stock	A	Dividend	K	T	Buy	11/10/14	K		
95. -Eastman Chemical Co common stock	A	Dividend	K	T	Buy	05/20/14	K		
96. -Franklin Resources Inc common stock	A	Dividend	L	T	Buy	03/12/14	L		
97. -Kimberly Clark Corp common stock	A	Dividend	K	T	Buy	01/07/14	K		
98. -Nordstrom Inc common stock	A	Dividend	K	T	Buy	11/17/14	K		
99. -Nvida Corp common stock	A	Dividend	K	T	Buy	11/18/14	K		
100.	A	Distribution							
101. -Oracle Corp common stock		None	L	T	Buy	10/08/14	L		
102. -Pioneer Natural Resources Company common stock		None	K	T	Buy	12/16/14	K		

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103. -Proctor & Gamble Co common stock		None	K	T	Buy	12/23/14	K		
104. -Halyard Health Inc common stock		None			Spinoff (from line 97)	11/06/14	J		
105.					Sold	11/07/14	J	A	
106. -California Resources Ciro common stock		None			Spinoff (from line 69)	12/04/14	J		
107.					Sold (part)	02/24/14	J		
108.					Sold	12/08/14	J		
109. IRA #3-Wells Fargo Bank N.A.	A	Interest	J	T					
110. IRA #4-Wells Fargo Bank N.A.	A	Interest	J	T					
111. Morgan Stanley Smith Barney brokerage account #1									
112. -Morgan Stanley Bank NA	A	Interest			Closed	06/16/14	J		
113. Trust #1 beneficiary interest	B	Dividend							
114. -American Europacific Growth F1 (X)					Sold	06/19/14	J	A	
115. -American Growth Fund of America (X)					Sold	06/19/14	J	A	
116. -American Funds Invmt Co of America (X)					Sold	06/19/14	K	B	
117. -Artisan Mid Cap Value Investor (X)					Sold	06/19/14	J	A	
118. -Cohen & Steers Realty Shares (X)					Sold	06/19/14	J	A	
119. -Fidelity Advisor Floating Rate Hi Inc I (X)					Sold	06/19/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Gross, Kevin

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -JPMorgan Emerging Mkts Equity Select (X)					Sold	06/19/14	J	A	
121. -Mainstay Epoch Global Equity Yld I (X)					Sold	06/19/14	J	A	
122. -Mainstay Large Cap Growth Fund Class I (X)					Sold	06/19/14	J	A	
123. -Metropolitan West Total Return Class I (X)					Sold	06/19/14	L	B	
124. -PIMCO Foreign BOnd USD Hedged Inst (X)					Sold	06/19/14	J	A	
125. -PIMCO Unconstrained BD Instl Class (X)					Sold	06/19/14	J	A	
126. -T Rowe Price Mid Cap Growth					Sold	06/19/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin Gross**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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