

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) OTTE, FRANK J.	2. Court or Organization US Bankruptcy Court, Southern District of Indiana	3. Date of Report 04/24/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 46 East Ohio Street, Suite 335 Indianapolis, Indiana 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. COMMON STOCK									
2. A T & T	C	Dividend	L	T					
3. Abbott Laboratories	B	Dividend	L	T					
4. Abb Vie, Inc.	B	Dividend	L	T	Spinoff (from line 3)	01/02/13	L		
5. Allstate	B	Dividend	K	T					
6. Am. Elec. Power	B	Dividend	K	T					
7. BP-PLC-ADR	C	Dividend	L	T					
8. Century Tel., Inc.	C	Dividend	L	T					
9. Clorox Corp.	C	Dividend	M	T					
10. Coca Cola	C	Dividend	L	T					
11. Colgate Palmolive	C	Dividend	M	T					
12. Conoco Phillips	C	Dividend	L	T					
13. Diebold, Inc.	A	Dividend			Sold	10/13/13	K	A	
14. Duke Energy	C	Dividend	L	T					
15. Dupont	B	Dividend	L	T					
16. Emerson Elec. Co	A	Dividend	K	T					
17. Enterprise Products Partners	C	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. EXXON Mobile	D	Dividend	M	T					
19. F.N.B. Corp	A	Dividend	K	T					
20. Gallagher & Company	B	Dividend	K	T					
21. Genuine Parts Corp.	C	Dividend	M	T					
22. Hewlett-Packard	A	Dividend			Sold	07/29/13	L	A	
23. IBM	B	Dividend	L	T					
24. Eli Lilly & Company	C	Dividend	L	T					
25. McCormick & Co., Inc.	C	Dividend	M	T					
26. Nisource	A	Dividend	K	T					
27. Paychex, Inc.	C	Dividend	L	T					
28. Pepsico, Inc.	B	Dividend	M	T					
29. Pfizer, Inc.	D	Dividend	M	T					
30. Procter-Gamble Co.	B	Dividend	L	T					
31. Regions Financial PC	B	Dividend	K	T					
32. SCANA	B	Dividend	K	T					
33. Sonoco	B	Dividend	K	T					
34. Southern Company	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Spectra Energy Corp.	B	Dividend	L	T					
36. Sysco Corp.	B	Dividend	L	T	Buy	07/29/13	L		
37. US Banc Corporation	D	Dividend	N	T					
38. Vectren	D	Dividend	M	T					
39. Phillips 66	A	Dividend	K	T					
40. SAVINGS ACCTS (Indianapolis, IN)									
41. BMO Harris Bank (Savings)	A	Interest	L	T					
42. BMO Harris Bank (Checking)	A	Interest	J	T					
43. US Post Office Cre. U.	A	Interest	K	T					
44. Chase Bank (Savings)	A	Interest	K	T					
45. Raymond James (Money Mkt) Brookbaven, MS)	A	Interest	J	T					
46. BONDS									
47. Hamilton Co (Noblesville IN H.S. Bldg Corp)	B	Interest	K	T					
48. Jasper Hosp. Auth. Bond (Jasper, IN)	B	Interest	K	T					
49. Plainfield School Bond	B	Interest	K	T					
50. Munster Econ. Dev. Bond	B	Interest	K	T	Buy	11/21/13	K		
51. REAL ESTATE									

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52. Dubois County, IN (60 Acres) 1/2 of 120 Acres		None	L	W					
53. Morgan County, IN (90 Acres)	D	Rent	N	W					
54. Morgan County, IN (16 Acres)		None	M	W					
55. FUND ASSETS									
56. Vanguard Star Fund IRA	C	Int./Div.	M	T					
57. Vanguard Windsor Fund	B	Int./Div.	J	T					
58. Hilliard-Lyons (IRA Rollover from Keough Trust)	D	Int./Div.	O	T					
59. ASSETS OF IRA ROLLOVER ACCOUNT									
60. Berkshire Hathaway "B"		None	K	T					
61. Cintas Corp.	A	Dividend	K	T					
62. Dover Corp	A	Dividend	K	T					
63. Emerson Elec. Co.	A	Dividend	K	T					
64. Family Dollar Stores, Inc.	A	Dividend	K	T					
65. Kimberly -Clark Corp.	B	Dividend	L	T					
66. 3 M Co.	B	Dividend	L	T					
67. Paychex Inc.	A	Dividend	K	T					
68. Pepsico	C	Dividend	L	T					

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69. Pfizer	B	Dividend	K	T					
70. Sonoco Products	A	Dividend	K	T					
71. Hilliard-Lyons Holding Acct. (Cash Accumulated)	A	Int./Div.	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **FRANK J. OTTE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544