

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SICKEL, JAMES R.	2. Court or Organization U.S. DISTRICT COURT, E D of WI	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, PART-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 125 S. JEFFERSON STREET, #101 P. O. BOX 1626 GREEN BAY, WI 54305-1626		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Self-employed, Attorney	\$80,993.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ASSOCIATED BANK ACCOUNTS	B	Interest	O	T					
2. BMO HARRIS BANK (Formerly M&I BANK) ACCOUNT	A	Interest			Closed	04/01/13	K		
3. JAMKAT, LLC (See Section VIII)		None	N	S					
4. JEFFERSON COURT BLDG LLC (See Section VIII)	G	Rent	P1	S					
5. EASTERN HORIZONS LLC	E	Rent	O	U					
6.	A	Interest							
7. HURON PROFESSIONAL CENTER LLC	A	Interest	K	U					
8. BROKERAGE ACCT #1 PARNASSUS FUND	C	Dividend	K	T					
9. BROKERAGE ACCT #2 ROBERT BAIRD									
10. -General Muni Money Mkt		None	J	T					
11. -Insured Deposit BMO Harris Bank (Formerly M & I)		None	J	T					
12. -Dreyfus Mun Money Mkt FD		None	K	T					
13. -Cape Girardeau CityMO Bond		None	K	T					
14. -Calvert Soc Invst Eqty A	A	Dividend	J	T					
15. -Putnam Invs Fd Inc	A	Dividend	J	T					
16. BROKERAGE ACCT #3 JS STIFEL, NICOLAUS									
17. -General Money Market STIFEL, NICOLAUS		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

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18. -Magnum Hunter Resources Corp					Expired	10/15/13	J		
19. IRA #1 JS - STIFEL, NICOLAUS	C	Int./Div.	L	T					
20. -Cash									
21. -Money Market Funds									
22. -General Motors Company (See Section VIII)									
23. -Immtech Pharmaceuticals Inc.									
24. -Motors Liquidation Company									
25. -Why USA Financial Group Inc.									
26. -Preferred: Ares Capital Corp									
27. -Preferred: Banc California Inc (Formerly First Pctrust Bancorp Inc)					Buy	06/06/13	J		
28. -Bond: ABC Rail Products									
29. -Bond: United States Steel Corp									
30. -Bond: JC Penney Corp Inc					Buy	04/23/13	J		
31. -Bond: General Motors Corp XXX									
32. -Bond: General Motors Corp XXX									
33. -Mutual Fund: Rydex Series Funds Consumer Products Fund CI					Sold	06/06/13	J	A	
34. -Mutual Fund: Alpine Global Premier Properties Fund Shares									

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35. -Mutual Fund: Goldman Sachs MLP Income Opportunities Fund					Buy	11/26/13	J		
36. -Warrants: General Motors Company (See Section VIII)					Sold (part)	10/08/13	J		
37. -Warrants: General Motors Company See Section VIII)					Sold (part)	10/08/13	J		
38. -Legacy Reserves Ltd Partnership					Buy	01/14/13	J		
39. IRA #2 ASSOCIATED BANK	A	Interest	K	T					
40. IRA #3 ASSOCIATED BANK	A	Interest	K	T					
41. IRA #4 BMO HARRIS BANK (Formerly M&I BANK) (CD)	A	Interest	J	T					
42. IRA #5 BMO HARRIS BANK (Formerly M&I BANK) (CD)	A	Interest	J	T					
43. 401(k) - NATIONWIDE		None	M	T					
44. -Janus Twenty Fd									
45. -Elow Fixed									
46. AMERICAN FUNDS SIMPLE IRA	B	Dividend	N	T					
47. -American Balanced Fund (Fund- B)					Sold	02/11/13	K		
48. -Capital World Growth and Income Fund B					Sold	04/10/13	J		
49. -Fundamental Investors B					Sold	04/10/13	J		
50. -Fundamental Investors C					Buy (add'l)	04/23/13	J		
51. -Smallcap World Fund C					Buy (add'l)	04/23/13	J		

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52. -American Funds Money Market Fund-C									
53. - New World Fund-C									
54. -American Balanced Fund-C					Buy (add'l)	04/23/13	J		
55. -American Funds Money Market Fund-A									
56. -Fundamental Investors-A					Buy (add'l)	04/10/13	J		
57. -American Balanced Fund-A					Buy (add'l)	02/11/13	K		
58. -Capital World Growth and Income Fund-A					Buy (add'l)	04/10/13	J		
59. WIS NATIONAL LIFE INS		None	K	T					
60. FIRST BANCSHARES INC	A	Dividend	J	T					
61. NEUBERGER BERMAN PARTNERS FUND INV CLASS	E	Dividend	O	T					
62. JP MORGAN CHASE BANK (CHECKING)		None	K	T					
63. BUSINESS VEHICLE (SEE SECTION VIII)		None	K	V					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, Page 4, Line #3 JAM KAT, LLC - 100% assessed value \$325,400. Property located in Milwaukee, WI and Green Bay, WI.
- 2) Part VII, Page 4, Line #4 JEFFERSON COURT BLDG, LLC - 100% assessed value \$1,967,500. Property located in Green Bay, WI.
- 3) Part VII, Page 4, Line #5 EASTERN HORIZONS, LLC - Property located in Green Bay, WI.
- 4) Part VII, Page 4, Line #7 HURON PROFESSIONAL CENTER, LLC - Property located in Green Bay, WI.
- 5) Part VII, Page 5, Line #22 GENERAL MOTORS COMPANY - Stock dividends received on 12/27/13.
- 6) Part VII, Page 6, Line #36 WARRANTS: GENERAL MOTORS COMPANY - Warrants received on 12/27/13.
- 7) Part VII, Page 6, Line #37 WARRANTS: GENERAL MOTORS COMPANY - Warrants received on 12/27/13.
- 8) Part VII, Page 7, Line #63 BUSINESS VEHICLE - Business portion of vehicle used for self-employed business. Value listed at blue book value. Vehicle purchased in 2011 and inadvertently omitted from 2011 and 2012 reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES R. SICKEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544