

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goodstein, Aaron E.	2. Court or Organization District Court -E.D. Wisconsin	3. Date of Report 05/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-Time (Recall)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 258 United States Courthouse 517 E. Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1. U.S. Bank Savings Account	B	Interest	K	T					
2. 2. IRA #1:									
3. a) TCW Galileo FDS Inc.	A	Dividend			Sold	07/17/14	J	A	
4. b) Vanguard/Windsor Fund	A	Dividend			Sold	07/17/14	J	A	
5. c) ING Funds f/k/a Pilgrim Mayflower Tr.	A	Dividend			Sold	07/17/14	J	A	
6. d) JP Morgan TR 1	A	Interest	K	T					
7. e) T. Rowe Price Mid-Cap Growth Fund	A	Dividend			Sold	07/17/14	J	A	
8. f) FMI Mutual Funds, Inc.	A	Dividend			Sold	07/17/14	J	A	
9. g) Western Asset Core Plus Portfolio	A	Dividend			Sold	07/17/14	J	A	
10. h) General Mills	A	Dividend			Sold	07/22/14	J	A	
11. i) The Royce Funds Premier Series	A	Dividend			Sold	07/17/14	J	A	
12. j) Goldman Sachs Group	A	Dividend	J	T					
13. k) Artio Global Investment Funds	A	Dividend			Sold	07/17/14	J	A	
14. l) Perkins Mid Cap Value	A	Dividend			Sold	07/17/14	J	A	
15. m)General Electric Capital Corp.	A	Dividend	K	T					
16. n)Caterpillar Fin'l Group	A	Interest			Sold	07/23/14	K		
17. o) AMG Southernsun US EQI	A	Dividend	K	T	Buy	07/17/14	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. p) Cohen & Steers Realty Shares	A	Dividend	J	T	Buy	07/17/14	J		
19. q) Diamond Hill Funds	A	Dividend	K	T	Buy	07/17/14	K		
20. r) Oppenheimer Developing	A	Dividend	J	T	Buy	07/17/14	J		
21. s) Oppenheimer International	A	Dividend	J	T	Buy	07/17/14	J		
22. t) Riverpark FDS TR	A	Dividend	K	T	Buy	07/17/14	K		
23. u) IShares Int'l Select	A	Dividend	K	T	Buy	11/14/14	K		
24. 3. Brokerage Account #1:									
25. a) Fidelity Fund	A	Dividend			Sold	07/25/14	L	E	
26. b) Mass. Investors Growth	A	Dividend			Sold	07/25/14	M	E	
27. c) AT&T	A	Dividend			Sold	07/25/14	J	A	
28. d) Davis New York Venture Fund	A	Dividend			Sold	07/25/14	L	D	
29. e) Olstein Financial Alert Fund	B	Dividend			Sold	07/25/14	K	D	
30. f) Berkshire Hathaway		None	K	T					
31. g) Ares Capital Corp	A	Dividend			Sold	07/25/14	J	A	
32. h) JP Morgan TR 1	A	Interest	J	T					
33. i) Chevron Corp.	A	Dividend	J	T					
34. j) Vodephone	B	Dividend			Sold	07/25/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. k)Teva Pharmaceutical	A	Dividend			Sold	07/25/14	J	A	
36. l) Valley National Bancorp	A	Dividend			Sold	07/25/14	J	A	
37. m) IShares MSCI Emerging Markets	A	Dividend	J	T	Buy	12/26/14	J		
38. n) IShares Int'l Select	A	Dividend	K	T	Buy	11/10/14	K		
39. o) Vanguard Index Funds Mid-cap	A	Dividend	J	T	Buy	12/26/14	J		
40. p) Vanguard Index Funds Small cap	A	Dividend	J	T	Buy	12/26/14	J		
41. q) Verizon Communications	A	Dividend	J	T	Buy	02/24/14	J		
42. r) Blackrock Strategic Income	A	Dividend	L	T	Buy	07/25/14	K		
43. s) Cohen & Steers Realty Shares	A	Dividend	K	T	Buy	07/25/14	K		
44. t)Diamond Hill Funds	A	Dividend	K	T	Buy	07/25/14	K		
45. u) Guggenheim FDS TR	A	Dividend	L	T	Buy	07/25/14	K		
46. v) Oppenheimer International	A	Dividend	K	T	Buy	07/25/14	J		
47. w) Riverpark FDS TR	A	Dividend	K	T	Buy	07/25/14	K		
48. 4. Brokerage Account #2									
49. a) Bank of America Corp	A	Dividend			Sold	07/25/14	J	A	
50. b) Exxon Mobile Corp	A	Dividend	K	T					
51. c) IDEARC Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. d) Johnson & Johnson	A	Dividend	K	T					
53. e) Simon Property Group Inc, New	A	Dividend	K	T					
54. f) U.S. Bancorp DE New	A	Dividend	J	T					
55. g) Universal Health Realty Income Trust Shares Beneficial In	A	Dividend	J	T					
56. h) Verizon Communications, Inc	A	Dividend	J	T					
57. i) Washington Mutual Company	A	Dividend	J	T					
58. j) Capital Income Bldr Fund Class A	A	Dividend			Sold	07/25/14	L	A	
59. k) American Income Fd of Amer Inc. CL A	A	Dividend			Sold	07/25/14	L	A	
60. l) Kinder Morgan Energy Partners LP Unit LP Int	B	Dividend			Sold	12/02/14	L	E	
61. m) Plains All Amer Pipeline LC	A	Dividend	K	T					
62. n) Allstate Corp	A	Dividend			Sold	07/25/14	J	A	
63. o) Fidelity National Information Services	A	Dividend	J	T					
64. p) M&I Corp. (See VIII)									
65. q) Fairpoint Communications, Inc.	A	Dividend	J	T					
66. r) Weingarten Realty Investors	A	Dividend			Sold	07/25/14	J	A	
67. s) Walgreen Co. n/k/a Walgreen Boots Alliance Inc	A	Dividend	J	T					
68. t) Frontier Communication Corp.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. u) Cisco Systems, Inc.	A	Interest			Sold	11/17/14	K	A	
70. v) iShares MSCI Emerging Markets	A	Dividend	K	T	Buy	12/26/14	K		
71. w) iShares Int'l Select	A	Dividend	K	T	Buy	11/10/14	K		
72. x) Vanguard IndexFunds Mid-Cap	A	Dividend	J	T	Buy	12/26/14	J		
73. y) Vanguard Index Funds Small-cap	A	Dividend	J	T	Buy	12/26/14	J		
74. z) Blackrock Strategic Income	A	Dividend	K	T	Buy	07/25/14	K		
75. aa) Guggenheim FDS TR	A	Dividend	K	T	Buy	07/25/14	K		
76. bb) Oppenheimer International	A	Dividend	K	T	Buy	07/25/14	K		
77. cc) Washington Prime Group	A	Dividend	J	T	Buy	05/29/14	J		
78. dd) WMI Holdings	A	Dividend	J	T	Buy	08/12/14	J		
79. IRA #2									
80. a) JP Morgan CC	A	Interest	J	T					
81. b) AMG SouthernSun US EQI	A	Dividend	J	T	Buy	07/25/14	J		
82. c) Blackrock Strategic Income	A	Dividend	J	T	Buy	07/25/14	J		
83. d) Cohen & Steers Realty Shares	A	Dividend	J	T	Buy	07/25/14	J		
84. e) Diamond Hill Funds	A	Dividend	J	T	Buy	07/25/14	J		
85. f) Guggenheim FDS TR	A	Dividend	J	T	Buy	07/25/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. g) Oppenheimer Developing	A	Dividend	J	T	Buy	07/25/14	J		
87. h) Oppenheimer International	A	Dividend	J	T	Buy	07/25/14	J		
88. i) Riverpark FDS TR	A	Dividend	J	T	Buy	07/25/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. 64. p) Brokerage Account 2 M&I Corp Stock disposed of prior to 2012. Inadvertently remained on reports. No longer have records to provide details of disposition.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/13/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Aaron E. Goodstein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544