AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Scullin, Frederick J.	US District Court (NDNY)	05/04/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2014 **to** 12/31/2014
7. Chambers or Office Address		
PO Box 7255 100 S. Clinton Street Syracuse, NY 13261		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2014	NYS & Local Employees Retirement System - Lifetime
2.		
3.		

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Scullin, Frederick J.	05/04/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2014	NYS & Local Employees Retirement System - Lifetime	$7,234.08
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Massachussetts Mutual Life	Policy Loan(s)	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/04/2015

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1									
2. Key Bank - Cash, Money Markets, FDIC Deposits	A	Int./Div.	J	T					
3. Lord Abbett Fundamental Equity Fund Class A	D	Dividend	K	T	Buy (add'l)	12/31/14	J		
4. Ishares Comex Gold Tr Ishares	A	Dividend	J	T					
5. Blackrock Global Allocation Fund Inc.	D	Dividend	L	T	Buy	08/29/14	L		
6. Deutsche NY Tax Free Income	A	Dividend	K	T	Buy	12/31/14	K		
7. BROKERAGE ACCOUNT #2 (IRA)									
8. Cash, Money Market, FDIC Deposits - Key Bank Cash Accounts	A	Int./Div.	K	T					
9. Merger Fund	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
10. Pimco All Assets All Authority Fund Class A	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
11. Pimco Global Multi Asset Fund Class A	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
12. Powershares Global Exchange Traded FD TR Emerging Markets So	A	Dividend	J	T					
13. BROKERAGE ACCOUNT #3 (IRA)									
14. Key Bank - Cash, Money Markets, FDIC Deposits	A	Int./Div.	J	T					
15. Merger Fund	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
16. Pimco All Asset All Authority Fund Class A	A	Dividend	J	T	Buy (add'l)	12/31/14	J		
17. Pimco Global Multi Asset Fund Class A	A	Dividend	J	T	Buy (add'l)	12/31/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Scullin, Frederick J.	05/04/2015

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Powershares Global Exchange Traded FD TR Emerging Markets So	A	Dividend	J	T					
19. BROKERAGE ACCOUNT #4 (IRA ROLLOVER)									
20. Key Bank - Cash, Money Markets, FDIC Deposits	A	Int./Div.	J	T					
21. Russell Global Equity Fund Class S	A	Dividend	J	T	Buy	08/18/14	J		
22. Russell Global Equity Fund Class S		None			Buy (add'l)	12/31/14	J		
23. Russell U.S. Strategic Equity	A	Dividend	J	T	Buy	08/18/14	J		
24. Russell U.S. Strategic Equity		None			Buy (add'l)	12/31/14	J		
25. Russell Multi-Strategy Alternative Fund Class S	A	Dividend	J	T	Buy	08/18/14	J		
26. Russell Multi-Strategic Alternative Fund Class S		None			Buy (add'l)	12/31/14	J		
27. Russell Emerging Markets Fund CL S	A	Dividend	J	T	Buy	08/18/14	J		
28. Russell Emerging Markets Fund CL S		None			Buy (add'l)	12/31/14	J		
29. Russell Global Real Estate Securities	A	Dividend	J	T	Buy	08/18/14	J		
30. Russell Global Real Estate Securities		None			Buy (add'l)	12/31/14	J		
31. Russell Global Opportunistic	A	Dividend	J	T	Buy	08/18/14	J		
32. Russell Global Opportunistic		None			Buy (add'l)	12/31/14	J		
33. Russell Global Infrastructure Fund	A	Dividend	J	T	Buy	08/18/14	J		
34. Russell Global Infrastructure Fund		None			Buy (add'l)	12/31/14	J		

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35. Russell Commodity Strategies Fund	A	Dividend	J	T	Buy	08/18/14	J		
36. Russell Strategic Bond Fund Class S	A	Dividend	J	T	Buy	08/18/14	J		
37. Russell Strategic Bond Fund Class S		None			Buy (add'l)	12/31/14	J		
38. Russell Int'l Developed Markets	A	Dividend	J	T	Buy	8/18/14	J		
39. Russell Int'l Developed Markets		None			Buy (add'l)	12/31/14	J		
40. Russell U.S. Small Cap Equity Fund	A	Dividend	J	T	Buy	08/18/14	J		
41. Russell U.S. Small Cap Equity Fund		None			Buy (add'l)	12/31/14	J		
42. Massachusetts Mutual SPLD (2) - Whole Life Policies	D	Dividend	L	T					
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/04/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Frederick J. Scullin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544