

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CONRAD, GLEN E.	2. Court or Organization US DISTRICT COURT	3. Date of Report 04/28/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF US DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 210 FRANKLIN RD - ROOM 206 P O BOX 2822 ROANOKE, VA 24001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Virginia Retirement System - retirement plan
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Virginia State Bar - Criminal Law Section Seminar	January 31-February 1, 2013	Charlottesville, VA	panel member/speaker at seminar	lodging expenses
2. College of William and Mary School of Law	February 16-17, 2013	Williamsburg, VA	judging moot court	meals and lodging expenses
3. Virginia State Bar - Federal Practice Seminar	December 11, 2013	Roanoke, VA	panel member/speaker at seminar	meal
4. Virginia State Bar - Federal Practice Sminar	December 12, 2013	Staunton, VA	panel member/speaker at seminar	meals and lodging expenses
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. House 2 Lots Pulaski Co., VA (see Part VIII)		None	M	Q					
2. House (40% int.) Dare County, NC (see Part VIII)		None	N	Q					
3. Stamp collection		None	L	W					
4. Coin collection		None	J	W					
5. Abbott Laboratories CS	A	Dividend	K	T	Sold (part)	03/21/13	J	A	
6. Abbvie CS	A	Dividend	K	T	Spinoff (from line 5)	01/01/13	K		
7. Accenture PLC CS	A	Dividend			Sold	07/26/13	J	A	
8. Actavis PLC CS	A	Dividend	K	T	Buy	10/31/13	J		
9. ADT Corp. CS	A	Dividend			Sold	04/11/13	J	A	
10. Allstate Corp. CS	A	Dividend	J	T					
11. American Electric Power CS	B	Dividend	K	T					
12. Apple, Inc. CS	A	Dividend	J	T	Buy	06/04/13	K		
13. AT&T Corp. CS	B	Dividend	K	T	Buy (add'l)	06/04/13	J		
14. Autonation, Inc. CS	A	Dividend	J	T	Buy	09/12/13	J		
15. Avery Dennison Corp. CS	A	Dividend	J	T	Buy	06/12/13	J		
16. Ball Corp.	A	Dividend			Sold	06/12/13	J		
17. Bristol Myers Squibb Co. CS	A	Dividend	J	T	Buy (add'l)	06/04/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Century Link CS	A	Dividend	J	T	Buy (add'l)	06/04/13	J		
19. Coca-Cola Company CS	A	Dividend			Sold	01/10/13	J	A	
20. Comcast Corp. CS	A	Dividend	J	T	Sold (part)	06/19/13	J	B	
21.					Sold (part)	06/19/13	K	C	
22. Conoco Phillips Corp. CS	B	Dividend	L	T	Sold (part)	02/13/13	J	B	
23. Consolidated Edison, Inc. CS	A	Dividend	K	T					
24. CSX Corp. CS	A	Dividend	K	T					
25. Chevron Texaco Corp. CS	C	Dividend	L	T					
26. CVS Caremark Corp., CS	A	Dividend	J	T					
27. Dana Holding Corp. CS	A	Dividend			Buy	07/26/13	J		
28.					Buy (add'l)	08/16/13	J		
29.					Sold (part)	12/23/13	J	A	
30.					Sold	12/27/13	K	A	
31. Disney Walt Co., CS	A	Dividend	K	T					
32. Dominion Resources CS	A	Dividend	J	T					
33. Duke Energy Corp. CS	A	Dividend	J	T					
34. Equifax, Inc. CS	A	Dividend			Sold	10/23/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Express Scripts CS	A	Dividend	J	T					
36. Facebook, Inc. CS	A	Dividend	K	T	Buy	10/31/13	K		
37. Fairpoint CS	A	Dividend	J	T					
38. First Opportunity Fund (mutual fund)	B	Dividend	K	T					
39. Wilmington Funds Municipal Bond Fund Class A	B	Dividend	M	T					
40. Wilmington Funds Municipal Bond Fund Class A (See Part VIII)	B	Dividend	L	T					
41. Franklin VA Tax Free Income Fund	B	Dividend	M	T					
42. Frontier Communications CS	A	Dividend	J	T					
43. Glaxo Smithkline CS	B	Dividend	K	T	Buy (add'l)	06/04/13	J		
44. Google, Inc.	A	Dividend	J	T					
45. Halozyme Therapeutic CS	A	Dividend	M	T					
46. Honeywell Intl., Inc. CS	A	Dividend	J	T					
47. Hospira CS	A	Dividend	J	T					
48. iShares TR Russell 2000 EFT	A	Dividend	K	T	Buy	12/30/13	K		
49. Intel Corp. CS	A	Dividend	J	T	Buy	06/04/13	J		
50. International Business Machines, Corp. CS	A	Dividend			Buy	03/21/13	J		
51.					Sold	12/04/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Intrexon Corp. CS	A	Dividend	L	T	Buy	08/30/13	K		
53.					Buy (add'l)	09/04/13	J		
54.					Buy (add'l)	09/20/13	L		
55. Manpower Group, Inc. CS	A	Dividend	K	T	Buy	07/24/13	J		
56.					Buy (add'l)	10/31/13	K		
57. Mattel, Inc. CS	A	Dividend			Sold	08/28/13	J	B	
58. Merck & Co. Inc. CS	A	Dividend	J	T					
59. JP Morgan Chase & Co. CS	A	Dividend	K	T					
60. Michael Kors Holdings, Ltd. CS	A	Dividend	K	T	Buy	02/13/13	J		
61.					Buy (add'l)	08/16/13	J		
62. Nuveen VA Prem Income Municipal Fund	A	Dividend	J	T					
63. Oceaneering International, Inc. CS	A	Dividend	K	T	Buy	10/31/13	K		
64. Pfizer Inc. CS	A	Dividend	J	T					
65. Phillips 66 CS	A	Dividend	J	T	Sold (part)	09/19/13	J	A	
66. Prudential Financial Inc. CS	A	Dividend	J	T	Buy	06/19/13	J		
67.					Buy (add'l)	06/20/13	J		
68. Qualcomm Inc. CS	A	Dividend	J	T	Buy	09/19/13	J		

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69. Rackspace, Inc. CS	A	Dividend			Sold	03/13/13	J		
70. Realty Income Corp. CS	A	Dividend	J	T	Buy	06/04/13	J		
71. RGC Resources, Inc. CS	A	Dividend	K	T					
72. Rockwell Automation CS	A	Dividend	J	T	Buy	08/08/13	K		
73. Sapient Corp. CS	A	Dividend	K	T	Buy	12/23/13	J		
74.					Buy (add'l)	12/27/13	J		
75. Schwab Money Market Fund	A	Dividend	N	T					
76. Scott & Stringfellow Money Market Fund	A	Dividend	K	T					
77. Scottrade Money Market Fund	A	Dividend	L	T					
78. Scripps Networks Interactive Inc. CS	A	Dividend	J	T	Buy	09/13/13	J		
79. Snap On, Inc. CS	A	Dividend	J	T					
80. Skyworks Solutions, Inc. CS	A	Dividend	K	T	Buy	10/23/13	K		
81.					Buy (add'l)	10/24/13	K		
82. Southern Company CS	A	Dividend	J	T	Buy (add'l)	06/04/13	J		
83. Southwest Airlines Co. CS	A	Dividend	J	T	Buy	10/18/13	J		
84.					Buy (add'l)	10/24/13	K		
85. SPDR S&P Regional Banking ETF	A	Dividend	J	T	Buy	08/16/13	J		

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86. Suntrust Banks, Inc. CS	C	Dividend	K	T					
87. Textron Inc. CS	A	Dividend	J	T	Buy	12/04/13	J		
88. Tractor Supply Co.	A	Dividend	K	T	Buy	04/11/13	J		
89.					Buy (add'l)	08/16/13	K		
90. The Travelers Companies CS	A	Dividend	K	T					
91. Trinity Industries, Inc. CS	A	Dividend	K	T	Buy	12/02/13	K		
92. Tyco International, Ltd. CS	A	Dividend	J	T					
93. Union Pacific Corp. CS	A	Dividend			Sold	08/28/13	J	A	
94. Vanguard Financials ETF	A	Dividend	J	T	Buy	03/14/13	J		
95. Verizon Communications CS	A	Dividend	K	T					
96. Vulcan Materials Co. CS	A	Dividend			Sold	07/19/13	J	A	
97. Wells Fargo Bank (checking account)(See Part VIII)	A	Interest	L	T					
98. Wells Fargo CS	A	Dividend	J	T					
99. Weyerhaeuser Company CS	A	Dividend			Buy	01/10/13	J		
100.					Sold	08/16/13	J		
101. Ziopharm Oncology, Inc. CS	A	Dividend	L	T	Buy (add'l)	04/17/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1 - appraisal date -May 2004
Part VII, Line 2 - appraisal date - September 1999
Part VII, Line 40 - [redacted] joint ownership w/ [redacted]
Part VII, Line 97 - [redacted] joint ownership w [redacted]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ GLEN E. CONRAD

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544