

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Meisel, Stacey L.	2. Court or Organization U.S. Bankruptcy Court, New Jersey	3. Date of Report 12/20/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address MLK Federal Courthouse 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 03/26/08	Becker Meisel LLC 401(k) Plan
2. 9/23/15	Becker LLC - Partnership Buy-Out Agreement To be Paid by 2019
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	West Services Inc - book royalties	\$2,785.00
2. 2016	Becker LLC - buyout payments	\$125,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Becker Meisel LLC - draw and membership distribution "Self Employed Attorney"
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Turnaround Management Association, New York Chapter	3/16/16	New York	Professional Assoc.	Travel, Meals
2. Turnaround Management Association, Network Of Women	4/19/16	PA	Professional Assoc.	Travel, Meals
3. National Conference of Bankruptcy Judges	10/26/16-10/29/16	CA	Conference	Travel, Meals and Hotel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Inc. Opp (a), (Y)									
2. JP Morgan Inc. Core Bond (a), (Y)									
3. Franklin Mutual Shrs (a)	A	Int./Div.			Sold	01/07/16	J	A	
4. Templeton Global BD (a)	A	Int./Div.			Sold	01/07/16	J	A	
5. Hooper Holmes (a)	A	Dividend	J	T					
6. Intel (a), (Y)									
7. TD Bank Cash Accounts	A	Int./Div.	M	T					
8. Yahoo (b), (Y)									
9. KKR Financial 7.375% (b) , (Y)									
10. Frankling Income Fund (b) class c	A	Dividend	J	T					
11. Targa Resources Pfd 9% (a)	B	Dividend	K	T					
12. Calamos Strategic Total Return (a)	B	Dividend	J	T					
13. Intel Corp (a)	A	Dividend	J	T					
14. Franklin Income Fund (a)	B	Dividend	K	T					
15. Citigroup Note 10% 8/19/35 (a)	A	Int./Div.	K	T					
16. United States Oil Fund (a)	C	Dividend	K	T					
17. USO Oil Fund (a), (Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 1. Becker LLC 401(k) Plan (a) (H)									
19. 2. JH Multimanager Growth LS	A	Int./Div.	K	T					
20. 3. JH Multimanager Moderate LS	A	Int./Div.	M	T					
21. 1. Becker LLC 401 (k) Plan (c) (H)									
22. 2. JH Multimanager Aggressive LS	A	Int./Div.	K	T					
23. 3. JH Multimanager Growth LS	A	Int./Div.	K	T					
24. 4. JH Multimanager Balanced LS	A	Int./Div.	K	T					
25. 5. JH Multimanager Moderate LS	A	Int./Div.	K	T					
26. 6. JH Multimanager Conserv LS	A	Int./Div.	K	T					
27. Franklin Income Fund 529 Plan (d)	B	Dividend	L	T					
28. Alibaba (b)	A	Dividend	K	T					
29. American Int'l Group (b)	A	Dividend	J	T					
30. Exxon Mobil (b)	B	Dividend	K	T					
31. Franklin Income Fund (b) class A	D	Dividend	M	T					
32. Targa Resources Pfd (b)	A	Dividend	J	T					
33. United States Oil (b)	E	Dividend	L	T					
34. Citibank CD (b)	C	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Citigroup Steepener Note 10% (b) 8/19/35	A	Int./Div.	J	T					
36. Citigroup Note 8-16% (b)	C	Int./Div.	K	T					
37. Elizabeth Arden Bond 7.375% 3/15/21 (b)	B	Int./Div.			Sold	06/21/16	K	B	
38. Goldman Sachs Note 3.6% due 10/30/27 (b)	A	Int./Div.	J	T					
39. Morgan Stanley 10% due 08/19/33 (b)	B	Int./Div.	K	T					
40. Neuberger Berman Real Estate (Y)									
41. Alibaba (c)	A	Dividend	K	T					
42. Citibank CD 2.9% 11/15/33 (c)	A	Int./Div.	J	T					
43. Citigroup Note 10% 11/26/34 (c)	A	Int./Div.	K	T					
44. JP Morgan Chase CD 10% 7/21/34 (c)	B	Int./Div.	K	T					
45. Franklin Income Fund (c)	A	Dividend	J	T					
46. Comcast (c)	A	Dividend	J	T					
47. Neuberger Berman Real Estate (c)	A	Dividend	J	T					
48. United States Oil Fund (c)	B	Dividend	J	T					
49. Exxon Mobil (d)	B	Dividend	L	T					
50. Targa Resources PFD 9% (a)	B	Dividend	J	T					
51. Franklin Income Fund 529 Plan Portfolio (d)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Franklin Income Fund 529 Plan (d)	B	Dividend	L	T					
53. TransAmerica Universal Life Insurance (a)	A	Dividend	J	T					
54. 1. MassMutual Variable Universal Life Insurance (c) policy 710 (H)									
55. 2. -MML Equity Index (c)	A	Dividend	J	T					
56. 3. -Oppenheimer Main Street	A	Dividend	J	T					
57. 4. -MML Money Market	A	Dividend	J	T					
58. 5. -Oppenheimer Core Bond	A	Dividend	J	T					
59. 6. -Janus Aspen Global Research	A	Dividend	J	T					
60. 1. MassMutual Variable Universal Life Insurance (c) policy 712 (H)									
61. 2. -MML Equity Index (c)	A	Dividend	J	T					
62. 3. -Oppenheimer Main Street	A	Dividend	J	T					
63. 4. -MML Money Market	A	Dividend	J	T					
64. 5. -Oppenheimer Core Bond	A	Dividend	J	T					
65. 6. -Janus Aspen Global Research	A	Dividend	J	T					
66. Seelaus Money Market (c) cash account (X)	A	Interest	J	T					
67. Seelaus Money Market (a) cash account (X)	A	Interest	J	T					
68. Century Bank 9/15/39 (b)	C	Interest	K	T	Buy	06/21/16	K		

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69. Seelaus Money Market (b) cash account (X)	A	Interest	J	T					
70. Seelaus Money Market (d) cash account (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Page 5 Line 19 previously recorded as (b) was updated to (C). The John Hancock 401(k) information has a value as of 12/31/16, but I am unable to get the transactions from John Hancock. Additionally, I did estimate the income for the 401(k) because I could not obtain that information from John Hancock either.

As per my broker, the cash value of the TransAmerica life insurance policies are held by TransAmerica and not a third party intermediary like a bank. I have Universal Life Insurance policies. To the extent the premiums exceed the basic cost of insurance, they are considered to be the cash value of the account but are left in the company's general account. The company uses the general account to pay claims, meet policyholder demands and set aside reserves against future claims as required by various State Insurance Commissioners. The company credits policyholder funds in the general account with interest. The company guarantees a minimum interest rate but may set the rate higher than the minimum. The interest crediting rates are set annually by management and approved by the Board.

Some of the codes on Part VII were updated see below for page and line numbers:

Part VII Page 5 Line 19 previously recorded as (b) was updated to (c).

Part VII Page 6 Line 47 previously recorded as (b) was updated to (c).

Part VII Page 6 Line 48 previously recorded as (b) was updated to (c).

Part VII Page 7 Line 53 previously recorded as (b) was updated to (c).

Part VII Page 7 Line 54 previously recorded as (b) was updated to (c).

Part VII Page 6 Line 43 previously recorded as (d) was updated to (a).

The following assets listed below in Part VII were updated for prior year sales or adjustments reported on the prior form. Please consider these notes amendments to the prior report ending 2/2/2016.

Part VII Page 4 Line 1 JP Morgan Inc. OPP was sold 8/25/15, Value J and Gain A.

Part VII Page 4 Line 2 JP Morgan Inc. Core Bond was sold on 8/25/15, Value K and Gain B.

Part VII Page 4 Line 8 Yahoo was sold on 11/6/14, Value K and Gain B.

Part VII Page 4 Line 9 KKK Financial was sold on 3/24/14, Value K and Gain A.

Part VII Page 4 Line 17 USO Oil Fund was sold on 9/5/15, Value K and Gain D.

Part VII Page 4 Line 6 Intel was shown by individual tax lots on the prior form so were combined in 2016, with line 13 page 4.

Part VII Page 5 Line 33 Neuberger Berman Real Estate was shown by individual tax lots on the prior form so were combined in 2016, with line 40 page 6.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stacey L. Meisel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544