

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>SEYMOUR, STEPHANIE K.                                                                                                                | <b>2. Court or Organization</b><br><br>U.S. COURT OF APPEALS                                                                                                                                                                                                                             | <b>3. Date of Report</b><br><br>05/06/2015                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. CIRCUIT JUDGE--SENIOR                                                    | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2014<br>to<br>12/31/2014 |
| <b>7. Chambers or Office Address</b><br><br>4-562 U.S. COURTHOUSE<br>333 WEST FOURTH<br>TULSA, OK 74103-3877                                                                                              |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>                         | <u>NAME OF ORGANIZATION/ENTITY</u>                        |
|-----------------------------------------|-----------------------------------------------------------|
| 1. Executive Board Member               | Oklahoma City University School of Law, Oklahoma City, OK |
| 2. Vice President of Board of Directors | Crested Butte Music Festival, Crested Butte, CO           |
| 3. Board Member                         | Tenth Circuit Historical Society, Denver, CO              |
| 4. Advisory Council Member              | Community Foundation of Gunnison Valley                   |
| 5.                                      |                                                           |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. 2014     | self-employed - lawyer |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                       | <u>DATES</u>          | <u>LOCATION</u> | <u>PURPOSE</u>               | <u>ITEMS PAID OR PROVIDED</u>                          |
|-------------------------------------|-----------------------|-----------------|------------------------------|--------------------------------------------------------|
| 1. Pepperdine University Law School | 3/7/2014 - 3/9/2014   | Malibu, CA      | Judge Moot Court Competition | Air fare, lodging, food, miscellaneous travel expenses |
| 2. University of Colorado           | 3/11/2014 - 3/12/2014 | Boulder, CO     | Judge Moot Court Competition | Air fare, lodging, food, miscellaneous travel expenses |
| 3.                                  |                       |                 |                              |                                                        |
| 4.                                  |                       |                 |                              |                                                        |
| 5.                                  |                       |                 |                              |                                                        |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Bank of Okla. Accts                                                                                                      | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Prosperity Bank Accounts (formerly F&M)                                                                                  | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                | See comment 1                                                     |
| 3. -UBS Accounts                                                                                                            | A                                       | Interest                                      | P1                                              | T                                         |                                                 |                         |                                 |                                | See comment 2                                                     |
| 4. Rental Property, Mt. Crested Butte, CO<br>(\$245,000, 9/1/92)                                                            | E                                       | Rent                                          | P1                                              | R                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Lot, Mt. Crested Butte, CO (\$81,000,<br>8/10/94)                                                                        |                                         | None                                          | O                                               | R                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Brokerage #5 (H)                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 7. -Cathay Bk CA (CD)                                                                                                       | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. -Caval Hill TX Fr MM -Slet (cash<br>equivalent)                                                                          | A                                       | Int./Div.                                     | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. -Community West Bk CA (CD)                                                                                               | D                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. -Four Oaks B&t NC (CD)                                                                                                  | D                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. -GE Capital Fin'l UT (CD)                                                                                               | D                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. -North Star Bk FL (CD)                                                                                                  | A                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. -1st Rep Bk BofA CA (CD)                                                                                                | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. -1st Rep Bk BofA CA (CD)                                                                                                | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. IRA #5 (H)                                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16. -Invesco Global Real Estate Fd (ASRYX)<br>(mutual fund)                                                                 | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                | See comment 3                                                     |
| 17. -iShares Trust S&P US PFD Stock Index<br>Fund (PFF) (ETF)                                                               | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                         |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                         | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000.001 - \$500,000.000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                         | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

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Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                     |   |          |   |   |             |          |   |   |               |
|---------------------------------------------------------------------|---|----------|---|---|-------------|----------|---|---|---------------|
| 18. -Vanguard Short Term Corp BD (VCSH) (ETF)                       | A | Dividend |   |   | Sold        | 04/01/14 | K |   |               |
| 19. -Double Line Total Return Fund Instl (DBLTX) (mutual fund)      | B | Dividend | K | T |             |          |   |   | See comment 3 |
| 20. -Loomis Sayles Investment Grade Bd Cl Y (LSIIX) (mutual fund)   | B | Dividend | K | T | Sold (part) | 04/10/14 | J |   | See comment 3 |
| 21.                                                                 |   |          |   |   | Sold (part) | 09/26/14 | J |   |               |
| 22. -TCW Emerging Markets Income Fd Cl I (TGEIX) (mutual fund)      | B | Dividend | K | T |             |          |   |   | See comment 3 |
| 23. -Virtus Multi-Sector Short Term Bond (PIMSX) (mutual fund)      | A | Dividend | K | T | Sold (part) | 04/01/14 | J | A | See comment 3 |
| 24. -First Tr ETF IV No Amer Energy (EMLP) (ETF)                    | A | Dividend |   |   | Sold (part) | 04/01/14 | J | A |               |
| 25.                                                                 |   |          |   |   | Sold        | 09/26/14 | K | B |               |
| 26. -Invesco Convertible Securities FD CL Y (CNSDX) (mutual fund)   | A | Dividend | K | T | Buy (add'l) | 04/01/14 | J |   | See comment 3 |
| 27. -iShares 7-10 Yr Treas Bond ETF (IEF) (ETF)                     | A | Dividend | K | T | Buy         | 09/26/14 | K |   |               |
| 28. -Blackrock Strategic Income I (BSIIX) (mutual fund)             | B | Dividend | K | T | Sold (part) | 04/01/14 | J | A | See comment 3 |
| 29. -Columbia Income Opportunities FD Class Z (CIOZX) (mutual fund) | B | Dividend | J | T | Sold (part) | 04/01/14 | J |   |               |
| 30.                                                                 |   |          |   |   | Sold (part) | 09/26/14 | K |   |               |
| 31. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)       | A | Dividend | K | T | Sold (part) | 04/01/14 | J |   | See comment 3 |
| 32. -Putnam Short Duration Income Fund Y (PSDYX) (mutual fund)      | A | Dividend | J | T | Sold (part) | 04/01/14 | J | A | See comment 3 |
| 33. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)  | A | Dividend | K | T | Buy (add'l) | 04/01/14 | J |   | See comment 3 |
| 34.                                                                 |   |          |   |   | Buy (add'l) | 12/23/14 | J |   |               |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. -Blackrock Multi-Asset Income Portfolio Instl (BIICX) (mutual fund)                                                   | A                                       | Dividend                                      | K                                               | T                                         | Sold (part)                                     | 04/01/14                | J                               | A                              | See comment 3                                                     |
| 36. -Lord Abbett Short Duration Income Fd (LDLFX) (mutual fund)                                                           | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 04/1/14                 | K                               |                                | See comment 3                                                     |
| 37. -Mainstay Unconstrained Bd Fd Class I (MSDIX) (mutual fund)                                                           | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 04/01/14                | K                               |                                | See comment 3                                                     |
| 38.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 09/26/14                | J                               |                                |                                                                   |
| 39. -Mainstay Short Duration High Yd Fd Class I (MDHIX) (mutual fund)                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/26/14                | J                               |                                |                                                                   |
| 40. -Advisory Research MLP & Energy Inc Fd (INFIX) (mutual fund)                                                          | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 09/29/14                | K                               |                                |                                                                   |
| 41.                                                                                                                       |                                         |                                               |                                                 |                                           | Sold                                            | 12/01/14                | J                               |                                |                                                                   |
| 42. IRA #6 (H)                                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 43. -Invesco Global Real Estate Income Fd (ASRYX) (mutual fund)                                                           | C                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 04/01/14                | J                               |                                | See comment 3                                                     |
| 44. -iShares Trust S&P US Pfd Stock Index Fund (PFF) (ETF)                                                                | C                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 04/01/14                | J                               |                                |                                                                   |
| 45. -Vanguard Short Term Corporate Bond (VCSH) (ETF)                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 04/01/14                | L                               |                                |                                                                   |
| 46. -Double Line Total Return Fund Instl (DBLTX) (mutual fund)                                                            | C                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 04/01/14                | J                               |                                | See comment 3                                                     |
| 47.                                                                                                                       |                                         |                                               |                                                 |                                           | Sold (part)                                     | 09/26/14                | J                               |                                |                                                                   |
| 48. -Loomis Sayles Investment Grade Bond (LSIIX) (mutual fund)                                                            | C                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 04/01/14                | J                               |                                | See comment 3                                                     |
| 49.                                                                                                                       |                                         |                                               |                                                 |                                           | Sold (part)                                     | 09/26/14                | K                               |                                |                                                                   |
| 50. -TCW Emerging Markets Income Fd Class I (TGEIX) (mutual fund)                                                         | C                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 04/01/14                | J                               |                                | See comment 3                                                     |
| 51.                                                                                                                       |                                         |                                               |                                                 |                                           | Sold (part)                                     | 09/29/14                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. -Virtus Multi-Sector Short Term Bond (PIMSX) (mutual fund)                                                              | A                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 04/01/14                | K                               | A                              | See comment 3                                                     |
| 53. -First Tr ETF IV No Amer Energy (EMLP) (ETF)                                                                            | B                                       | Dividend                                      |                                                 |                                           | Buy (add'l)                                     | 04/01/14                | J                               |                                |                                                                   |
| 54.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/26/14                | L                               | D                              |                                                                   |
| 55. -Invesco Convertible Securities FD CL Y (CNSDX) (mutual fund)                                                           | C                                       | Dividend                                      | L                                               | T                                         | Buy (add'l)                                     | 04/01/14                | K                               |                                | See comment 3                                                     |
| 56.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 12/15/14                | J                               |                                |                                                                   |
| 57. -Blackrock Strategic Income I (BSHX) (mutual fund)                                                                      | C                                       | Dividend                                      | M                                               | T                                         | Sold (part)                                     | 04/01/14                | J                               | A                              | See comment 3                                                     |
| 58.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 12/23/14                | J                               |                                |                                                                   |
| 59. -Columbia Income Opportunities FD (CIOZX) (mutual fund)                                                                 | C                                       | Dividend                                      | K                                               | T                                         | Sold (part)                                     | 04/01/14                | J                               | A                              |                                                                   |
| 60.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 09/26/14                | K                               | A                              |                                                                   |
| 61. -Invesco Floating Rate Fund Cl Y (AFRYX) (mutual fund)                                                                  | B                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 04/01/14                | K                               | A                              | See comment 3                                                     |
| 62. -Putnam Short Duration Income FD Cl Y (PSDYX) (mutual fund)                                                             | A                                       | Dividend                                      | K                                               | T                                         | Sold (part)                                     | 04/01/14                | K                               | A                              | See comment 3                                                     |
| 63. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)                                                          | A                                       | Dividend                                      | M                                               | T                                         | Buy (add'l)                                     | 04/01/14                | K                               |                                | See comment 3                                                     |
| 64.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 09/26/14                | J                               |                                |                                                                   |
| 65.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 12/23/14                | J                               |                                |                                                                   |
| 66. -Blackrock Multi-Asset Income Portfolio Instl (BHICX) (mutual fund)                                                     | C                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 04/01/14                | J                               | A                              | See comment 3                                                     |
| 67. -iShares 7-10 Yr Treas Bd (IEF) (ETF)                                                                                   | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 09/26/14                | L                               |                                |                                                                   |
| 68. -Lord Abbett Short Duration Inc Fd (LDLFX) (mutual fund)                                                                | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 04/01/14                | L                               |                                | See comment 3                                                     |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. -Mainstay Unconstrained Bd Fd C11<br>(MSDIX) (mutual fund)                                                              | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 04/01/14                | L                               |                                | See comment 3                                                     |
| 70. -Mainstay Short Duration High Yield Fd C1<br>(MDHIX) (mutual fund)                                                      | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/26/14                | K                               |                                |                                                                   |
| 71. -Advisory Research MLP & Energy Inc Fd<br>(INFIX) (mutual fund)                                                         | B                                       | Dividend                                      |                                                 |                                           | Buy                                             | 09/29/14                | L                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/26/14                | J                               |                                |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 12/01/14                | K                               |                                |                                                                   |
| 74. Brokerage #9 (H)                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 75. -BOK Financial Corp. New (BOKF)<br>(common)                                                                             | D                                       | Dividend                                      | N                                               | T                                         | Sold<br>(part)                                  | 09/08/14                | M                               | E                              |                                                                   |
| 76. -Jackson Nat'l Life Ins Co Perspective L<br>Series Class L                                                              |                                         | None                                          | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. -Lincoln Nat'l Choice Plus Assurance L<br>Share                                                                         |                                         | None                                          | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. -Lincoln Nat'l Choice Plus Assur L Share                                                                                |                                         | None                                          | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. -Ohio Nat'l Oncore Lite II Class L                                                                                      |                                         | None                                          | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. Brokerage #10 (H)                                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 81. -Blackrock Strategic Income I (BSIIX)<br>(mutual fund)                                                                  | C                                       | Dividend                                      | L                                               | T                                         | Sold<br>(part)                                  | 06/13/14                | J                               | A                              | See comment 3                                                     |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               | A                              |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/27/14                | J                               |                                |                                                                   |
| 84.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/23/14                | J                               |                                |                                                                   |
| 85. -Health Care Select Sector SPDR Fund<br>(XLV) (ETF)                                                                     | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/11/14                | J                               | A                              |                                                                   |

|                                                  |                                                                                         |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                         | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000,001 - \$500,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                         | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               | A                              |                                                                   |
| 87.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | D                              |                                                                   |
| 88.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 89. -iShares Trust S&P Growth Index Fund<br>(IVW) (ETF)                                                                     | A                                       | Dividend                                      | L                                               | T                                         | Sold<br>(part)                                  | 02/11/14                | J                               | A                              |                                                                   |
| 90.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |
| 91.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               | A                              |                                                                   |
| 92.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | L                               | D                              |                                                                   |
| 93.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | L                               |                                |                                                                   |
| 94.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/03/14                | J                               | A                              |                                                                   |
| 95. -Vanguard Total Stock Market (VTI) (ETF)                                                                                | D                                       | Dividend                                      | N                                               | T                                         | Sold<br>(part)                                  | 02/11/14                | J                               | B                              |                                                                   |
| 96.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |
| 97.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | K                               | C                              |                                                                   |
| 98.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | N                               | E                              |                                                                   |
| 99.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | N                               |                                |                                                                   |
| 100.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/03/14                | M                               |                                |                                                                   |
| 101. -Invesco Balanced-Risk Allocation Fund Y<br>(ABRYX) (mutal fund)                                                       |                                         | None                                          |                                                 |                                           | Buy<br>(add'l)                                  | 02/11/14                | J                               |                                | See comment 3                                                     |
| 102.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               |                                |                                                                   |
| 104.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/07/14                | L                               | A                              |                                                                   |
| 105. -Powershares QQQ Trust (QQQ) (ETF)                                                                                     | B                                       | Dividend                                      | M                                               | T                                         | Sold<br>(part)                                  | 02/11/14                | J                               | A                              |                                                                   |
| 106.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |
| 107.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               | A                              |                                                                   |
| 108.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | L                               | D                              |                                                                   |
| 109.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | L                               |                                |                                                                   |
| 110.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/03/14                | L                               |                                |                                                                   |
| 111. -Invesco Floating Rate Fd Cl Y (AFRYX)<br>(mutual fund)                                                                | C                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              | See comment 3                                                     |
| 112.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               |                                |                                                                   |
| 113.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/27/14                | J                               |                                |                                                                   |
| 114.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | L                               |                                |                                                                   |
| 115. -SPDR S&P Dividend ETF (SDY) (ETF)                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 01/24/14                | M                               | E                              |                                                                   |
| 116. -Vanguard Extd Mkt ETF (VXF) (ETF)                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 02/11/14                | J                               | A                              |                                                                   |
| 117.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |
| 118.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               | A                              |                                                                   |
| 119.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/02/14                | L                               | D                              |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. -Vanguard Intl Equity Index FD Inc (VEU) (ETF)                                                                         | B                                       | Dividend                                      |                                                 |                                           | Buy (add'l)                                     | 02/11/14                | J                               |                                |                                                                   |
| 121.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 06/13/14                | J                               |                                |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 07/22/14                | J                               |                                |                                                                   |
| 123.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/02/14                | L                               |                                |                                                                   |
| 124. -Putnam Short Duration Income FD A (PSDTX) (mutual fund)                                                               | A                                       | Dividend                                      |                                                 |                                           | Buy (add'l)                                     | 02/11/14                | J                               |                                |                                                                   |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 04/01/14                | L                               | A                              |                                                                   |
| 126. -Mainstay Marketfield Fd Cl I (MFLDX) (mutual fund)                                                                    |                                         | None                                          |                                                 |                                           | Buy (add'l)                                     | 02/11/14                | J                               |                                |                                                                   |
| 127.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 06/13/14                | J                               |                                |                                                                   |
| 128.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 07/22/14                | J                               |                                |                                                                   |
| 129.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/07/14                | L                               |                                |                                                                   |
| 130. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)                                                         | C                                       | Dividend                                      |                                                 |                                           | Sold (part)                                     | 06/13/14                | J                               | A                              | See comment 3                                                     |
| 131.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 07/22/14                | J                               |                                |                                                                   |
| 132.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 10/27/14                | J                               |                                |                                                                   |
| 133.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 12/23/14                | J                               |                                |                                                                   |
| 134.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | L                               |                                |                                                                   |
| 135. -Columbia Income Opportunities FD Class (CIOZX) (mutual fund)                                                          | C                                       | Dividend                                      |                                                 |                                           | Sold (part)                                     | 06/13/14                | J                               |                                |                                                                   |
| 136.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 07/22/14                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/27/14                | J                               |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | L                               |                                |                                                                   |
| 139. -Fidelity Advisor Biotechnology FD<br>(FBTIX) (mutual fund)                                                            | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/11/14                | J                               | A                              | See comment 3                                                     |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               | A                              |                                                                   |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | C                              |                                                                   |
| 142.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 143. -SPDR S&P Regl Banking (KRE) (ETF)                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/11/14                | L                               |                                |                                                                   |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               |                                |                                                                   |
| 145.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               |                                |                                                                   |
| 146.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/02/14                | L                               |                                |                                                                   |
| 147. -iShares MSCI Mexico Capped (EWW)<br>(ETF)                                                                             |                                         | None                                          |                                                 |                                           | Buy                                             | 11/03/14                | L                               |                                |                                                                   |
| 148.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/01/14                | L                               |                                |                                                                   |
| 149. -Columbia Acorn European Fund Z<br>(CAEZ) (mutual fund)                                                                | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/11/14                | L                               |                                | See comment 3                                                     |
| 150.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |
| 151.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               |                                |                                                                   |
| 152.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/23/14                | L                               |                                |                                                                   |
| 153. -Lord Abbett Short Duration Inc Fd<br>(LDLFX) (mutual fund)                                                            | B                                       | Dividend                                      |                                                 |                                           | Buy                                             | 04/01/14                | L                               |                                | See comment 3                                                     |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/14                | J                               |                                |                                                                   |
| 155.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/27/14                | J                               |                                |                                                                   |
| 156.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | L                               |                                |                                                                   |
| 157. -Financial Sector SPDR Trust (XLF) (ETF)                                                                               | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 11/03/14                | L                               |                                |                                                                   |
| 158. -Consumer Sector SPDR Trust Shs<br>Discretionary (XLY) (ETF)                                                           | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 11/03/14                | L                               |                                |                                                                   |
| 159. IRA #7 (H)                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 160. -UBS Bank USA Account                                                                                                  |                                         |                                               |                                                 |                                           | Merged<br>(with line 3)                         |                         |                                 |                                | See comment 2                                                     |
| 161. -AFLAC Inc (AFL) (common)                                                                                              | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 162.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/14                | J                               |                                |                                                                   |
| 163.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               |                                |                                                                   |
| 164.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 165. -Astrazeneca PLC Spon ADR (AZN)<br>(common)                                                                            |                                         | None                                          |                                                 |                                           | Sold                                            | 02/18/14                | K                               | D                              |                                                                   |
| 166. -Boeing Company (BA) (common)                                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 167.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/14                | J                               |                                |                                                                   |
| 168.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               |                                |                                                                   |
| 169.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 170. -Clorox Co (CLX) (common)                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/18/14                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/18/14                | K                               | A                              |                                                                   |
| 172. -Coca Cola Co Com (KO) (common)                                                                                        | B                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 173.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | B                              |                                                                   |
| 174.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 175.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/10/14                | K                               | B                              |                                                                   |
| 176. -Colgate Palmolive Co. (CL) (common)                                                                                   | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 177.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | A                              |                                                                   |
| 178.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 179. -CSX Corporation (CSX) (common)                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 180.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/06/14                | K                               | B                              |                                                                   |
| 181. -Diageo Plc New GB Spon ADR (DEO)<br>(common)                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 182.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/14                | J                               |                                |                                                                   |
| 183.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               |                                |                                                                   |
| 184.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 185. -Du Pont De Nemours (DD) (common)                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/18/14                | K                               |                                |                                                                   |
| 186.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | A                              |                                                                   |
| 187.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A - \$1,000 or less<br>F - \$50,001 - \$100,000                                       | B - \$1,001 - \$2,500<br>G - \$100,001 - \$1,000,000   | C - \$2,501 - \$5,000<br>H1 - \$1,000,001 - \$5,000,000                                  | D - \$5,001 - \$15,000<br>H2 - More than \$5,000,000         | E - \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J - \$15,000 or less<br>N - \$250,001 - \$500,000<br>P3 - \$25,000,001 - \$50,000,000 | K - \$15,001 - \$50,000<br>O - \$500,001 - \$1,000,000 | L - \$50,001 - \$100,000<br>P1 - \$1,000,001 - \$5,000,000<br>P4 - More than \$5,000,000 | M - \$100,001 - \$250,000<br>P2 - \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q - Appraisal<br>U - Book Value                                                       | R - Cost (Real Estate Only)<br>V - Other               | S - Assessment<br>W - Estimated                                                          | T - Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/15/14                | K                               | A                              |                                                                   |
| 189. -Illinois Tool Works Inc (ITW) (common)                                                                                | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 190.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | A                              |                                                                   |
| 191.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 192. -Intel Corp (INTC) (common)                                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 09/18/14                | J                               | A                              |                                                                   |
| 193.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | C                              |                                                                   |
| 194.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 195. -Johnson & Johnson Com (JNJ) (common)                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/18/14                | J                               | A                              |                                                                   |
| 196.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | B                              |                                                                   |
| 197.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 198. -JP Morgan Chase & CO (JPM) (common)                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/18/14                | K                               | B                              |                                                                   |
| 199. -Medtronic Inc (MDT) (common)                                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 200.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | B                              |                                                                   |
| 201.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 202. -Microsoft (MSFT) (common)                                                                                             | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 02/18/14                | K                               |                                |                                                                   |
| 203.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/18/14                | J                               | A                              |                                                                   |
| 204.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | B                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
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| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 206. -Nextera Energy Inc Com (NEE) (common)                                                                                 | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/18/14                | J                               | A                              |                                                                   |
| 207.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/14                | K                               |                                |                                                                   |
| 208.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | B                              |                                                                   |
| 209.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 210. -Nordstrom Inc (JWN) (common)                                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 211.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               |                                |                                                                   |
| 212.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 213. -Northeast Utilities (NU) (common)                                                                                     | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 09/18/14                | J                               |                                |                                                                   |
| 214.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | C                              |                                                                   |
| 215.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 216. -Novartis AG Spon ADR (NVS) (common)                                                                                   | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 217.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | B                              |                                                                   |
| 218.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 219. -Occidental Petroleum Crp (OXY)<br>(common)                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/18/14                | J                               |                                |                                                                   |
| 220.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/14                | J                               |                                |                                                                   |
| 221.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 223. -Pearson PLC Spon ADR (PSO) (common)                                                                                   | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 02/18/14                | K                               |                                |                                                                   |
| 224.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               |                                |                                                                   |
| 225.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 226. -Sanofi Spon ADR (SNY) (common)                                                                                        |                                         | None                                          |                                                 |                                           | Sold                                            | 02/18/14                | K                               | A                              |                                                                   |
| 227. -Schlumberger LTD (SLB) (common)                                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/18/14                | K                               | B                              |                                                                   |
| 228.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | B                              |                                                                   |
| 229.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 230. -Simon PPTY Group Inc (SPG) (common)                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/18/14                | K                               | A                              |                                                                   |
| 231. -Stanley Black & Decker Inc (SWK)<br>(common)                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/14                | J                               |                                |                                                                   |
| 232.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               |                                |                                                                   |
| 233.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 234. -Starbucks Corp (SBUX) (common)                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/18/14                | J                               | A                              |                                                                   |
| 235.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/14                | J                               |                                |                                                                   |
| 236.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | B                              |                                                                   |
| 237.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 238. -Starwood Hotels & Resorts Worldwide<br>(HOT) (common)                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 02/18/14                | J                               | A                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                         |   |          |   |   |                |          |   |   |  |
|---------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 239.                                                    |   |          |   |   | Sold           | 03/17/14 | K | B |  |
| 240. -Teva Pharmaceuticals Ind (TEVA)<br>(common)       |   | None     |   |   | Sold           | 02/18/14 | K | C |  |
| 241. -Time Warner Cable Inc. (TWC) (common)             |   | None     |   |   | Sold           | 02/18/14 | K | D |  |
| 242. -Toronto Dominion Bk New Canada (TD)<br>(common)   | A | Dividend | K | T | Buy            | 09/18/14 | K |   |  |
| 243.                                                    |   |          |   |   | Sold           | 10/15/14 | J |   |  |
| 244.                                                    |   |          |   |   | Buy            | 10/27/14 | K |   |  |
| 245. -Total SA France Spon ADR (TOT)<br>(common)        | A | Dividend |   |   | Sold           | 02/18/14 | K | D |  |
| 246. -United Parcel Service Inc CL B (UPS)<br>(common)  | A | Dividend | K | T | Buy            | 02/18/14 | K |   |  |
| 247.                                                    |   |          |   |   | Sold           | 10/15/14 | K |   |  |
| 248.                                                    |   |          |   |   | Buy            | 10/27/14 | K |   |  |
| 249. -Untd Technologies Corp (UTX) (common)             | A | Dividend | K | T | Buy            | 02/18/14 | K |   |  |
| 250.                                                    |   |          |   |   | Buy<br>(add'l) | 09/18/14 | J |   |  |
| 251.                                                    |   |          |   |   | Sold           | 10/15/14 | K |   |  |
| 252.                                                    |   |          |   |   | Buy            | 10/27/14 | K |   |  |
| 253. -VF Corp (VFC) (common)                            | A | Dividend |   |   | Buy            | 02/18/14 | K |   |  |
| 254.                                                    |   |          |   |   | Sold           | 06/18/14 | K | B |  |
| 255. -Vodafone Group PLC New Spon ADR<br>(VOD) (common) | A | Dividend |   |   | Sold           | 02/18/14 | K | D |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                              |   |          |   |   |             |          |   |   |               |
|----------------------------------------------|---|----------|---|---|-------------|----------|---|---|---------------|
| 256. -Yum! Brands Inc (YUM) (common)         | A | Dividend | K | T | Sold        | 02/18/14 | K |   |               |
| 257.                                         |   |          |   |   | Buy         | 09/18/14 | K |   |               |
| 258.                                         |   |          |   |   | Sold        | 10/15/14 | K |   |               |
| 259.                                         |   |          |   |   | Buy         | 10/27/14 | K |   |               |
| 260. -Apple Inc (AAPL) (common)              | A | Dividend | K | T | Sold (part) | 09/18/14 | J | C | See comment 4 |
| 261.                                         |   |          |   |   | Sold        | 10/15/14 | K | D |               |
| 262.                                         |   |          |   |   | Buy         | 10/27/14 | K |   |               |
| 263. -Actavis PLC DE (ACT) (common)          |   | None     | K | T | Buy         | 09/18/14 | K |   |               |
| 264.                                         |   |          |   |   | Sold        | 10/15/14 | K |   |               |
| 265.                                         |   |          |   |   | Buy         | 10/27/14 | K |   |               |
| 266. -Dominion Resources Inc VA (D) (common) | A | Dividend | K | T | Buy         | 02/18/14 | J |   |               |
| 267.                                         |   |          |   |   | Buy (add'l) | 09/18/14 | J |   |               |
| 268.                                         |   |          |   |   | Sold        | 10/15/14 | K |   |               |
| 269.                                         |   |          |   |   | Buy         | 10/27/14 | K |   |               |
| 270. -Emerson Electric Co (EMR) (common)     | A | Dividend | K | T | Buy         | 02/18/14 | K |   |               |
| 271.                                         |   |          |   |   | Buy (add'l) | 09/18/14 | J |   |               |
| 272.                                         |   |          |   |   | Sold        | 10/15/14 | K |   |               |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                 |   |          |   |   |             |          |   |   |  |
|-------------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 273.                                            |   |          |   |   | Buy         | 10/27/14 | K |   |  |
| 274. -Invesco Ltd (IVZ) (common)                | A | Dividend | K | T | Buy         | 02/18/14 | J |   |  |
| 275.                                            |   |          |   |   | Sold        | 10/15/14 | K | A |  |
| 276.                                            |   |          |   |   | Buy         | 10/27/14 | K |   |  |
| 277. -O Reilly Automotive Inc (ORLY) (common)   |   | None     | K | T | Buy         | 02/18/14 | K |   |  |
| 278.                                            |   |          |   |   | Buy (add'l) | 09/18/14 | J |   |  |
| 279.                                            |   |          |   |   | Sold        | 10/15/14 | K |   |  |
| 280.                                            |   |          |   |   | Buy         | 10/27/14 | K |   |  |
| 281. -Price T Rowe Group Inc (TROW) (common)    |   | None     | K | T | Buy         | 12/15/14 | K |   |  |
| 282. -Qualcomm Inc (QCOM) (common)              | A | Dividend | K | T | Buy         | 09/18/14 | K |   |  |
| 283.                                            |   |          |   |   | Sold        | 10/15/14 | K |   |  |
| 284.                                            |   |          |   |   | Buy         | 10/27/14 | K |   |  |
| 285. -Roche Hldg Ltd Spons ADR (RHHBY) (common) |   | None     | K | T | Buy         | 09/18/14 | K |   |  |
| 286.                                            |   |          |   |   | Sold        | 10/15/14 | K |   |  |
| 287.                                            |   |          |   |   | Buy         | 10/27/14 | K |   |  |
| 288. -Travelers Cos Inc (TRV) (common)          | A | Dividend | K | T | Buy         | 02/18/14 | K |   |  |
| 289.                                            |   |          |   |   | Sold        | 10/15/14 | K | B |  |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 290.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 291. -Unilever Plc Amer Shs New Spon ADR<br>(UL) (common)                                                                   | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/18/14                | K                               |                                |                                                                   |
| 292.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/18/14                | K                               | A                              |                                                                   |
| 293. -Union Pacific Corp (UNP) (common)                                                                                     | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 05/06/14                | K                               |                                |                                                                   |
| 294.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | K                               | A                              |                                                                   |
| 295.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | K                               |                                |                                                                   |
| 296. Brokerage #12 (H)                                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 297. -Invesco Global Real Estate Income Fd<br>(ASRYX) (mutual fund)                                                         | B                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 04/01/14                | K                               |                                | See comment 3                                                     |
| 298.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |
| 299. -Invesco Convertible Securities Fund<br>(CNSDX) (mutual fund)                                                          | B                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 04/01/14                | J                               |                                | See comment 3                                                     |
| 300.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |
| 301. -Energy Transfer Partners LP (ETP)<br>(common)                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 04/14/14                | J                               | A                              |                                                                   |
| 302.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/26/14                | J                               | C                              |                                                                   |
| 303. -PAA Nat Gas Storage LP MLP (PNG)<br>(common)                                                                          |                                         | None                                          |                                                 |                                           | Merged<br>(with line 304)                       | 01/02/14                | J                               |                                | See comment 5                                                     |
| 304. --Plains All Amer Pipeline LP Unit Ltd<br>Partnership (PAA)                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 04/01/14                | J                               | A                              | See comment 5                                                     |
| 305.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/26/14                | J                               | C                              |                                                                   |
| 306. -Regency Energy Partners LP Units<br>REPSTG Ltd (RGP) (common)                                                         | B                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 04/01/14                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 307.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/26/14                | K                               | C                              |                                                                   |
| 308. -JP Morgan Chase & Co 5.250% (corp bond)                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 309. -Goldman Sachs Grp Inc 3.625% (corp bond)                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 310. -Genl Elec Co. 5.250% (corp bond)                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 311. -Petrobras Intl Fin 5.875% (corp bond)                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 312. -iShares Trust S&P U S PFD Stock Index (PFF) (ETF)                                                                     | C                                       | Dividend                                      | K                                               | T                                         | Buy (add'l)                                     | 04/01/14                | J                               |                                |                                                                   |
| 313.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 06/13/14                | J                               |                                |                                                                   |
| 314. -Vanguard Short Term Corp BD (VCSH) (ETF)                                                                              | A                                       | Dividend                                      |                                                 |                                           | Buy (add'l)                                     | 04/01/14                | L                               |                                |                                                                   |
| 315.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/26/14                | K                               | A                              |                                                                   |
| 316. -Blackrock Strategic Income I (BSIIX) (mutual fund)                                                                    | C                                       | Dividend                                      | K                                               | T                                         | Buy (add'l)                                     | 04/01/14                | J                               |                                | See comment 3                                                     |
| 317.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 06/13/14                | J                               | A                              |                                                                   |
| 318.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 09/26/14                | K                               | B                              |                                                                   |
| 319. -Columbia Income Opportunities Fund (CIOZX) (mutual fund)                                                              | C                                       | Dividend                                      | K                                               | T                                         | Buy (add'l)                                     | 04/01/14                | J                               |                                |                                                                   |
| 320.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 06/13/14                | J                               |                                |                                                                   |
| 321.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 09/26/14                | K                               | A                              |                                                                   |
| 322. -Double Line Total Return Fd Instl (DBLTX) (mutual fund)                                                               | C                                       | Dividend                                      | K                                               | T                                         | Buy (add'l)                                     | 04/01/14                | K                               |                                | See comment 3                                                     |
| 323.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 06/13/14                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 324.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/14                | K                               |                                |                                                                   |
| 325. -Invesco High Yield Municipal Fund Cl Y<br>(ACTDX) (mutual fund)                                                       | C                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 04/01/14                | J                               |                                | See comment 3                                                     |
| 326.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |
| 327.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/14                | J                               | A                              |                                                                   |
| 328. -Invesco Floating Rate Fund Cl Y (AFRYX)<br>(mutual fund)                                                              | B                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 04/01/14                | K                               | A                              | See comment 3                                                     |
| 329.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               |                                |                                                                   |
| 330.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | K                               |                                |                                                                   |
| 331. -Loomis Sayles Investment Grade Bd Cl Y<br>(LSHX) (mutual fund)                                                        | C                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 04/01/14                | J                               |                                | See comment 3                                                     |
| 332.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               |                                |                                                                   |
| 333.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/14                | K                               |                                |                                                                   |
| 334.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | K                               |                                |                                                                   |
| 335. -TCW Emerging Markets Income Fund CL I<br>(TGEIX) (mutual fund)                                                        | C                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 04/01/14                | K                               |                                | See comment 3                                                     |
| 336.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               |                                |                                                                   |
| 337.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/29/14                | J                               |                                |                                                                   |
| 338.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/31/14                | L                               |                                |                                                                   |
| 339. -Thornburg Limited Term Municipal Fd Cl I<br>(LTMIX) (mutual fund)                                                     | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 04/01/14                | J                               |                                | See comment 3                                                     |
| 340.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/13/14                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                          |   |          |   |   |             |          |   |   |               |
|--------------------------------------------------------------------------|---|----------|---|---|-------------|----------|---|---|---------------|
| 341. -Virtus Multi-Sector Short Term Bd (PIMSX) (mutual fund)            | B | Dividend | K | T | Sold (part) | 04/01/14 | K | A | See comment 3 |
| 342.                                                                     |   |          |   |   | Sold (part) | 06/13/14 | J |   |               |
| 343.                                                                     |   |          |   |   | Sold (part) | 12/30/14 | K |   |               |
| 344. -Blackrock Global Long/Short Credit Fund I (BGCIX) (mutual fund)    | D | Dividend |   |   | Buy (add'l) | 04/01/14 | K |   | See comment 3 |
| 345.                                                                     |   |          |   |   | Sold (part) | 06/13/14 | J | A |               |
| 346.                                                                     |   |          |   |   | Buy (add'l) | 12/23/14 | J |   |               |
| 347.                                                                     |   |          |   |   | Sold        | 12/30/14 | L |   |               |
| 348. -Blackrock Multi-Asset Income Portfolio Instl (BHICX) (mutual fund) | C | Dividend | L | T | Buy (add'l) | 04/01/14 | J |   | See comment 3 |
| 349.                                                                     |   |          |   |   | Sold (part) | 06/13/14 | J | A |               |
| 350.                                                                     |   |          |   |   | Sold (part) | 09/26/14 | J | A |               |
| 351. -Advisory Research MLP & Energy Inc Fd (INFIX) (mutual fund)        | B | Dividend |   |   | Buy         | 09/29/14 | L |   |               |
| 352.                                                                     |   |          |   |   | Buy (add'l) | 11/26/14 | J |   |               |
| 353.                                                                     |   |          |   |   | Sold        | 12/01/14 | K |   |               |
| 354. -Blackrock Strategic Municipal Opportunities (MAMTX) (mutual fund)  | A | Dividend | K | T | Buy         | 09/26/14 | K |   |               |
| 355. -iShares 7-10 yr Treas Bd (IEF) (ETF)                               |   | None     | K | T | Buy         | 09/26/14 | K |   |               |
| 356. -Lord Abbett Short Duration Inc Fd (LDLFX) (mutual fund)            | B | Dividend |   |   | Buy         | 04/01/14 | K |   | See comment 3 |
| 357.                                                                     |   |          |   |   | Sold (part) | 06/13/14 | J |   |               |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 358.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | K                               |                                |                                                                   |
| 359. -Mainstay Unconstrained Bd Fd C1 I<br>(MSDIX) (mutual fund)                                                            | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 09/26/14                | K                               |                                |                                                                   |
| 360.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | K                               |                                |                                                                   |
| 361. -Mainstay Short Duration High Yd Fd<br>(MDHIX) (mutual fund)                                                           | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/26/14                | K                               |                                |                                                                   |
| 362. Brokerage Account #13 (H)                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 363. -Apple Inc (AAPL) (common)                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/18/14                | J                               | A                              |                                                                   |
| 364.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               | B                              |                                                                   |
| 365.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 366. -AFLAC Inc (AFL) (common)                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 367.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 368. -Astrazeneca PLC Spon ADR (AZN)<br>(common)                                                                            |                                         | None                                          |                                                 |                                           | Sold                                            | 02/14/14                | J                               | B                              |                                                                   |
| 369. -Boeing Company DE (BA) (common)                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | B                              |                                                                   |
| 370.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 371. -British Amer Tobacco PLC GB Spon ADR<br>(BTI) (common)                                                                |                                         | None                                          |                                                 |                                           | Buy                                             | 02/14/14                | J                               |                                |                                                                   |
| 372.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 02/18/14                | J                               | A                              |                                                                   |
| 373. -Colgate Palmolive Co (CL) (common)                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 374.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 375. -Clorox Co (CLX) (common)                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/18/14                | J                               | A                              |                                                                   |
| 376. -Coca Cola Co Com (KO) (common)                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/15/14                | J                               |                                |                                                                   |
| 377.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 378.                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 12/10/14                | J                               | A                              |                                                                   |
| 379. -CSX Corporation (CSX) (common)                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/06/14                | J                               | A                              |                                                                   |
| 380. -Du Pont De Nemours (DD) (common)                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 381.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 382.                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 12/15/14                | J                               | A                              |                                                                   |
| 383. -Diageo PLC New GB Spon ADR (DEO)<br>(common)                                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/14/14                | J                               |                                |                                                                   |
| 384.                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               |                                |                                                                   |
| 385.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 386.                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | J                               |                                |                                                                   |
| 387. -Emerson Electric Co (EMR) (common)                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 388.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 389. -Intel Corp (INTC) (common)                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/18/14                | J                               | A                              |                                                                   |
| 390.                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               | B                              |                                                                   |
| 391.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 27 of 33

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 392. -Illinois Tool Works Inc (ITW) (common)                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | B                              |                                                                   |
| 393.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 394. -Johnson & Johnson Com (JNJ) (common)                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 395.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 396. -Nordstrom Inc (JWN) (common)                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 397.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 398. -Medtronic Inc (MDT) (common)                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/18/14                | J                               | A                              |                                                                   |
| 399.                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 400.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 401. -Microsoft Corp (MSFT) (common)                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/18/14                | J                               | A                              |                                                                   |
| 402.                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               | B                              |                                                                   |
| 403.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 404. -Nextera Energy Inc Com (NEE) (common)                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 405.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 406. -Northeast Utilities (NU) (common)                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 407.                                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 408. -Novartis AG Spon ADR (NVS) (common)                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 409.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 410. -Occidental Petroleum Crp (OXY)<br>(common)                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 411.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 412. -Pearson PLC Spon ADR (PSO) (common)                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/14/14                | J                               |                                |                                                                   |
| 413.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 414.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 415. -Starbucks Corp (SBUX) (common)                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 416.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 417. -Schlumberger LTD (SLB) (common)                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 418.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 419. -Sanofi Spon ADR De (SNY) (common)                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 02/14/14                | J                               |                                |                                                                   |
| 420. -Simon PPTY Group Inc (SPG) (common)                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/14/14                | J                               |                                |                                                                   |
| 421. -Stanley Black & Decker Inc Com (SWK)<br>(common)                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/14/14                | J                               |                                |                                                                   |
| 422.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               |                                |                                                                   |
| 423.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 424. -Toronto Dominion Bk New (TD)<br>(common)                                                                              | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 09/18/14                | J                               |                                |                                                                   |
| 425.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 426.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 427.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/30/14                | J                               |                                |                                                                   |
| 428. -Teva Pharmaceuticals Ind Ltd Israel ADR (TEVA) (common)                                                               |                                         | None                                          |                                                 |                                           | Sold                                            | 02/14/14                | J                               | A                              |                                                                   |
| 429. -Total S.A. France Spon ADR (TOT) (common)                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/14/14                | J                               | B                              |                                                                   |
| 430. -Time Warner Cable Inc (TWC) (common)                                                                                  |                                         | None                                          |                                                 |                                           | Sold                                            | 02/14/14                | J                               | B                              |                                                                   |
| 431. -Unilever PLC Amer SHS New Spon ADR (UL) (common)                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/18/14                | J                               | A                              |                                                                   |
| 432. -United Parcel Service Inc (UPS) (common)                                                                              | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 433.                                                                                                                        |                                         |                                               | J                                               | T                                         | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 434. -Untd Technologies Corp (UTX) (common)                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/15/14                | J                               | A                              |                                                                   |
| 435.                                                                                                                        |                                         |                                               | J                                               | T                                         | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 436. -VF Corp De (VFC) (common)                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/18/14                | J                               | B                              |                                                                   |
| 437. -Actavis Plc (ACT) (common)                                                                                            |                                         | None                                          | J                                               | T                                         | Buy                                             | 09/18/14                | J                               |                                |                                                                   |
| 438.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               |                                |                                                                   |
| 439.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |
| 440. -Dominion Resources Inc VA (D) (common)                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/14/14                | J                               |                                |                                                                   |
| 441.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/14                | J                               |                                |                                                                   |
| 442.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/27/14                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                              |   |          |   |   |                |          |   |   |  |
|--------------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 443. -Starwood Hotels & Resorts Worldwide Inc (HOT) (common) | A | Dividend |   |   | Buy            | 02/14/14 | J |   |  |
| 444.                                                         |   |          |   |   | Sold           | 03/17/14 | J | A |  |
| 445. -Invesco Ltd (IVZ) (common)                             | A | Dividend | J | T | Buy            | 02/14/14 | J |   |  |
| 446.                                                         |   |          |   |   | Sold<br>(part) | 09/18/14 | J |   |  |
| 447.                                                         |   |          |   |   | Sold           | 10/15/14 | J | A |  |
| 448.                                                         |   |          |   |   | Buy            | 10/27/14 | J |   |  |
| 449. -O Reilly Automotive Inc (ORLY)<br>(common)             |   | None     |   |   | Buy            | 02/14/14 | J |   |  |
| 450.                                                         |   |          |   |   | Sold           | 10/15/14 | J |   |  |
| 451.                                                         |   |          | J | T | Buy            | 10/27/14 | J |   |  |
| 452. -Qualcomm Inc De (QCOM) (common)                        | A | Dividend | J | T | Buy            | 09/18/14 | J |   |  |
| 453.                                                         |   |          |   |   | Sold           | 10/15/14 | J |   |  |
| 454.                                                         |   |          |   |   | Buy            | 10/27/14 | J |   |  |
| 455. -Roche Hldg Ltd Spons Adr Switz Adr<br>(RHHBY) (common) |   | None     |   |   | Buy            | 09/18/14 | J |   |  |
| 456.                                                         |   |          |   |   | Sold           | 10/15/14 | J |   |  |
| 457.                                                         |   |          |   |   | Buy            | 10/27/14 | J |   |  |
| 458.                                                         |   |          |   |   | Sold           | 12/30/14 | J |   |  |
| 459. -Price T Rpw Group Inc De (TROW)<br>(common)            |   | None     | J | T | Buy            | 12/15/14 | J |   |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                               |   |          |   |   |      |          |   |   |  |
|-----------------------------------------------|---|----------|---|---|------|----------|---|---|--|
| 460. -Travelers Cos Inc/The De (TRV) (common) | A | Dividend | J | T | Buy  | 02/14/14 | J |   |  |
| 461.                                          |   |          |   |   | Sold | 10/15/14 | J |   |  |
| 462.                                          |   |          |   |   | Buy  | 10/27/14 | J |   |  |
| 463. -Union Pacific Corp De (UNP) (common)    | A | Dividend | J | T | Buy  | 05/06/14 | J |   |  |
| 464.                                          |   |          |   |   | Sold | 10/15/14 | J | A |  |
| 465.                                          |   |          |   |   | Buy  | 10/27/14 | J |   |  |
| 466. -Yum! Brands Inc De (YUM) (common)       | A | Dividend | J | T | Buy  | 09/18/14 | J |   |  |
| 467.                                          |   |          |   |   | Sold | 10/15/14 | J |   |  |
| 468.                                          |   |          |   |   | Buy  | 10/27/14 | J |   |  |
| 469. -JP Morgan Chase (JPM) (common)          | A | Dividend |   |   | Buy  | 02/14/14 | J |   |  |
| 470.                                          |   |          |   |   | Sold | 09/18/14 | J | A |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/06/2015

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

### COMMENTS FOR PART VII:

COMMENT 1, Line 2 -- F&M Bank was purchased by Prosperity Bank.

COMMENT 2, Lines 3 and 160 -- The UBS bank accounts associated with the various UBS brokerage and IRA accounts were reported separately until the 2013 FDR when the amounts for those accounts were combined for reporting purposes. However, the bank account associated with IRA #7, Line 160, was inadvertently omitted in the consolidation. This account is now included in the income/value amounts reported on Line 3.

COMMENT 3, numerous lines throughout Part VII -- Class conversions in these mutual funds occurred in 2014. All reportable transactions are reported under the new symbol. If the asset was both purchased and converted in 2014, only the new symbol is reported.

COMMENT 4, Line 260 -- The purchase of Apple Inc (APPL) in IRA #7 was inadvertently omitted from the 2013 report. It was purchased 4/16/2013 at value code K and had a dividend income of value code A. (Note: Interest in Apple held in Brokerage Account #13 was included on the 2013 report.)

COMMENT 5, Lines 303 and 304 -- PAA Nat Gas Storage LP MLP (PNG) merged into Plains All Amer Pipeline LP Unit Ltd Partnership (PAA) on 2/2/2014.

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ STEPHANIE K. SEYMOUR**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
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