

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dawson, Robert T.	2. Court or Organization U.S. District Court, Arkansas	3. Date of Report 03/27/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address P O Box 1624 Fort Smith, AR 72902-1624		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Administrative Office of the
United States Courts

August 13-15, 2012

Washington, DC.

2012 CM/ECF District
Operational Practices
Forum

Lodging, Airfare & some meals provided

2.

3.

4.

5.

6.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA-CREF	C	Interest	M	T					
2. Enterprise Growth Fund C1 A 215	A	Dividend	J	T					
3. Stephens Production Company (oil & gas)	A	Royalty	J	W					
4. XTO Energy (oil & gas)	A	Royalty	J	W					
5. Cypress Production (oil & gas)	A	Royalty	J	W					
6. Tellus Operating (oil & gas)	A	Royalty	J	W					
7. ACXIOM	A	Dividend	K	T					
8. Simon Property Group	B	Dividend	K	T					
9. USA Truck	A	Dividend	J	T					
10. Cisco Sys Inc.	A	Dividend	J	T					
11. Coca Cola	A	Dividend	J	T					
12. Procter & Gamble Co.	A	Dividend	J	T					
13. Johnson & Johnson	A	Dividend	K	T					
14. McDonalds Corp	A	Dividend	J	T	Buy (add'l)	08/29/12	J		
15. Southwest Airlines	A	Dividend	J	T					
16. Chevron Texaco Corp	A	Dividend	J	T					
17. Intel Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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18. Walt Disney	A	Dividend	J	T					
19. American Small Cap World	A	Dividend			Sold	10/02/12	J	A	
20. Vanguard 500 Index Fund	A	Dividend	K	T					
21. NASDAQ 100 Unit Trust Series I/Power Shares QQQ	A	Dividend	M	T	Sold (part)	01/17/12	K	A	
22. Merck & Co.	A	Dividend	J	T					
23. Univ of Ark Bond	A	Interest	J	T					
24. Consolidated Edison	A	Dividend			Sold	08/16/12	J	A	
25. Progress Energy Inc.	A	Dividend	J	T					
26. Pub Svc Ent	A	Dividend	J	T					
27. Little River Cty AR Rev.	A	Interest			Matured	02/24/12	J	A	
28. Entergy Corp	A	Dividend	J	T					
29. Murphy Oil	A	Dividend	J	T					
30. Bank of America	A	Dividend	J	T					
31. Ark St Fed Hwy Grant	A	Interest			Matured	08/01/12	K	A	
32. Blackrock Global	A	Interest	J	T	Buy (add'l)	08/29/12	J		
33. Windstream/Alltel	A	Dividend	J	T	Buy (add'l)	08/29/12	J		
34. Little Rock AR New Pub	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Janus Worldwide Fund (IRA)	E	Int./Div.	L	T					
36. Spectra	A	Dividend	J	T					
37. Goldman Sachs CD	A	Interest	K	T					
38. Crittenden County Bond	A	Interest			Matured	04/09/12	J	A	
39. Ark St Higher Educ	A	Interest	K	T					
40. Buckeye Ohio Bond	A	Interest	J	T					
41. New York City Bond	A	Interest	J	T					
42. Bentonville AR Sales	A	Interest	K	T					
43. Little Rock AR Sewer	A	Interest	J	T					
44. Cambiar Opp.	A	Int./Div.			Sold	01/17/12	J	A	
45. General Electric Cap	A	Interest			Matured	10/19/12	K	A	
46. SunAmerica CD	A	Interest			Matured	10/02/12	J	A	
47. Baxter County Bond	D	Interest	J	T					
48. Ark State Univ Rev	D	Interest	J	T					
49. Beaver Water Bond	D	Interest			Matured	11/15/12	J	A	
50. Little Rock Ark Libr	D	Interest	J	T					
51. White Hall Bond	D	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. Ark Best	D	Dividend	J	T					
53. T Rowe Price	E	Dividend	K	T					
54. Black Rock Global	E	Dividend	K	T					
55. Bank of America 5.25	G	Dividend	M	T					
56. Puerto Rico Bond	A	Interest	K	T					
57. Univ of Ark Revs	A	Interest	J	T					
58. Puerto Rico Hotel Bond	A	Interest	J	T					
59. Puerto Rico Infrastructure Bond	A	Interest	K	T					
60. Rogers Ark Schl Dist	A	Interest	K	T					
61. Fayetteville Ark Schl	A	Interest	J	T					
62. Little Rock AR Schl	A	Interest	J	T					
63. Booneville Ar Schl	A	Interest	K	T					
64. Puerto Rico Mun. Bond	A	Interest	K	T					
65. Siloam Springs Schl	A	Interest	J	T					
66. Bentonville Ark Schl	A	Interest			Matured	02/08/12	K	A	
67. Mountain Home Schl	A	Interest	K	T					
68. Apple	A	Dividend			Sold	12/11/12	K	B	

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69. Goldman Sachs	A	Dividend	J	T					
70. Nuveen Prem Ins Muni	A	Dividend			Sold	10/02/12	J	A	
71. Pulaski Cnty AR SPL	A	Interest	J	T					
72. Energy Transfer	A	Dividend	J	T					
73. I Shares Trust Dow Jones	A	Dividend	J	T	Buy (add'l)	08/29/12	K		
74. Oppenheimer Rising	A	Dividend			Sold	10/02/12	J		
75. American Century Small	A	Dividend			Sold	10/02/12	J		
76. Puerto Rico Commonwealth	A	Dividend	K	T					
77. Century Link	A	Dividend	J	T					
78. Best Buy Bond	A	Interest	K	T					
79. Ishares S & P	A	Interest	J	T					
80. Bank of America	A	Interest	M	T					
81. General Electric	A	Dividend	J	T					
82. Fountain Lake Sch Bond	A	Interest	K	T	Buy	10/02/12	K		
83. Alltel Bond	A	Interest	K	T	Buy	09/17/12	K		
84. General Mtrs Bond	A	Interest	K	T	Buy	09/17/12	K		
85. A T & T 6.8% Bond	A	Interest	K	T	Buy	06/28/12	K		

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86. Morgan Stanley 5.95% Bond	A	Interest	K	T	Buy	02/08/12	K		
87. Baxter Int'l	A	Dividend	J	T	Buy	08/29/12	J		
88. Express Scripts	A	Dividend	J	T	Buy	08/29/12	J		
89. Kraft Foods	A	Dividend	J	T	Buy	08/29/12	J		
90. McKesson Corp	A	Dividend	J	T	Buy	08/29/12	J		
91. Under Armour	A	Dividend	J	T	Buy	08/29/12	J		
92. Boeing	A	Dividend	J	T	Buy	08/29/12	J		
93. Chesapeake Energy	A	Dividend	J	T	Buy	08/29/12	J		
94. Whole Foods	A	Dividend	J	T	Buy	08/29/12	J		
95. JP Morgan Chase	A	Dividend	J	T	Buy	08/29/12	J		
96. Exelon Corp	A	Dividend	J	T	Buy	08/29/12	J		
97. Ford Motor Co.	A	Dividend	J	T	Buy	08/29/12	J		
98. Anadarko Pete Corp	A	Dividend	J	T	Buy	08/29/12	J		
99. Altria Group	A	Dividend	J	T	Buy	08/29/12	J		
100. Fluor Corp	A	Dividend	J	T	Buy	08/29/12	J		
101. Johnson Controls	A	Dividend	J	T	Buy	08/29/12	J		
102. Deere & Co.	A	Dividend	J	T	Buy	08/29/12	J		

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103. ABB Ltd	A	Dividend	J	T	Buy	08/29/12	J		
104. Kraft Foods	A	Dividend	J	T	Buy	08/24/12	J		
105. Duke Energy	A	Dividend	J	T	Buy	07/05/12	J		
106. Mondelez International	A	Dividend	J	T	Buy	10/08/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert T. Dawson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544