

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pollak, Cheryl L.	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 06/28/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse, E.D.N.Y. 225 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Morgan Lewis & Bockius - partnership

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan, Lewis & Bockius Defined Contribution Plan	A	Interest	P1	T					
2. - William Blair International Growth Fund - Class I									
3. - Dodge & Cox International Stock Fund									
4. - DFA US Small Cap Portfolio; Class I									
5. - Goldman Sachs Global Income Fund Institutional Shares									
6. Morgan Lewis & Bockius Tax Saver Retirement Plan	A	Interest	O	T					
7. - Conestoga Small Cap Fund - Institutional Class									
8. - Royce Opp. Fund - Institutional Shares									
9. - Vanguard Institutional Index Fund									
10. - Vanguard Admiral Growth Fund									
11. - Vanguard Winter 2 Admiral Fund									
12. - Vanguard Prime Money Market Fund - Institutional Shares									
13. - Vanguard Total Bond Market - Joint Shares									
14. - William Blair International Growth Fund - Class I									
15. - Goldman Sachs Global Income Fund Institutional Shares									
16. Vanguard 500 Index Fund (no control)	A	Interest	O	T					
17. Rental Property Kiawah Island, SC (2010 \$1.8 million)	E	Rent	P1	R					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Rental Property Syosset, NY 11791 (2014 \$2 million) (X)	A	Rent	P1	R					
19. AB Discovery Growth Fund	A	Dividend	K	T	Buy (add'l)	03/26/15	J		
20.					Buy (add'l)	05/04/15	J		
21.					Buy (add'l)	08/21/15	J		
22.					Buy (add'l)	09/08/15	J		
23.					Buy (add'l)	10/01/15	J		
24.					Buy (add'l)	10/23/15	J		
25.					Buy (add'l)	10/30/15	J		
26.					Buy (add'l)	11/05/15	J		
27.					Sold (part)	12/02/15	J	A	
28.					Buy (add'l)	12/21/15	J		
29. AB Discovery Value Fund	A	Dividend	K	T	Buy (add'l)	03/26/15	J		
30.					Buy (add'l)	05/04/15	J		
31.					Buy (add'l)	07/16/15	J		
32.					Buy (add'l)	08/17/15	J		
33.					Buy (add'l)	09/08/15	J		
34.					Buy (add'l)	10/23/15	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	11/02/15	J		
36.					Buy (add'l)	11/05/15	J		
37.					Sold (part)	12/02/15	J	A	
38.					Buy (add'l)	12/22/15	J		
39. Actavis	A	Dividend			Sold	10/14/15	J	A	
40. AETNA	A	Dividend	K	T	Sold (part)	06/24/15	J	A	
41.					Sold (part)	08/10/15	J	B	
42. Affiliated Managers Group, Inc.	A	Dividend			Buy (add'l)	01/07/15	J		
43.					Buy (add'l)	02/10/15	J		
44.					Buy (add'l)	04/10/15	J		
45.					Sold (part)	06/03/15	J	B	
46.					Sold (part)	06/08/15	J	A	
47.					Sold (part)	08/13/15	J	A	
48.					Sold	08/26/15	J	A	
49. Allergan Inc.	A	Dividend			Sold (part)	02/05/15	J	D	
50.					Sold (part)	08/13/15	J	A	
51.					Sold (part)	10/09/15	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold	10/14/15	J	A	
53. AllianceBernstein Multi-manager Alternative Fund	A	Dividend	N	T					
54. Allstate	A	Dividend			Buy (add'l)	01/06/15	J		
55.					Sold	01/08/15	J	A	
56. Alphabet Inc. (formerly Google Inc.)	A	Dividend	K	T	Buy (add'l)	07/17/15	J		
57.					Buy (add'l)	07/20/15	J		
58.					Buy (add'l)	07/21/15	J		
59.					Buy (add'l)	07/28/15	J		
60.					Buy (add'l)	10/01/15	J		
61. AMDOCS Ltd.	A	Dividend	J	T	Sold (part)	01/06/15	J	A	
62. American Electric Power	A	Dividend	J	T	Buy (add'l)	02/18/15	J		
63.					Buy (add'l)	03/02/15	J		
64.					Buy (add'l)	08/13/15	J		
65. American Express Co.	A	Dividend			Sold	01/07/15	J	B	
66. American International Group	A	Dividend			Buy (add'l)	02/27/15	J		
67.					Buy (add'l)	04/15/15	J		
68.					Sold (part)	06/03/15	J	A	

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69.					Sold (part)	08/31/15	J	A	
70.					Sold (part)	09/04/15	J	A	
71.					Sold (part)	09/08/15	J	A	
72.					Sold (part)	09/10/15	J	A	
73.					Sold	09/14/15	J	B	
74. American Tower Corp.	A	Dividend			Sold (part)	05/28/15	J	A	
75.					Sold (part)	05/29/15	J	A	
76.					Sold (part)	06/03/15	J	A	
77.					Sold	06/05/15	J	A	
78. AMG GW&K Small Cap	A	Dividend	O	T	Buy (add'l)	01/06/15	J		
79.					Buy (add'l)	12/28/15	J		
80. Amphenol Corp. - CL A	A	Dividend	J	T	Sold (part)	01/07/15	J	A	
81.					Sold (part)	01/20/15	J	A	
82. Ansys Inc.	A	Dividend	K	T	Buy (add'l)	05/28/15	J		
83.					Sold (part)	08/26/15	J	A	
84.					Sold (part)	12/02/15	J	A	
85. Anthem Inc.	A	Dividend	K	T	Buy (add'l)	01/06/15	J		

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86.					Buy (add'l)	01/12/15	J		
87.					Buy (add'l)	03/23/15	J		
88.					Sold (part)	06/24/15	J	A	
89.					Sold (part)	07/23/15	J	A	
90.					Sold (part)	08/03/15	J	A	
91.					Sold (part)	11/20/15	J	A	
92. Aon	A	Dividend	J	T	Buy (add'l)	01/12/15	J		
93.					Buy (add'l)	01/20/15	J		
94.					Buy (add'l)	02/12/15	J		
95.					Sold (part)	03/25/15	J	A	
96.					Buy (add'l)	05/05/15	J		
97.					Buy (add'l)	05/28/15	J		
98.					Buy (add'l)	07/06/15	J		
99.					Buy (add'l)	07/16/15	J		
100.					Sold (part)	08/25/15	J	A	
101.					Buy (add'l)	10/02/15	J		
102.					Buy (add'l)	10/05/15	J		

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103.					Sold (part)	11/16/15	J	A	
104.					Sold (part)	11/17/15	J	A	
105.					Sold (part)	11/19/15	J	A	
106.					Sold (part)	12/02/15	J	A	
107.					Sold (part)	12/21/15	J	A	
108. Apple Inc.	A	Dividend	K	T	Sold (part)	01/05/15	J	A	
109.					Buy (add'l)	02/12/15	J		
110.					Buy (add'l)	03/10/15	J		
111.					Buy (add'l)	03/19/15	J		
112.					Buy (add'l)	06/03/15	J		
113.					Buy (add'l)	10/20/15	J		
114.					Sold (part)	11/16/15	J	A	
115.					Sold (part)	12/02/15	J	A	
116. Applied Materials Inc.	A	Dividend	K	T	Buy	06/05/15	J		
117.					Buy (add'l)	06/09/15	J		
118.					Buy (add'l)	09/04/15	J		
119.					Buy (add'l)	11/09/15	J		

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120.					Buy (add'l)	11/16/15	J		
121.					Buy (add'l)	11/20/15	J		
122.					Sold (part)	12/21/15	J	A	
123. Artisan Int'l Fund	A	Dividend	N	T	Buy (add'l)	01/06/15	J		
124.					Sold (part)	06/18/15	J	A	
125.					Buy (add'l)	06/18/15	J		
126.					Buy (add'l)	11/19/15	J		
127. Autozone Inc.	A	Dividend			Sold (part)	07/13/15	J	A	
128.					Sold (part)	07/14/15	J	A	
129.					Sold (part)	07/16/15	J	A	
130.					Sold (part)	08/21/15	J	A	
131.					Sold	10/02/15	J	A	
132. Ball Corp.	A	Dividend	J	T	Buy (add'l)	01/21/15	J		
133.					Sold (part)	12/21/15	J	A	
134. Bank of America	A	Dividend	K	T	Buy (add'l)	06/22/15	J		
135.					Buy (add'l)	07/28/15	J		
136.					Buy (add'l)	09/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	11/16/15	J	A	
138.					Sold (part)	12/02/15	J	A	
139.					Sold (part)	12/21/15	J	A	
140. Becton Dickinson & Co.	A	Dividend			Sold	01/06/15	J	C	
141. Berkshire Hathaway Inc.	A	Dividend	J	T					
142. Bernstein Emerging Markets Portfolio	A	Dividend	L	T	Buy (add'l)	09/23/15	K		
143.					Buy (add'l)	12/08/15	J		
144. Bernstein International Portfolio	B	Dividend	L	T	Sold (part)	01/22/15	J	A	
145.					Sold (part)	04/23/15	J	A	
146.					Sold (part)	07/22/15	J	A	
147.					Sold (part)	10/21/15	J	A	
148.					Buy (add'l)	12/08/15	J		
149. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	01/20/15	J		
150.					Buy (add'l)	02/20/15	J		
151.					Buy (add'l)	03/20/15	J		
152.					Buy (add'l)	04/20/15	J		
153.					Buy (add'l)	05/20/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	06/19/15	J		
155.					Buy (add'l)	07/20/15	J		
156.					Buy (add'l)	08/20/15	J		
157.					Buy (add'l)	09/18/15	J		
158.					Buy (add'l)	09/23/15	L		
159.					Buy (add'l)	10/20/15	J		
160.					Buy (add'l)	11/20/15	J		
161.					Buy (add'l)	12/18/15	J		
162.					Sold (part)	12/21/15	J	A	
163. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	09/23/15	K		
164.					Buy (add'l)	12/08/15	J		
165.					Sold (part)	12/21/15	J	A	
166. Bernstein Tax-Aware Overlay A Portfolio Class A - (no control)	B	Dividend	N	T	Buy (add'l)	12/15/15	K		
167.					Sold (part)	12/21/15	K	A	
168. Bernstein Tax-Aware Overlay N Portfolio Class 1 (no control)	D	Dividend	P1	T	Buy (add'l)	09/23/15	K		
169.					Buy (add'l)	12/15/15	K		
170. Biogen Idec Inc.	A	Dividend	J	T	Buy (add'l)	01/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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06/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	12/29/15	J	A	
172. Blackrock Global Allocations Fd Inc.	A	Dividend	J	T					
173. Blackrock High Yield	A	Dividend	M	T	Buy	08/18/15	J		
174.					Buy (add'l)	08/31/15	J		
175.					Buy (add'l)	09/30/15	J		
176.					Buy (add'l)	10/30/15	J		
177.					Buy (add'l)	11/30/15	J		
178.					Sold (part)	12/16/15	J	A	
179. Boeing Co.	A	Dividend			Sold	01/05/15	J	B	
180. Booz Allen Hamilton Holding	A	Dividend			Buy (add'l)	01/12/15	J		
181.					Buy (add'l)	02/06/15	J		
182.					Sold (part)	03/20/15	J	A	
183.					Sold (part)	03/25/15	J	A	
184.					Sold	05/14/15	J	A	
185. Brocade Communications	A	Dividend			Sold (part)	10/01/15	J	A	
186.					Sold	10/07/15	J	A	
187. Capital One Financial Corp.	A	Dividend	K	T	Buy (add'l)	01/08/15	J		

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	02/18/15	J		
189.					Buy (add'l)	02/19/15	J		
190.					Buy (add'l)	07/28/15	J		
191.					Buy (add'l)	07/29/15	J		
192.					Buy (add'l)	09/04/15	J		
193.					Buy (add'l)	09/15/15	J		
194.					Sold (part)	11/16/15	J	A	
195.					Sold (part)	11/17/15	J	A	
196.					Sold (part)	12/02/15	J	A	
197.					Sold (part)	12/21/15	J	A	
198. CF Industries Holdings Inc.	A	Dividend	J	T	Buy	04/14/15	J		
199.					Buy (add'l)	04/17/15	J		
200.					Buy (add'l)	10/14/15	J		
201.					Buy (add'l)	11/17/15	J		
202.					Buy (add'l)	11/18/15	J		
203.					Buy (add'l)	11/19/15	J		
204.					Buy (add'l)	11/30/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	12/31/15	J	A	
206. Chubb Corp.	A	Dividend			Buy (add'l)	01/30/15	J		
207.					Buy (add'l)	02/20/15	J		
208.					Sold (part)	03/18/15	J	A	
209.					Sold (part)	03/23/15	J	A	
210.					Sold (part)	03/26/15	J	A	
211.					Sold (part)	04/13/15	J	A	
212.					Sold	04/23/15	J	A	
213. Cintas Corp.	A	Dividend	K	T					
214. Citibank-Checking	B	Interest	K	T					
215. Citibank Insured Money Mkt Acct.	A	Interest	J	T					
216. Citibank Insured Money Mkt. Acct.	A	Interest	K	T					
217. Citibank Insured Money Mkt Acct.	A	Interest	J	T					
218. Citibank-Insured Money Market	C	Interest	K	T					
219. Citibank-Insured Money Market	C	Interest	K	T					
220. Citizens Financial Group	A	Dividend	J	T	Buy	11/24/15	J		
221.					Buy (add'l)	12/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	12/24/15	J		
223. Clearbridge Aggr Gwth	A	Dividend	M	T	Buy (add'l)	12/09/15	J		
224. Clearbridge Appreciation Fund	E	Dividend	M	T					
225. Comcast Corp.	A	Dividend	K	T	Sold (part)	03/25/15	J	A	
226.					Buy (add'l)	05/29/15	J		
227.					Buy (add'l)	07/13/15	J		
228.					Buy (add'l)	09/08/15	J		
229.					Sold (part)	11/16/15	J	A	
230.					Sold (part)	12/10/15	J	A	
231.					Sold (part)	12/21/15	J	A	
232.					Sold (part)	12/23/15	J	A	
233. Costco Wholesale Corp.	A	Dividend	K	T	Sold (part)	08/24/15	J	A	
234.					Sold (part)	12/21/15	J	A	
235. Crane Co.	A	Dividend			Sold	08/17/15	J	A	
236. CVS / Caremark Corp.	A	Dividend	K	T	Buy (add'l)	02/25/15	J		
237.					Buy (add'l)	03/19/15	J		
238.					Buy (add'l)	03/20/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	12/02/15	J	A	
240. Danaher Corp.	A	Dividend	K	T	Buy (add'l)	01/07/15	J		
241.					Buy (add'l)	01/12/15	J		
242.					Buy (add'l)	02/18/15	J		
243.					Buy (add'l)	02/19/15	J		
244.					Buy (add'l)	02/24/15	J		
245.					Sold (part)	03/19/15	J	A	
246.					Sold (part)	04/21/15	J	A	
247.					Buy (add'l)	07/10/15	J		
248.					Buy (add'l)	07/16/15	J		
249.					Sold (part)	09/09/15	J	A	
250.					Sold (part)	09/10/15	J	A	
251.					Buy (add'l)	10/12/15	J		
252.					Buy (add'l)	10/15/15	J		
253. Davis NY Venture Fund - Mutual Fund	A	Dividend	M	T					
254. Delta Air Lines, Inc.	A	Dividend	J	T	Buy (add'l)	01/02/15	J		
255.					Buy (add'l)	01/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	12/02/15	J	A	
257. Discover Financial Services	A	Dividend	K	T	Buy	04/20/15	J		
258.					Buy (add'l)	04/22/15	J		
259.					Buy (add'l)	05/01/15	J		
260.					Buy (add'l)	08/14/15	J		
261.					Buy (add'l)	10/05/15	J		
262.					Sold (part)	12/21/15	J	A	
263. Dollar General Corp.	A	Dividend	K	T	Buy (add'l)	03/20/15	J		
264.					Buy (add'l)	03/23/15	J		
265.					Buy (add'l)	09/10/15	J		
266.					Sold (part)	12/02/15	J	A	
267.					Sold (part)	12/21/15	J	A	
268. Dow Chemical	A	Dividend			Sold	01/29/15	J	A	
269. Dr. Pepper Snapple Group Inc.	A	Dividend	K	T	Sold (part)	07/02/15	J	A	
270.					Buy (add'l)	10/08/15	J		
271.					Buy (add'l)	10/19/15	J		
272. DTE Energy Corp.	A	Dividend			Sold (part)	02/09/15	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold	02/19/15	J	A	
274. Ebay Inc.	A	Dividend	J	T	Buy (add'l)	02/06/15	J		
275.					Buy (add'l)	05/22/15	J		
276.					Buy (add'l)	08/13/15	J		
277.					Buy (add'l)	09/08/15	J		
278.					Buy (add'l)	09/10/15	J		
279.					Sold (part)	11/16/15	J	A	
280.					Sold (part)	12/21/15	J	A	
281. Edison International	A	Dividend	J	T	Buy	06/24/15	J		
282.					Buy (add'l)	06/25/15	J		
283.					Buy (add'l)	06/26/15	J		
284.					Buy (add'l)	09/08/15	J		
285.					Buy (add'l)	09/09/15	J		
286. Electronic Arts Inc.	A	Dividend			Sold (part)	05/04/15	J	B	
287.					Sold (part)	07/24/15	J	C	
288.					Sold (part)	08/13/15	J	B	
289.					Sold (part)	09/01/15	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

06/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold	10/07/15	J	C	
291. EMC Corp/Mass	A	Dividend			Buy (add'l)	02/19/15	J		
292.					Buy (add'l)	10/09/15	J		
293.					Sold (part)	12/17/15	J	A	
294.					Sold (part)	12/18/15	J	A	
295.					Sold	12/21/15	J	A	
296. Empire State Municipal Exempt Fund	A	Interest	J	T					
297. EOG RES Inc.	A	Dividend	K	T	Sold (part)	03/11/15	J	A	
298.					Buy (add'l)	08/18/15	J		
299.					Buy (add'l)	09/01/15	J		
300.					Buy (add'l)	10/12/15	J		
301.					Buy (add'l)	10/22/15	J		
302.					Buy (add'l)	11/05/15	J		
303.					Buy (add'l)	12/16/15	J		
304.					Buy (add'l)	12/17/15	J		
305.					Buy (add'l)	12/23/15	J		
306. Estee Lauder Companies	A	Dividend	J	T	Sold (part)	12/02/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

06/28/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Everest RE Group Ltd.	A	Dividend			Buy (add'l)	01/30/15	J		
308.					Buy (add'l)	02/06/15	J		
309.					Sold (part)	02/23/15	J	B	
310.					Sold	02/27/15	J	A	
311. Exelon	A	Dividend	J	T					
312. F5 Networks Inc.	A	Dividend	J	T	Sold (part)	03/18/15	J	A	
313. Facebook Inc. - A	A	Dividend	K	T	Buy (add'l)	01/15/15	J		
314.					Buy (add'l)	01/30/15	J		
315.					Buy (add'l)	02/06/15	J		
316.					Buy (add'l)	02/09/15	J		
317.					Buy (add'l)	09/08/15	J		
318.					Sold (part)	12/21/15	J	A	
319. Fidelity National Information	A	Dividend			Sold (part)	04/17/15	J	C	
320.					Sold	10/19/15	J	A	
321. Fiserv Inc.	A	Dividend	J	T					
322. Ford Motor Co.	A	Dividend			Sold (part)	09/03/15	J	A	
323.					Sold (part)	09/08/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	09/10/15	J	A	
325.					Sold (part)	09/14/15	J	A	
326.					Sold	10/01/15	J	A	
327. Gamestop Corp.	A	Dividend	J	T	Sold (part)	12/02/15	J	A	
328. Gilead Sciences Inc.	A	Dividend	K	T	Buy (add'l)	02/06/15	J		
329. GP Strategies Corp.		None	J	T					
330. Goldman Sachs Group Inc.	A	Dividend			Buy (add'l)	01/08/15	J		
331.					Buy (add'l)	02/27/15	J		
332.					Sold (part)	04/16/15	J	A	
333.					Sold (part)	08/06/15	J	A	
334.					Sold (part)	09/04/15	J	A	
335.					Sold	10/05/15	J	A	
336. Harding Loevner	A	Dividend			Sold	08/17/15	J	A	
337. Health Net Inc.	A	Dividend			Sold (part)	04/08/15	J	A	
338.					Sold	04/27/15	J	A	
339. Hess Corp.	A	Dividend			Sold (part)	01/16/15	J	A	
340.					Buy (add'l)	03/10/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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06/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	03/12/15	J		
342.					Sold (part)	07/20/15	J	A	
343.					Sold (part)	10/08/15	J	A	
344.					Sold (part)	10/13/15	J	A	
345.					Sold (part)	11/11/15	J	A	
346.					Sold	12/16/15	J	A	
347. Hewlett Packard Enterprise Co. (formerly Hewlett Packard Co.)	A	Dividend	J	T	Buy (add'l)	01/08/15	J		
348.					Sold (part)	12/02/15	J	A	
349. HP Inc.	A	Dividend	J	T	Buy	01/08/15	J		
350.					Sold (part)	12/22/15	J	A	
351. Intercontinental Exchange Inc.	A	Dividend			Sold (part)	03/23/15	J	A	
352.					Sold	04/01/15	J	B	
353. Intel Corp.	A	Dividend			Buy	01/29/15	J		
354.					Buy (add'l)	03/06/15	J		
355.					Buy (add'l)	05/06/15	J		
356.					Buy (add'l)	05/12/15	J		
357.					Sold	07/24/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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06/28/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Interferon Sciences, Inc.		None	J	T					
359. Intuitive Surgical Inc.	A	Dividend	J	T	Buy (add'l)	02/10/15	J		
360.					Sold (part)	03/03/15	J	A	
361.					Sold (part)	11/16/15	J	A	
362. Investment Company of America - Mutual Fund (American Fund)	A	Dividend	M	T	Buy (add'l)	03/13/15	J		
363.					Buy (add'l)	06/19/15	J		
364.					Buy (add'l)	09/18/15	J		
365.					Buy (add'l)	12/18/15	J		
366. IRA-Citibank-CD	A	Interest	J	T					
367. IRA-Citibank-Money Market	A	Interest	J	T					
368. IRA-Soloman - Treasury Bond	A	Interest	J	T					
369. Ishares Trust Ibox High Yield	A	Dividend			Sold	02/17/15	J	A	
370. ITT Corp.	A	Dividend	J	T	Buy (add'l)	01/05/15	J		
371.					Buy (add'l)	01/06/15	J		
372.					Buy (add'l)	06/25/15	J		
373.					Buy (add'l)	07/07/15	J		
374.					Sold (part)	09/03/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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06/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. JetBlue Airways	A	Dividend	J	T	Buy	07/14/15	J		
376.					Buy (add'l)	07/16/15	J		
377.					Buy (add'l)	07/17/15	J		
378. Johnson & Johnson	A	Dividend	K	T	Sold (part)	02/09/15	J	A	
379.					Sold (part)	12/02/15	J	A	
380. JP Morgan Chase & Co.	A	Dividend			Sold (part)	02/10/15	J	A	
381.					Sold	02/17/15	J	A	
382. Kimberly-Clark Corp.	A	Dividend	J	T	Buy	02/13/15	J		
383.					Buy (add'l)	02/20/15	J		
384.					Buy (add'l)	03/02/15	J		
385.					Buy (add'l)	03/06/15	J		
386.					Buy (add'l)	09/28/15	J		
387.					Buy (add'l)	10/08/15	J		
388. Kohls Corp.	A	Dividend			Buy	04/01/15	J		
389.					Buy (add'l)	05/14/15	J		
390.					Sold (part)	09/03/15	J	A	
391.					Sold	10/08/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Kroger Co.	A	Dividend	K	T	Sold (part)	01/02/15	J	A	
393.					Sold (part)	03/02/15	J	B	
394.					Sold (part)	03/11/15	J	A	
395.					Sold (part)	07/09/15	J	A	
396.					Sold (part)	07/10/15	J	A	
397. L Brands Inc.	A	Dividend	J	T	Buy	03/25/15	J		
398.					Buy (add'l)	04/02/15	J		
399. L-3 Communications Holdings	A	Dividend	J	T	Buy (add'l)	02/04/15	J		
400.					Buy (add'l)	02/06/15	J		
401.					Buy (add'l)	08/10/15	J		
402.					Buy (add'l)	08/18/15	J		
403.					Buy (add'l)	08/31/15	J		
404.					Sold (part)	11/13/15	J	A	
405.					Sold (part)	11/16/15	J	A	
406.					Sold (part)	12/02/15	J	A	
407. Legg Mason Clearbridge Appreciation Fund	B	Dividend	L	T					
408. Legg Mason Inc.	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Linear Technology Corp.	A	Dividend			Buy	01/21/15	J		
410.					Buy (add'l)	01/22/15	J		
411.					Buy (add'l)	01/23/15	J		
412.					Buy (add'l)	02/03/15	J		
413.					Sold (part)	03/18/15	J	A	
414.					Sold (part)	03/30/15	J	A	
415.					Sold	04/02/15	J	A	
416. Lincoln National Corp.	A	Dividend			Sold (part)	01/06/15	J	A	
417.					Sold (part)	01/07/15	J	A	
418.					Sold	02/02/15	J	A	
419. Lord Abbott Equity Fund	A	Dividend	J	T					
420. LMP Real Estate Income	A	Dividend	J	T					
421. LMP Stk Appr. Fund	A	Dividend	J	T					
422. Lockheed Martin Corp.	A	Dividend			Buy (add'l)	01/06/15	J		
423.					Buy (add'l)	01/08/15	J		
424.					Buy (add'l)	01/29/15	J		
425.					Buy (add'l)	02/20/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	05/28/15	J	A	
427.					Sold (part)	05/29/15	J	A	
428.					Sold (part)	06/01/15	J	A	
429.					Sold (part)	06/02/15	J	A	
430.					Sold (part)	06/10/15	J	A	
431.					Sold	08/10/15	J	A	
432. Lyondellbasell Indu - CL A	A	Dividend	K	T	Buy (add'l)	02/19/15	J		
433.					Buy (add'l)	02/20/15	J		
434.					Buy (add'l)	10/13/15	J		
435.					Buy (add'l)	10/14/15	J		
436.					Buy (add'l)	10/15/15	J		
437.					Sold (part)	12/02/15	J	A	
438. Magna International Inc.	A	Dividend	J	T	Buy	07/30/15	J		
439.					Buy (add'l)	08/04/15	J		
440. Marathon Petroleum Corp,	A	Dividend			Buy	02/09/15	J		
441.					Buy (add'l)	02/18/15	J		
442.					Buy (add'l)	02/19/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Sold (part)	06/05/15	J	A	
444.					Sold	06/09/15	J	A	
445. McDonald's Corp.	A	Dividend	J	T	Buy	09/02/15	J		
446.					Buy (add'l)	09/10/15	J		
447. McKesson Corp.	A	Dividend	J	T	Buy	01/09/15	J		
448.					Buy (add'l)	01/13/15	J		
449.					Buy (add'l)	02/11/15	J		
450.					Buy (add'l)	03/09/15	J		
451.					Buy (add'l)	06/10/15	J		
452.					Sold (part)	12/02/15	J	A	
453. Mead Johnson Nutrition Co.	A	Dividend			Sold (part)	01/15/15	J	A	
454.					Sold (part)	01/26/15	J	A	
455.					Sold (part)	07/10/15	J	A	
456.					Sold (part)	07/14/15	J	A	
457.					Buy (add'l)	08/31/15	J		
458.					Sold (part)	09/04/15	J	A	
459.					Sold	10/01/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. Medtronic Inc.	A	Dividend	J	T	Sold (part)	01/07/15	J	A	
461.					Buy (add'l)	01/27/15	J		
462.					Sold (part)	01/27/15	J	A	
463.					Buy (add'l)	02/17/15	J		
464.					Buy (add'l)	02/20/15	J		
465.					Sold (part)	07/29/15	J	A	
466. Merck & Co. Inc.	A	Dividend	J	T	Buy (add'l)	03/03/15	J		
467.					Buy (add'l)	03/05/15	J		
468.					Buy (add'l)	05/05/15	J		
469.					Buy (add'l)	09/08/15	J		
470. Merrill Lynch 5 MITSR 168 mps	A	Interest	J	T					
471. Microsoft Corp.	A	Dividend	K	T	Sold (part)	01/28/15	J	A	
472.					Buy (add'l)	03/06/15	J		
473.					Buy (add'l)	04/30/15	J		
474.					Buy (add'l)	05/01/15	J		
475.					Buy (add'l)	05/05/15	J		
476.					Buy (add'l)	06/03/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Buy (add'l)	06/04/15	J		
478.					Sold (part)	12/02/15	J	A	
479.					Sold (part)	12/21/15	J	A	
480. Mobileye NV	A	Dividend	J	T	Buy	01/28/15	J		
481.					Buy (add'l)	02/11/15	J		
482.					Sold (part)	05/07/15	J	A	
483. Monsanto Co.	A	Dividend			Sold (part)	04/10/15	J	A	
484.					Sold	05/04/15	J	A	
485. Monster Beverage Corp.	A	Dividend	K	T	Sold (part)	02/13/15	J	A	
486.					Sold (part)	02/26/15	J	A	
487.					Sold (part)	03/09/15	J	A	
488.					Sold (part)	04/30/15	J	B	
489.					Sold (part)	09/02/15	J	B	
490.					Sold (part)	12/21/15	J	A	
491. Morgan Lewis & Bockius LLP, Firm Capital	E	Interest	O	T					
492. Morgan Stanley NY Municipal	A	Dividend	J	T					
493. Murphy Oil Corp.	A	Dividend	J	T	Buy (add'l)	01/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	01/07/15	J		
495.					Buy (add'l)	06/09/15	J		
496.					Sold (part)	08/17/15	J	A	
497.					Sold (part)	09/04/15	J	A	
498.					Buy (add'l)	10/09/15	J		
499.					Buy (add'l)	11/05/15	J		
500.					Buy (add'l)	11/13/15	J		
501.					Buy (add'l)	11/17/15	J		
502.					Sold (part)	12/22/15	J	A	
503. Netsuite Inc.	A	Dividend			Sold (part)	05/08/15	J	A	
504.					Sold	05/11/15	J	A	
505. Nike Inc.	A	Dividend	K	T	Sold (part)	12/21/15	J	A	
506. Northrop Grumman Corp.	A	Dividend	K	T	Buy	05/29/15	J		
507.					Buy (add'l)	06/01/15	J		
508.					Buy (add'l)	06/02/15	J		
509.					Buy (add'l)	06/10/15	J		
510. Nuveen Municipal Advantage	A	Interest			Sold	12/16/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Nuveen New York Quality	A	Interest	J	T					
512. Nuveen NY Amt Free Municipal	B	Interest	J	T					
513. N.Y. State Urban Development Bonds (Y)	A	Interest	J	T					
514. NXP Semiconductors NV	A	Dividend			Sold (part)	01/16/15	J	A	
515.					Sold	01/22/15	J	A	
516. Occidental Petroleum Corp.	A	Dividend			Buy (add'l)	01/30/15	J		
517.					Sold	05/11/15	J	A	
518. Office Depot Inc.	A	Dividend			Sold (part)	05/27/15	J	A	
519.					Sold (part)	05/28/15	J	A	
520.					Sold	07/15/15	J	A	
521. Oppenheimer Global Opportunity Fund	B	Dividend	M	T					
522. Oppenheimer Developing Mkts	A	Dividend	J	T	Buy	08/20/15	J		
523.					Buy (add'l)	12/02/15	J		
524. Oracle Corp.	A	Dividend	J	T	Buy (add'l)	08/13/15	J		
525.					Buy (add'l)	08/17/15	J		
526.					Buy (add'l)	09/01/15	J		
527.					Sold (part)	12/02/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. Partnerre Ltd.	A	Dividend			Sold (part)	01/05/15	J	A	
529.					Sold (part)	01/07/15	J	A	
530.					Sold (part)	03/02/15	J	A	
531.					Sold (part)	03/03/15	J	A	
532.					Sold (part)	03/18/15	J	A	
533.					Sold (part)	03/19/15	J	A	
534.					Sold (part)	04/14/15	J	B	
535.					Sold (part)	05/04/15	J	A	
536.					Sold (part)	06/29/15	J	A	
537.					Sold	07/01/15	J	A	
538. PayPal Holdings	A	Dividend			Buy	07/20/15	J		
539.					Sold (part)	08/14/15	J	A	
540.					Sold (part)	09/30/15	J	A	
541.					Sold	11/02/15	J	A	
542. Pepsico Inc.	A	Dividend	K	T	Sold (part)	01/05/15	J	A	
543.					Sold (part)	03/25/15	J	A	
544.					Sold (part)	03/27/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545.					Buy (add'l)	05/06/15	J		
546.					Buy (add'l)	05/08/15	J		
547.					Buy (add'l)	06/04/15	J		
548.					Buy (add'l)	07/16/15	J		
549.					Buy (add'l)	07/28/15	J		
550.					Buy (add'l)	08/11/15	J		
551.					Buy (add'l)	08/13/15	J		
552.					Buy (add'l)	08/18/15	J		
553.					Buy (add'l)	08/31/15	J		
554.					Buy (add'l)	09/09/15	J		
555.					Buy (add'l)	10/01/15	J		
556.					Buy (add'l)	10/05/15	J		
557.					Buy (add'l)	10/08/15	J		
558.					Sold (part)	11/12/15	J	A	
559.					Sold (part)	11/16/15	J	A	
560.					Sold (part)	12/21/15	J	A	
561. Pfizer Inc.	A	Dividend	K	T	Sold (part)	01/02/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

562.					Sold (part)	01/08/15	J	A	
563.					Sold (part)	01/13/15	J	A	
564. Pimco Foreign Bond Fund Institutional	A	Dividend	N	T	Buy	01/06/15	J		
565.					Buy (add'l)	01/30/15	J		
566.					Buy (add'l)	02/27/15	J		
567.					Buy (add'l)	03/31/15	J		
568.					Buy (add'l)	04/30/15	J		
569.					Buy (add'l)	05/29/15	J		
570.					Buy (add'l)	06/30/15	J		
571.					Buy (add'l)	07/31/15	J		
572.					Buy (add'l)	08/18/15	J		
573.					Buy (add'l)	08/31/15	J		
574.					Buy (add'l)	09/30/15	J		
575.					Buy (add'l)	10/30/15	J		
576.					Buy (add'l)	11/30/15	J		
577.					Buy (add'l)	12/16/15	J		
578.					Buy (add'l)	12/29/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Buy (add'l)	12/31/15	J		
580. Pimco High Yield Fund	A	Dividend	N	T	Buy (add'l)	01/06/15	J		
581.					Buy (add'l)	01/30/15	J		
582.					Buy (add'l)	02/17/15	J		
583.					Buy (add'l)	02/27/15	J		
584.					Buy (add'l)	03/31/15	J		
585.					Buy (add'l)	04/30/15	J		
586.					Buy (add'l)	05/29/15	J		
587.					Buy (add'l)	06/30/15	J		
588.					Buy (add'l)	07/31/15	J		
589.					Sold (part)	08/17/15	J	A	
590.					Buy (add'l)	12/17/15	J		
591.					Buy (add'l)	12/31/15	J		
592. Polaris Industries Inc.	A	Dividend			Buy (add'l)	01/07/15	J		
593.					Sold (part)	06/04/15	J	A	
594.					Sold (part)	06/05/15	J	A	
595.					Sold	06/09/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. PPL Corporation	A	Dividend	J	T	Buy	10/01/15	J		
597.					Buy (add'l)	10/02/15	J		
598.					Buy (add'l)	10/06/15	J		
599.					Buy (add'l)	10/08/15	J		
600.					Buy (add'l)	10/14/15	J		
601.					Buy (add'l)	10/19/15	J		
602.					Buy (add'l)	10/20/15	J		
603.					Sold (part)	12/02/15	J	A	
604. Precision Castparts Corp.	A	Dividend			Sold	01/16/15	J	A	
605. Priceline Group Inc.	A	Dividend	J	T	Sold (part)	01/20/15	J	A	
606.					Sold (part)	12/02/15	J	A	
607.					Sold (part)	12/21/15	J	A	
608. Proctor & Gamble	A	Dividend			Sold	08/17/15	J	A	
609. Public Storage	A	Dividend	J	T	Buy	10/02/15	J		
610.					Buy (add'l)	10/05/15	J		
611.					Buy (add'l)	10/14/15	J		
612. Putnam New Opportunities	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. Quintiles Transnational Holding	A	Dividend			Buy (add'l)	08/24/15	J		
614.					Sold	11/04/15	J	A	
615. Raytheon Company	A	Dividend	J	T	Buy (add'l)	01/16/15	J		
616.					Sold (part)	09/10/15	J	A	
617.					Sold (part)	10/12/15	J	A	
618.					Sold (part)	10/14/15	J	A	
619.					Sold (part)	10/19/15	J	A	
620.					Sold (part)	11/13/15	J	A	
621. Rockwell Collins Inc.	A	Dividend	J	T	Buy	07/13/15	J		
622. Ross Stores Inc.	A	Dividend	K	T	Buy	04/10/15	J		
623.					Buy (add'l)	04/15/15	J		
624.					Buy (add'l)	05/12/15	J		
625.					Buy (add'l)	09/04/15	J		
626.					Sold (part)	11/16/15	J	A	
627.					Sold (part)	11/23/15	J	A	
628. Schlumberger Ltd.	A	Dividend	J	T	Buy (add'l)	08/19/15	J		
629.					Sold (part)	02/03/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Sold (part)	02/10/15	J	A	
631.					Sold (part)	07/06/15	J	A	
632.					Sold (part)	12/02/15	J	A	
633. Schwab Breckinridge (no control)	A	Interest	P1	T	Buy	09/15/15	P1		
634. Schwab Gov't Money Fund	A	Dividend	O	T	Sold (part)	01/05/15	J	A	
635.					Buy (add'l)	01/06/15	J		
636.					Sold (part)	01/07/15	J	A	
637.					Buy (add'l)	02/03/15	J		
638.					Buy (add'l)	02/09/15	J		
639.					Sold (part)	02/18/15	J	A	
640.					Buy (add'l)	02/23/15	J		
641.					Buy (add'l)	03/03/15	J		
642.					Buy (add'l)	03/11/15	J		
643.					Buy (add'l)	04/02/15	J		
644.					Buy (add'l)	05/04/15	J		
645.					Buy (add'l)	05/18/15	J		
646.					Buy (add'l)	06/02/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	06/10/15	J		
648.					Buy (add'l)	07/02/15	J		
649.					Buy (add'l)	08/04/15	J		
650.					Buy (add'l)	08/18/15	J		
651.					Sold (part)	08/19/15	J	A	
652.					Buy (add'l)	08/21/15	J		
653.					Buy (add'l)	09/01/15	J		
654.					Buy (add'l)	09/02/15	J		
655.					Buy (add'l)	09/09/15	J		
656.					Buy (add'l)	10/02/15	J		
657.					Buy (add'l)	11/03/15	J		
658.					Buy (add'l)	12/02/15	J		
659.					Buy (add'l)	12/07/15	J		
660.					Buy (add'l)	12/09/15	J		
661.					Buy (add'l)	12/18/15	J		
662.					Buy (add'l)	12/22/15	J		
663. Schwab S&P 500 Index	A	Dividend	L	T	Buy	01/06/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Buy (add'l)	08/17/15	J		
665.					Buy (add'l)	12/21/15	J		
666. Sealed Air Corp.	A	Dividend	J	T	Buy	06/26/15	J		
667.					Buy (add'l)	07/14/15	J		
668.					Sold (part)	12/02/15	J	A	
669. Seligman Communication - Mutual Fund	B	Dividend	J	T					
670. Servicenow Inc.	A	Dividend	J	T					
671. Sherwin-Williams Co.	A	Dividend	K	T	Sold (part)	08/24/15	J	A	
672.					Sold (part)	09/04/15	J	A	
673.					Sold (part)	12/02/15	J	A	
674. SLM Corp.	A	Dividend			Sold	03/30/15	J	A	
675. SM Energy Co.	A	Dividend			Buy	05/14/15	J		
676.					Sold	08/25/15	J	A	
677. Staples Inc.	A	Dividend			Buy	07/21/15	J		
678.					Sold (part)	10/02/15	J	A	
679.					Sold (part)	10/06/15	J	A	
680.					Sold	10/09/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. Starbucks Corp.	A	Dividend	K	T	Sold (part)	01/07/15	J	A	
682.					Sold (part)	07/10/15	J	B	
683.					Sold (part)	08/14/15	J	A	
684.					Sold (part)	09/09/15	J	A	
685.					Sold (part)	10/01/15	J	A	
686.					Sold (part)	12/02/15	J	A	
687. Synchrony Financial	A	Dividend	J	T	Buy	12/17/15	J		
688.					Buy (add'l)	12/23/15	J		
689.					Buy (add'l)	12/29/15	J		
690.					Buy (add'l)	12/30/15	J		
691. Templeton Global Bond Fund	A	Dividend	M	T	Buy (add'l)	02/17/15	J		
692.					Buy (add'l)	03/16/15	J		
693.					Buy (add'l)	04/15/15	J		
694.					Buy (add'l)	05/15/15	J		
695.					Buy (add'l)	06/15/15	J		
696.					Buy (add'l)	07/15/15	J		
697.					Buy (add'l)	08/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Sold (part)	08/17/15	J	A	
699.					Buy (add'l)	09/15/15	J		
700.					Buy (add'l)	10/15/15	J		
701.					Buy (add'l)	11/16/15	J		
702.					Buy (add'l)	12/15/15	J		
703.					Sold (part)	12/16/15	J	A	
704. Thornburg Ltd.	A	Dividend	N	T	Buy (add'l)	01/30/15	J		
705.					Buy (add'l)	02/27/15	J		
706.					Buy (add'l)	03/31/15	J		
707.					Buy (add'l)	04/30/15	J		
708.					Buy (add'l)	05/29/15	J		
709.					Buy (add'l)	06/30/15	J		
710.					Buy (add'l)	07/31/15	J		
711.					Buy (add'l)	08/31/15	J		
712.					Buy (add'l)	09/30/15	J		
713.					Buy (add'l)	10/30/15	J		
714.					Buy (add'l)	11/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Buy (add'l)	12/31/15	J		
716. U.S. Savings Bonds for Children	A	Interest	J	T					
717. U.S. Treasury Bills	A	Interest			Buy	01/14/15	M		
718.					Buy (add'l)	01/28/15	L		
719.					Sold (part)	01/29/15	L	A	
720.					Buy (add'l)	04/01/15	L		
721.					Sold (part)	04/02/15	L	A	
722.					Buy (add'l)	04/08/15	L		
723.					Sold (part)	04/09/15	J	A	
724.					Buy (add'l)	04/15/15	L		
725.					Sold (part)	04/16/15	L	A	
726.					Buy (add'l)	04/29/15	L		
727.					Sold (part)	04/30/15	L	A	
728.					Buy (add'l)	07/01/15	L		
729.					Sold (part)	07/02/15	L	A	
730.					Buy (add'l)	07/08/15	L		
731.					Sold (part)	07/09/15	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Buy (add'l)	07/15/15	L		
733.					Sold (part)	07/16/15	L	A	
734.					Buy (add'l)	07/29/15	L		
735.					Sold (part)	07/30/15	L	A	
736.					Sold	09/22/15	M	A	
737. US Bancorp.	A	Dividend	K	T	Buy (add'l)	01/07/15	J		
738.					Buy (add'l)	01/08/15	J		
739.					Buy (add'l)	01/12/15	J		
740.					Buy (add'l)	02/18/15	J		
741.					Buy (add'l)	02/19/15	J		
742.					Sold (part)	03/23/15	J	A	
743.					Buy (add'l)	07/06/15	J		
744.					Buy (add'l)	09/15/15	J		
745.					Buy (add'l)	09/18/15	J		
746.					Buy (add'l)	10/05/15	J		
747.					Sold (part)	11/16/15	J	A	
748.					Sold (part)	12/02/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. UGI Corp.	A	Dividend			Buy	04/15/15	J		
750.					Sold	11/13/15	J	A	
751. Union Pacific Corp.	A	Dividend	K	T	Sold (part)	01/05/15	J	B	
752.					Sold (part)	01/20/15	J	A	
753. Unit EIF Equity S&P 500	A	Dividend	K	T					
754. United Parcel Service	A	Dividend			Buy	01/21/15	J		
755.					Sold	02/11/15	J	A	
756. United Technologies Corp.	A	Dividend	J	T	Buy	03/23/15	J		
757.					Buy (add'l)	03/25/15	J		
758.					Buy (add'l)	03/26/15	J		
759.					Buy (add'l)	04/02/15	J		
760.					Buy (add'l)	07/10/15	J		
761.					Buy (add'l)	07/13/15	J		
762.					Buy (add'l)	09/04/15	J		
763.					Sold (part)	11/16/15	J	A	
764.					Sold (part)	12/02/15	J	A	
765. Unitedhealth Group Inc.	A	Dividend	K	T	Buy (add'l)	01/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Buy (add'l)	01/14/15	J		
767.					Buy (add'l)	01/30/15	J		
768.					Buy (add'l)	02/03/15	J		
769.					Buy (add'l)	02/24/15	J		
770.					Buy (add'l)	03/02/15	J		
771.					Sold (part)	06/24/15	J	A	
772.					Buy (add'l)	08/31/15	J		
773.					Buy (add'l)	10/08/15	J		
774.					Sold (part)	12/02/15	J	A	
775. Valero Energy Corp.	A	Dividend	K	T	Buy (add'l)	01/08/15	J		
776.					Sold (part)	05/04/15	J	A	
777.					Sold (part)	10/22/15	J	A	
778.					Sold (part)	12/02/15	J	A	
779.					Sold (part)	12/21/15	J	A	
780. Vanguard Charlotte	A	Dividend			Sold	02/17/15	J	A	
781. Vanguard Short Term Tax Exempt Admiral Share	A	Int./Div.	N	T	Buy (add'l)	01/30/15	J		
782.					Buy (add'l)	02/27/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Buy (add'l)	03/31/15	J		
784.					Buy (add'l)	04/30/15	J		
785.					Buy (add'l)	05/29/15	J		
786.					Buy (add'l)	06/30/15	J		
787.					Buy (add'l)	07/31/15	J		
788.					Buy (add'l)	08/31/15	J		
789.					Buy (add'l)	09/30/15	J		
790.					Buy (add'l)	10/30/15	J		
791.					Buy (add'l)	11/30/15	J		
792.					Buy (add'l)	12/31/15	J		
793. VF Corp.	A	Dividend			Buy	01/08/15	J		
794.					Buy (add'l)	01/12/15	J		
795.					Sold (part)	03/20/15	J	A	
796.					Sold (part)	03/25/15	J	A	
797.					Sold	03/31/15	J	A	
798. Verizon Communications Inc.	A	Dividend			Sold (part)	01/07/15	J	A	
799.					Sold	03/26/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. Vertex Pharmaceuticals Inc.	A	Dividend			Sold	05/04/15	J	A	
801. Visa Inc.	A	Dividend	K	T	Sold (part)	03/20/15	J	A	
802.					Sold (part)	04/21/15	J	A	
803.					Sold (part)	05/28/15	J	A	
804.					Sold (part)	09/09/15	J	A	
805.					Sold (part)	09/10/15	J	A	
806.					Buy (add'l)	10/20/15	J		
807.					Sold (part)	11/16/15	J	A	
808. Voya Financial Inc.	A	Dividend	K	T	Buy	09/01/15	J		
809.					Buy (add'l)	09/04/15	J		
810.					Buy (add'l)	09/14/15	J		
811.					Sold (part)	11/16/15	J	A	
812. Walt Disney Co.	A	Dividend	K	T	Buy (add'l)	01/08/15	J		
813.					Buy (add'l)	02/20/15	J		
814.					Sold (part)	08/31/15	J	A	
815.					Sold (part)	10/13/15	J	A	
816.					Sold (part)	10/16/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817.					Sold (part)	10/21/15	J	A	
818.					Sold (part)	12/02/15	J	A	
819.					Sold (part)	12/21/15	J	A	
820. Westwood Income Oppty	A	Dividend	O	T	Buy (add'l)	03/30/15	J		
821.					Buy (add'l)	06/29/15	J		
822.					Buy (add'l)	09/29/15	J		
823.					Buy (add'l)	12/18/15	J		
824.					Buy (add'l)	12/30/15	J		
825. Xerox Corp.	A	Dividend	J	T	Buy (add'l)	11/30/15	J		
826.					Buy (add'l)	12/18/15	J		
827.					Buy (add'l)	12/23/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Page 6 of 54, Line 49; "Effective 3/17/15, Allergen underwent a merger with Actavis. On this report we show a complete sale on 10/14/15 which was actually a complete sale in the holding for Allergen and Actavis.

Page 7 of 54, Line 56; "Alphabet Inc." - as of 10/2/15 was formerly known as Google Inc.

Page 24 of 54, Line 347; Effective 11/2/15 Hewlett Packard Co spun off its corporate hardware and services operations as Hewlett Packard Enterprise in a one for one spin off. HP Inc. maintains the computer and printer business.

Page 35 of 54, Line 538; "PayPal Holdings" - on 7/20/15 EBAY shareholders received 1 share of PayPal Holdings for every share of EBAY held in a 1 for 1 spin off.

Page 41 of 54, Line 633, "Schwab Breckinridge" - In September 2015, money in my husband's name in his Morgan Lewis IRA cash balance plan was transferred to Schwab Breckinridge.

Page 43 of 54, Line 669; "Seligman Communication Mutual Fund" - this is a holding my husband acquired a very long time ago and we have no way of further describing it. In efforts of full disclosure, this holding has been disclosed as a precaution rather than omitting it from the report even though he is not sure he still owns it.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cheryl L. Pollak**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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