

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeague, David W.	2. Court or Organization U.S. Court of Appeals - Sixth	3. Date of Report 06/28/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Professor	University of Michigan Law School
2.	Adjunct Professor	Michigan State University College of Law
3.	Board Member	Michigan State University College of Law
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

McKeague, David W.

Date of Report

06/28/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	University of Michigan Law School (approved teaching)	\$25,883.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Michigan Health & Hospital Association - wages
2. 2015	Accident Fund Insurance Company - director's fees
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

McKeague, David W.

Date of Report

06/28/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae Servcing Corporation	Student Loans	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

McKeague, David W.

Date of Report

06/28/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Stonehedge LP	B	Dividend	K	W					
2. PNC	A	Interest	J	T					
3. Ohio National Univ. Life		None	K	T					
4. IRA 1	E	Dividend	O	T					
5. -Schwab US Treasury Money Fund									
6. -T Rowe Price Mid Cap									
7. -Vanguard Index 500 Signal Shares									
8. - Touchstone Sands Capital Inst. Growth					Buy (add'l)	09/28/15	J		
9. - Stratton Small Cap Value									
10. - Harbor International Instl					Buy (add'l)	09/28/15	J		
11. - Loomis Sayles Strategic Income Y					Sold	09/28/15	J	A	
12. - JP Morgan Strategic Income Opps Sel									
13. - Harding Loevner Emerging Markets					Buy (add'l)	09/28/15	J		
14. - Wasatch 1st Source Long/Short					Sold	09/28/15	K	A	
15. - PIMCO Income Inst'l									
16. - MFS International Value I					Buy (add'l)	09/28/15	J		
17. - Sterling Capital Equity Income Instl									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Robeco Boston Partners Long/Short Rsrch Instl					Buy (add'l)	09/28/15	K		
19. -Federated Total Return Bond Instl					Buy (add'l)	09/28/15	K		
20. -Goldman Sachs Strategic Income Instl					Sold	09/28/15	K	A	
21. -Metropolitan West Total Return Bond I					Buy (add'l)	09/28/15	K		
22. -Principal Diversified Real Asset Inst					Sold	09/28/15	L	A	
23. -Vanguard Developed Markets Index Admiral					Buy (add'l)	09/28/15	J		
24. -Vanguard Extended Markets Index Admiral					Buy (add'l)	09/28/15	J		
25. MI Health & Hospital Association - (401K)	A	Dividend	N	T					
26. Ohio National Univ. Life Policy		None	K	T					
27. IRA 2	D	Dividend	N	T					
28. -Schwab US Treasury Money Fund									
29. -Vanguard Index 500 Signal Shares									
30. - Touchstone Sands Capital Inst. Growth					Buy (add'l)	09/28/15	J		
31. - T. Rowe Price Mid-Cap Growth									
32. - JP Morgan Strategic Inc Opps Sel									
33. - Harding Loevner Emerging Markets					Buy (add'l)	09/28/15	J		
34. - Wasatch 1st Source Long/Short					Sold	09/28/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Harbor Int'l Instl					Buy (add'l)	09/28/15	J		
36. - PIMCO Income Inst'l									
37. - MFS International Value I									
38. - Sterling Capital Equity Income Instl									
39. -Boston Partners Long/Short Rsrch Instl					Buy (add'l)	09/28/15	K		
40. -Federated Total Return Bond Instl					Buy (add'l)	09/28/15	J		
41. -Goldman Sachs Strategic Income Instl					Sold	09/28/15	K	A	
42. -Metropolitan West Total Return Bond I					Buy (add'l)	09/28/15	J		
43. -Principal Diversified Real Asset Inst					Sold	09/28/15	K	A	
44. -Vanguard Developed Markets Idx Admiral									
45. -Vanguard Extended Market Idx Adm					Buy (add'l)	09/28/15	J		
46. MI Health & Hosp. Assoc. deferred comp plan	A	Dividend	M	T					
47. IRA 4	C	Dividend	L	T					
48. - Schwab US Treasury Money Fund									
49. -Vanguard Index 500 Signal									
50. - Stratton Small Cap Value									
51. - JP Morgan Strategic Inc Opps Sel									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

McKeague, David W.

Date of Report

06/28/2016

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52. - PIMCO Income Inst'l									
53. - MFS International Value I									
54. -Federated Total Return Bond Instl									
55. -Metropolitan West Total Return Bond I									
56. Schwab Individual Account	B	Dividend	K	T					
57. - Schwab US Treasury Money Fund		None	J	T					
58. - Harding Loevner Emerging Markets	A	Dividend	J	T					
59. - Harbor International Instl	A	Dividend	J	T					
60. - MFS International Value I	A	Dividend	J	T					
61. -Vanguard 500 Index Signal	A	Dividend	J	T					
62. -Vanguard Developed Markets Idx Admiral	A	Dividend	K	T					
63. MSU Federal Credit Union	A	Interest	L	T					
64.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
McKeague, David W.	06/28/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following funds were sold in prior years, however the securities were not removed from the disclosure report at time of sale. They are no longer held. Below are transaction details.

IRA 1:

ICAP Select Equity, ICSLX, 03.25.13, Sale Amount: L, Gain Code: D.

Blackrock Global Allocation CI I, MALOX, 02.22.12, Sale Amount: K, Gain Code: C

Credit Suisse Commodity Return Strategy, CRSOX, 01.28.13, Sale Amount: J, Gain Code: B

IRA 2:

ICAP Select Equity, ICSLX, 03.25.13, Sale Amount: K, Gain Code: B

Credit Suisse Commodity Return Strategy, CRSOX, 01.28.13, Sale Amount: J, Gain Code: A

IRA 4:

Columbia Int'l Value Z, EMIEX, 01.08.13, Sale Amount: J, Gain Code: A

Additionally, an account at Mercantile Bank was closed on 10/9/14 but the closing information was inadvertently omitted on the 2014 FDR. The Value Code at time of closing was J.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

McKeague, David W.

Date of Report

06/28/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David W. McKeague**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544