

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vratil, Kathryn H.	2. Court or Organization District of Kansas	3. Date of Report 05/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court 500 State Avenue Suite 529 Kansas City, Kansas 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Vratil, Kathryn H.

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05/11/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Emory Law School	Jan. 17-19, 2018	Atlanta, GA	NextGen Diversity Conference	Transportation, lodging, and meals
2. DRI	Jan. 31-Feb. 2, 2018	Miami, FL	Women in the Law Seminar	Transportation, lodging, and meals
3. NYU Law School	Mar. 29-31, 2018	New York, NY	Federal Court Jury Innovations Project	Transportation, lodging, and meals
4. Duke Law School	June 20-22, 2018	Denver, CO	Diversity Conference	Transportation, lodging, and meals
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Vratil, Kathryn H.

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05/11/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Firstmark/Citibank	Student Loans	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Vratil, Kathryn H.

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05/11/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. Fidelity Government Cash Reserves (FDRXX) (Y)									
3. Othologic Corp (Y)									
4. California Amplifier (Y)									
5. Fidelity 500 Index Premium Class (FUSVX)	B	Dividend			Sold	11/02/18	K		
6. Fidelity 500 Index Institutional Prem (FXAIX)		None	K	T	Buy	11/02/18	K		
7. Matthews Asia Dividend Fund (MAPIX)	B	Dividend	K	T					
8. Technology Select Sector SPDR a/k/a Sector (XLK)	A	Dividend	J	T	Sold (part)	05/01/18	J		
9. IRA #2 (H)									
10. Fidelity Government Cash Reserves (FDRXX) (Y)									
11. American New World Fund C1 F2 (NFFFX)	A	Dividend	J	T					
12. Trust Account #1 (H)									
13. Schwab Cash Reserves Sweep Shares (cash)	A	Interest	K	T					
14. IRA #3 (H)									
15. Fidelity Government Cash Reserves (FDRXX)	A	Interest	J	T					
16. Fidelity Advisor Small Cap Growth C1 Z (FIDGX)	C	Dividend	K	T					
17. Fidelity Select Technology (FSPTX)	C	Dividend	J	T	Buy	02/08/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Vratil, Kathryn H.

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18. Fidelity Select Materials Portfolio (FSDPX)	B	Dividend	K	T					
19. Fidelity Select Defense & Aerospace (FSDAX)	B	Dividend	J	T	Buy	02/08/18	J		
20. American Euro Pacific Growth Fund Cl F2 (AEPFX)	C	Dividend	K	T					
21. Vanguard Tax Managed Bal PRTF Adm (VTMFX)	B	Dividend	L	T	Buy (add'l)	02/02/18	J		
22.					Buy (add'l)	03/02/18	J		
23.					Buy (add'l)	08/21/18	J		
24.					Buy (add'l)	09/21/18	J		
25.					Buy (add'l)	09/25/18	J		
26.					Buy (add'l)	10/25/18	J		
27. Vanguard GL Minimum Volatility Investor (VMVFX)	D	Dividend	L	T	Buy (add'l)	09/25/18	J		
28.					Buy (add'l)	10/25/18	J		
29. Fidelity Momentum Factor ETF (FDMO)	A	Dividend	L	T					
30. Fidelity Dividend ETF for Rising Rates (FDRR)	B	Dividend	L	T					
31. iShares Core S&P Mid-Cap ETF (IJH)	A	Dividend	K	T					
32. Vanguard Index FDS Vanguard REIT ETF (VNQ)	A	Dividend	J	T					
33. Brevard Cnty FLA Health Facs (10741LBX3)	A	Dividend	J	T					
34. Arizona St Transn Brd Grant Antic Nts (040647DN6)	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. Miami-Dade Cnty Fla Health Facs Auth (59334NEA6)	A	Interest	K	T					
36. Mississippi Dev Bk Spl Oblig Walnut Grove Youth Corr (60534WCG1)	A	Interest	K	T					
37. Washington St Health Care Facs Auth Rev (93978HBN4)	B	Interest	K	T					
38. Middlesex Cnty NJ CTFS PARTN REF COPS Civic Square II (596562HD3)	A	Interest	K	T					
39. Kentucky St Tpk Auth Economic Dev Rd (491552WD3)	A	Interest	J	T					
40. University Tex Univ Revs Rev Ref Bds (91514AHT5)	A	Interest	J	T	Buy	02/01/18	J		
41. University Alaska Univ Revs Gen Rev Bds (914046ZC4)	A	Interest	J	T					
42. Kentucky St PPPY & BLDGS Commn (49151FCB4)	A	Interest	J	T					
43. Houston Tex Cmnty College Rev (442368LL8)	A	Interest	J	T					
44. University Tex Univ Revs Rev Ref Bds (91514AHX6)	A	Interest	J	T	Buy	02/01/18	J		
45. University Tex Univ Revs Rev Ref Bds (9151376V0)	A	Interest			Sold	02/01/18	K		
46. Washington Fed Hwy Grnt (938823AU5)	A	Interest	K	T					
47. Wyandotte ETC K 4.125% (982674FT5)	A	Interest	J	T					
48. Perry TWP Multi Sch Bldg Corp (715029FZ2)	A	Interest	J	T					
49. District Columbia Income Tax Rev (25477GKB0)	A	Interest	K	T	Buy	01/08/18	K		
50. District Columbia Rev Deed Tax Rev Bds (25483VLH5)	A	Interest	J	T					
51. Corpus Christi Tex Util Sys Rev (220245UC6)	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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52. King Cty Wash School Dist No 400 Mercer (495026RT5)	A	Interest	J	T					
53. Hanover Ind Middle Sch Bldg Corp Ref		None	J	T	Buy	11/09/18	J		
54. Olathe KS 4% 29 DB (679384CL9)	A	Interest	J	T					
55. Wyandotte ETC KS 4.75% (982674GA5)	A	Interest	J	T					
56. Trust Account #2 (H)									
57. Commerce Bank (cash)	A	Interest	J	T					
58. Trust Account #3 (H)									
59. Bank of America (cash)	A	Interest			Closed	06/12/18	J		
60. Mainstreet Credit Union	A	Dividend	J	T	Open	06/13/18	J		
61. Series E Savings Bonds	B	Interest	J	T					
62. Trust Account #4 (H)									
63. Fidelity Government Cash Reserves (FDRXX) (was Fidelity Cash Account)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

05/11/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All reportable trust assets are included in Part VII.

Part VII: This report reflects the movement of various holdings between accounts and renaming of some accounts and trusts. These changes are not indicative of reportable transactions.

Part VII, lines 8, 15, and 38: Minor name changes are not indicative of a change in holdings.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Vratil, Kathryn H.

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05/11/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kathryn H. Vratil

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544