

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSENSTENGEL, NANCY J.	2. Court or Organization UNITED STATES DISTRICT COURT-EAST ST. LOUIS	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address UNITED STATES DISTRICT COURT MELVIN PRICE FEDERAL BUILDING AND COURTHOUSE 750 MISSOURI AVENUE ROOM 104 EAST ST. LOUIS, ILLINOIS 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

ROSENSTENGEL, NANCY J.

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05/09/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	BONIFIELD AND ROSENSTENGEL, INC. ATTORNEY AT LAW-SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

ROSENSTENGEL, NANCY J.

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05/09/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	FCB BANK	MORTGAGE ON LAW FIRM BUILDING-██████████	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

05/09/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. REGIONS BANK	A	Interest	K	T					
2. BONIFIELD AND ROSENSTENGEL STOCK	A	Dividend	N	W					
3. HUNTLEIGH IRA AND JOINT ACCTS (h)									
4. -HUNTLEIGH BANK DEPOSIT SWEEP ACCOUNT	A	Interest	J	T					
5. -FLEXSHARES TRUST QUAL DIV INDEX FD	A	Dividend			Sold	05/25/18	N	A	
6. -FIRST TRUST ENHANCED SHORT MATURITY	A	Dividend	K	T	Buy	12/31/18	K		
7. -ISHARE IBOND DEC 2019 TERM CORPORATE	A	Dividend	K	T	Buy	12/31/18	K		
8. -ISHARES ET US CONSUMER GOODS	A	Int./Div.			Sold	05/25/18	J	A	
9. -ISHARES MSCI JAPANETF NEW	A	Int./Div.			Sold	05/25/18	J	A	
10. -PIMCO INVESTMENT ET GRADE CORP BOND INDEX FD	A	Interest			Sold	05/25/18	J	A	
11. -PIMCO 0-5 YR HIGH ET GRADE CORP BOND INDEX FD	A	Interest			Sold	05/25/18	J	A	
12. -POWERSHARES KBW REGIONAL BANKING	A	Int./Div.			Sold	05/25/18	J	A	
13. -SELECT SECTOR SPDR CONSUMER STAPLES	A	Int./Div.			Sold	05/25/18	J	A	
14. -SPDR BLOOMBERG ET BARCLAYS SHORT TERM HIGH YIELD BN ETF	A	Int./Div.			Sold	05/25/18	J	A	
15. -SPDR NYSE TECHNOLOGY EFT	A	Int./Div.			Sold	05/25/18	J	A	
16. -SPDR S&P HEALTH CARE ETF SERVICE	A	Int./Div.			Sold	05/25/18	J	A	
17. -VANGUARD INTERMEDIATE TERM CORP BOND	A	Interest			Sold	05/25/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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18. -VANGUARD FTSE DEVELOP MRK ETF	A	Interest			Sold	12/06/18	K	A	
19. -VANGUARD SHORT TERM ETF CORP BD	A	Interest			Sold	05/25/18	J	A	
20. -WISDOMTREE EUROPE SMALL CAP DIV FUND	A	Int./Div.			Sold	05/25/18	J	A	
21. -FRANKLIN CUSTODIAN FDS INCOME SER ADV	A	Dividend	J	T					
22. FRANKLIN INCOME FUND A ACCT#2	A	Dividend	J	T					
23. BRIGHT STAR EQUITYCL H ACCT#1 BASED 10-11	A	Dividend	J	T					
24. BRIGHT STAR EQUITYCL H ACCT #2 BASED 12-14	A	Dividend	J	T					
25. BRIGHT STAR EQUITYCL H ACCT #3 BASED 15-17	A	Dividend			Sold	05/01/18	J	B	
26. UMB ACCT #1 COCA COLA STOCK	A	Dividend	J	T					
27. UMB ACCT #2 COCA COLA STOCK	A	Dividend	J	T					
28. UMB ACCT #3 COCA COLA STOCK	A	Dividend	J	T					
29. EDWARD D JONES ACCT #1 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
30. EDWARD D JONES ACCT #2 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
31. EDWARD D JONES ACCT #3 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
32. NML LIFE INSURANCE-WHOLE LIFE	C	Dividend	M	T					
33. COUNTRY COMPANIES WHOLE LIFE INSURANCE CV	B	Dividend	L	T					
34. HARTFORD LIFE INSURANCE POLICY-CASH VALUE (X)	B	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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35. JJB PROFIT SHARING PLAN (H)									
36. -FIRST TRUST ENHANCED SHORT MATURITY	E	Dividend	N	T	Buy	12/31/18	N		
37. -ISHARES IBONDS DEC 2019 TERM CORPORATION	E	Dividend	N	T	Buy	12/31/18	N		
38. -FLEXSHARES TRUST QUALITY DIV INDEX FUND	D	Int./Div.			Sold	05/25/18	N	A	
39. -VANGUARD FTSE ETF DEVELOPED MARK ETF	D	Int./Div.			Sold	05/25/18	L	A	
40. -JP MORGAN 100% US -TREASURY SECURITIES MONEY MARKET	A	Interest	M	T	Sold (part)	12/21/18	M	A	
41. -ISHARE CORE S&PMID ETF	B	Dividend			Buy	03/29/18	L		
42.					Sold	12/26/18	L	A	
43. -FIRST TR ETF VI SMID CAP RISING DIV ACH	B	Dividend			Buy	05/08/18	L		
44.					Sold	12/26/18	L	A	
45. -FIRST FUND RISING DIV ACHIEVER	B	Dividend			Buy	04/20/18	L		
46.					Sold	12/28/18	L	A	
47. -WESTERN ASSETS HIGH Y DEFINED OPT FD INC	C	Dividend			Buy	05/25/18	L		
48.					Sold	12/26/18	L	A	
49. -VANGUARD HIGH DIV YIELD INDEX	C	Dividend			Buy	05/25/18	M		
50.					Sold	12/26/18	M	A	
51. -VANGUARD INTERNATIONAL EQUITY INDEX FDS ENERG MKTS	A	Dividend			Buy	05/25/18	L		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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52.					Sold	12/04/18	K	A	
53. -POWERSHARES QQQ TR SER 1	C	Dividend			Buy	05/25/18	M		
54.					Sold	12/26/18	M	A	
55. -FIRST TR EFT FD VII TCW OPPT FXD INCOME	B	Dividend			Buy	05/25/18	K		
56.					Sold	06/20/18	K	A	
57. -BLACKROCK FKOATING R INCOME TRUST	B	Dividend			Buy	05/25/18	K		
58.					Sold	12/21/18	K	A	
59. -INVESTCO BULLETSHARES 2019 HIGH YIELD CORP B	B	Int./Div.			Buy	06/21/18	K		
60.					Sold	12/26/18	K	A	
61. -INVESCO EXCHANGE BULLETSHARES 2020 HIGH YIELD CORPORATE BD	B	Int./Div.			Buy	06/22/18	J		
62.					Sold	12/26/18	K	A	
63. -INVESCO SLF INDEXED TR BULLETSHARES 2021 HIGH YIELD CORP	B	Int./Div.			Buy	12/06/18	K		
64.					Sold	12/26/18	K	A	
65. OFFICE PROPERTY, BELLEVILLE, IL ACQUIRED 12/31/12 \$150,000 PRICE	D	Rent	M	R					
66.									
67.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

ROSENSTENGEL, NANCY J.

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	U = Book Value				

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
ROSENSTENGEL, NANCY J.	05/09/2019

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 35 THROUGH 64, PART VII, INVESTMENTS AND TRUST, IS THE JJB PROFIT SHARING PLAN AND IS FORMALLY KNOWN AS THE JERALD J BONIFIELD PROFIT SHARING PLAN TRUST. THE REPORTING PERSON'S [REDACTED] IS THE TRUSTEE, A PARTICIPANT AND OWNS 60% OF THE ASSETS OF THE PROFIT SHARING TRUST AS SHOWN ON PART VII. THE PLAN IS, HOWEVER, MANAGED BY A PROFESSIONAL INVESTMENT ADVISOR AND THE REPORTING PERSON HAS NO KNOWLEDGE OF THE PLANS TRANSACTIONS NOR HAS ACCESS TO THE DATA.

ALL PERSONAL ITEMS ARE REPORTED ON LINES 1 THROUGH 34 ON THE SAME REPORT PAGE OF PART VII.

LINE 65 COLUMN A REFLECTS AN INVESTMENT IN OFFICE BUILDING, COST BASIS \$150,000, LOCATED BELLEVILLE, ST. CLAIR COUNTY, ILLINOIS
,AND WAS ACQUIRED 12/31/2012. THE LAW FIRM OF [REDACTED] OF REPORTING PERSON OCCUPIES THE ENTIRE BUILDING.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

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05/09/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ NANCY J. ROSENSTENGEL

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544