

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BLAKE, CATHERINE C.	2. Court or Organization U S DISTRICT COURT, MARYLAND	3. Date of Report 07/25/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 7310 U S COURTHOUSE 101 WEST LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE	FARM TRUST
2.	VICE-PRESIDENT	HISTORICAL SOCIETY OF THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MARYLAND
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

07/25/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	RETIREMENT PENSION - CITY OF BALTIMORE
2. 2018	RETIREMENT PENSION - STATE OF MARYLAND
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

BLAKE, CATHERINE C.

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07/25/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

BLAKE, CATHERINE C.

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07/25/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA-T Rowe Price-Equity Income	C	Dividend	K	T	Redeemed (part)	07/23/18	J	A	See note Part VIII
2. IRA-T Rowe Price-Growth Stock	D	Dividend	L	T	Redeemed (part)	07/23/18	J	A	
3. IRA-T Rowe Price-New Era	A	Dividend	K	T	Redeemed (part)	07/23/18	J	A	
4. IRA-T Rowe Price-Science & Technology	D	Dividend	L	T	Redeemed (part)	07/23/18	J	A	
5. IRA-T Rowe Price-Spectrum Income	C	Dividend	L	T	Redeemed (part)	07/23/18	J	A	
6. State of Maryland Deferred Compensation Plan	C	Int./Div.	L	T	Redeemed (part)	11/02/18	J	A	
7. Wells Fargo Bank-accounts	A	Interest	L	T					
8. IRA-First Financial Credit Union	A	Dividend	J	T					
9. Guardian Whole Life	C	Dividend	M	T					
10. MetLife Whole Life	A	Dividend	J	T					
11. T Rowe Price Md Tax-Free Bond Fund	C	Dividend	L	T					
12. IRA - Delaware Core Plus Bond Fund Class A	B	Dividend	K	T					
13. NewPerspFdIncCIA (American Funds)	B	Dividend	K	T					
14. Farm Trust (H)									
15. --Real Property Frederick County, MD		None	O	Q					See note Part VIII.
16. TRowePrice 529 college savings plan Portfolio 2027	A	Int./Div.	K	T	Buy (add'l)	03/06/18	J		See note Part VIII
17.					Buy (add'l)	12/27/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. TRowe Price 529 college savings plan Portfolio 2027	A	Int./Div.	K	T	Buy (add'l)	03/06/18	J		
19.					Buy (add'l)	12/27/18	J		
20. IRA - Franklin Templeton 2015 Retirement Target Fund	D	Dividend	M	T	Redeemed (part)	10/01/18	J	A	
21. MetLife annuity - fixed rate	A	Int./Div.	J	T					
22. MetLife annuity - fixed rate	C	Int./Div.	L	T					
23. Hilltop Securities brokerage account (H)									
24. --Hilltop Securities cash account	A	Interest	K	T					
25. --AT&T common stock	B	Dividend	K	T					
26. --BB&T common stock	A	Dividend	K	T					
27. --Eli Lilly & Co. common stock	A	Dividend	K	T					
28. --Exxon Mobil Corp common stock	B	Dividend	L	T					
29. --Frontier Comm Corp Com New common stock									See note Part VIII.
30. --Ill Tool Works common stock	A	Dividend	K	T					
31. --Johnson & Johnson common stock	A	Dividend	K	T					
32. --JPMorgan Chase & Co common stock	A	Dividend	K	T					
33. --Merck & Co. Inc common stock	A	Dividend	K	T					
34. --PPG Ind Inc common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. --Verizon Comm common stock	A	Dividend	K	T					
36. --WWGrainger Inc common stock	A	Dividend	J	T					
37. --3M common stock	B	Dividend	K	T					
38. --Urstadt Biddle Properties Inc Class A	A	Dividend	J	T					
39. --DWS Mgd Mun Bond Fund Class A	A	Dividend	K	T					
40. --CmntyDevAdminMdRevBd 2011B	A	Interest	J	T					
41. --WashCoMdPublmpBd 2011	A	Interest	J	T					
42. --MontCoMdRevBd 2011A	A	Interest	J	T					
43. --AnnapMdGOPublmpT& RefBd2012	A	Interest	J	T					
44. --Microchip Tech Inc common stock	A	Dividend	K	T					
45. --Fluor Corp New common stock	A	Dividend	J	T					
46. --Freeport McMoran Copper&Gold Inc common stock	A	Dividend	J	T					
47. --Helmerich&Payne Inc common stock	A	Dividend	K	T					
48. --FredCoStMarysBd 2007	A	Interest			Redeemed	01/12/18	J	A	
49. --AnneArundelCoMdConsGenObBd2014	A	Interest	K	T					
50. --MdStateDeptTransConstBds2015	A	Interest	K	T					
51. TRowePrice 529 college savings plan Portfolio 2030	A	Int./Div.	K	T	Buy (add'l)	03/06/18	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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52.					Buy (add'l)	12/27/18	J		
53. TRowePrice 529 college savings plan Portfolio 2033	A	Int./Div.	K	T	Buy (add'l)	03/06/18	J		
54.					Buy (add'l)	12/27/18	J		
55. TRowePrice 529 college savings plan Portfolio 2033	A	Int./Div.	J	T	Buy (add'l)	03/06/18	J		
56.					Buy (add'l)	12/27/18	J		
57. TRowePrice 529 college savings plan Portfolio 2033	A	Int./Div.	J	T	Buy (add'l)	03/06/18	J		
58.					Buy (add'l)	12/27/18	J		
59. MdHlth&HigherEdRevBd	A	Interest	K	T					
60. M & T bank accounts	A	Interest	J	T	Open				See note Part VIII

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

07/25/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Lines 1-6, and 20. These reflect Required Minimum Distributions from retirement accounts.

Part VII lines 14 and 15 The real property that was an asset of the estate transferred by deed dated May 7, 2012, into a trust (Farm Trust) of which I am trustee. It is not income-producing. Appraisal was date of death of owner August 23, 2011.

Part VII lines 16, 18, 51, 53, 55, and 57. For all the 529 Plans only the level of risk, not the individual assets, can be selected.

Part VII, line 29 The value of this asset is below the reporting level.

Part VII, line 60 This is a recently opened checking/savings account.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

07/25/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ CATHERINE C. BLAKE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544