

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Randolph, Arthur R.	2. Court or Organization U.S. Court of Appeals/D.C. Cir	3. Date of Report 07/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Court of Appeals/D.C. Cir 333 Constitution Avenue, N.W. Washington, D.C. 20001-2866		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Pillsbury, Winthrop, Shaw, Pittman

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Money Market at Smith Barney	A	Interest	K	T					
2. 401(K) - World Bond Fund - American Funds	A	Dividend	K	T					
3. 401(K) - Pimco High Income	A	Dividend	J	T					
4. 401(K) - Dur. Inc. Fund - Eaton Vance	B	Dividend	K	T					
5. 401(K) - Pimco Real Ret.	A	Dividend	K	T					
6. Pillsbury Retirement Savings Plan - Fidelity PYR INX LFC 2015U	A	Dividend	O	T					
7. ML & Co. Str. ACC - Am Cap. Growth	A	Dividend	K	T					
8. Blackrock Gov't & Cap.	A	Dividend	J	T					
9. Citibank - Savings	C	Interest	K	T					
10. 401(K) - U.S. Gov't - American	A	Dividend	J	T					
11. 401(K) - Eaton Vance - Floating	A	Dividend	J	T					
12. 401(K) Fidelity - Floating	A	Dividend	K	T					
13. 401(K) - Gov't Goldman Sachs	A	Dividend	J	T					
14. 401(K) - Adv. Fidelity Int	A	Dividend	J	T					
15. Mutual Fund - NATX - IRA - Gateway Fund C	A	Dividend	L	T					
16. Mutual Fund - First Eagle - IRA - Global	A	Dividend	L	T					
17. Mutual Fund - Ivy Asset	A	Dividend	M	T	Sold (part)	10/21/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Mutual Fund - Am Century Bond - IRA	A	Dividend	K	T					
19. Mutual Fund - JP Tax Free - JT	A	Dividend	K	T					
20. Mutual Fund - Franklin TOT. - A	A	Dividend	J	T					
21. Mutual Fund - Putnam - Absolute Ret. 300	A	Dividend	K	T					
22. Mutual Fund - Sun Am Senior	A	Dividend	K	T					
23. Mutual Fund - Temp. Global	A	Dividend	K	T					
24. 401(K) Mutual Fund - ClearBridge	A	Dividend	K	T					
25. 401(K) - Tortoise MLP	A	Dividend	K	T					
26. 401(K) - Bank of Am.	A	Dividend	J	T					
27. 401(K) - Goldman St. Inc.	A	Dividend	K	T					
28. M- Dreyfus Bond Fund	A	Dividend			Sold	01/30/15	J		
29. M - I Shares Silver	A	Dividend	J	T					
30. 401(K) - John Hancock Rainer	A	Dividend	K	T					
31. 401(K) - Blackrock Cap.	A	Dividend	K	T					
32. 401(K) - Gabelli Gold Garco	A	Dividend	J	T					
33. 401(K) - Am Global Bal.	A	Dividend	K	T					
34. 401(K) - Goldman Equity	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Jt - JP Morgan Int. Tax	A	Dividend	K	T					
36. Jt - SPDR Gold	A	Dividend	J	T					
37. Jt - 1st Eagle Global	A	Dividend	K	T					
38. Jt - Am Infl. Bond	A	Dividend	K	T					
39. Hartford HBLCX - IRA	A	Dividend	K	T					
40. Ivy High Inc. - IRA	A	Dividend	K	T					
41. Jt. IShares Mun.	A	Dividend	K	T					
42. 401(K) - Am. High Inc.	A	Dividend	K	T					
43. 401(K) - Gold Am. Eagle		None	J	T					
44. 401(K) - Silver Am. Eagle		None	J	T					
45. SunAmerica - SR Floating Rate	A	Dividend	K	T					
46. Transamerica - Flexible Income	B	Dividend	K	T					
47. Wells Fargo Adv - Absolute Ret.	A	Dividend	K	T					
48. Wells Fargo Prem - Lg Co GW	A	Dividend	L	T					
49. Pimco Commodity	A	Dividend	J	T					
50. Am. Century Value	A	Dividend	K	T					
51. Blackrock Global Sm. C.	A	Dividend	K	T					

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52. Del. Small Cap.	A	Dividend	K	T					
53. Jt - I Shares High Div.	A	Dividend	K	T					
54. Jt - Vanguard Div. App.	A	Dividend	K	T					
55. Jt. - Goldman Inc.	A	Dividend	K	T					
56. Jt. - Ivy Sc. & Tech.	A	Dividend	K	T					
57. Jt. - Blackrock Gl. Smcap.	A	Dividend	K	T					
58. Jt. - Blackrock Multi-A	A	Dividend	K	T					
59. Jt. - Del. Div.	A	Dividend	K	T					
60. Jt. - Lord A. Multi-A	A	Dividend	K	T					
61. Jt. - JP Morgan Strat. Inc.	A	Dividend	K	T					
62. Jt. - Putnam Div.	A	Dividend	K	T					
63. Jt. - SunAm. Div. Str.	A	Dividend	K	T					
64. JP Morgan Glb. Allo.	A	Dividend	L	T	Buy	10/22/15	L		
65. Blackrock Multi-A.	A	Dividend	K	T	Buy	10/19/15	K		
66. Capital One-Savings	A	Interest	J	T					
67.									
68.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Inadvertently listed Vanguard Div. App. twice on my 2013 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Arthur R. Randolph**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544