

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Carman, Mark L.	2. Court or Organization U.S. District Court	3. Date of Report 06/14/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court P.O. Box 387, Yellowstone National Park, WY 82190		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Manager	Flying Fox Properties, LLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Charles Sewab Bank	Pledged Asset Line of Credit	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. --Schwab US Treasury Fund (SWUX)	A	Interest	M	T					
3. --3M Company (MMM)	A	Dividend	K	T					
4. --Abbott Laboratories (ABT)	A	Dividend	J	T					
5. --Abbvie Inc (ABBV)	A	Dividend	K	T					
6. --Agilent Technologies Inc (A)	A	Dividend	J	T					
7. --Air Products & Chemicals Inc (APD)	A	Dividend	K	T					
8. --Versum Materials Inc - (VSM) (spin off Air products and Chem.)	A	Dividend	J	T	Spinoff (from line 7)	10/01/16	J		
9. --American Express (AXP)	A	Dividend			Sold (part)	01/06/16	J	A	
10.					Sold	03/09/16	J	A	
11. --Apple, Inc - (AAPL)	A	Dividend	K	T	Sold (part)	05/31/16	J	A	
12. --AT&T Inc - (T)	A	Dividend	J	T					
13. --Auto Data Processing (ADP)	A	Dividend	K	T	Sold (part)	08/01/16	J	A	
14. --BlackRock Inc (BLK)	A	Dividend	K	T					
15. --Coca Cola Company - (KO)	A	Dividend	J	T	Sold (part)	12/01/16	J	A	
16. --DanaHER Corp (DHR)	A	Dividend	J	T					
17. --Fortive Corp -(FTV) (Spin off DanaHER)	A	Dividend	J	T	Spinoff (from line 16)	07/01/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Disney Walt Co - (DIS)	A	Dividend	J	T					
19. --Ebay Inc - (EBAY)	A	Distribution	J	T	Buy (add'l)	04/14/16	J		
20. --EMC Corp - (EMC)	A	Dividend			Sold (part)	08/03/16	J	A	
21.					Sold	09/01/16	J	A	
22. --Equifax Inc (EFX)	A	Dividend	K	T					
23. --Express Scripts Holding Company (ESRX)	A	Dividend	J	T	Buy (add'l)	05/25/16	J		
24.					Sold (part)	08/26/16	J	A	
25. --Exxon Mobil Corporation (XOM)	A	Dividend	K	T	Buy (add'l)	10/18/16	J		
26.					Buy (add'l)	05/10/16	J		
27. --General Dynamics Corp (GD)	A	Dividend	K	T					
28. --Alphabet Inc Cl C - (GOOG)	A	Dividend	J	T					
29. --Alphabet Inc Cl A (GOOGL)	A	Dividend	K	T					
30. --First Dorsey Wright Focus - (FV)	A	Dividend			Sold	08/03/16	K	A	
31. --Gilead Sciences Inc (GILD)	A	Dividend			Sold	07/27/16	J	A	
32. --Home Depot Inc (HD)	A	Dividend	K	T	Sold (part)	11/10/16	J	A	
33. --IBM Corp (IBM)	A	Dividend			Sold	01/20/16	J	A	
34. --JP Morgan Chase & Co (JPM)	A	Dividend	K	T	Sold (part)	11/10/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Kimberly-Clark Corp - (KMB)	A	Dividend	J	T	Sold (part)	10/25/16	J	A	
36. --Microsoft Corp (MSFT)	A	Dividend	K	T	Buy (add'l)	05/11/16	J		
37. --NASDAQ Inc (NDAQ)	A	Dividend	K	T	Sold (part)	02/24/16	J	A	
38. --Nike Inc Class B - (NKE)	A	Dividend	J	T	Sold (part)	10/03/16	J	A	
39.					Sold (part)	11/30/16	J	A	
40.					Sold (part)	03/09/16	J	A	
41. --Omnicom Group Inc (OMC)	A	Dividend	K	T					
42. --PayPal Holdings Inc (PYPL)	A	Dividend	J	T	Buy (add'l)	02/05/16	J		
43.					Sold (part)	12/07/16	J	A	
44. --Costco Wholesale (COST)	A	Dividend	J	T	Buy	02/25/16	J		
45.					Buy (add'l)	03/16/16	J		
46. --Amazon.com Inc - (AMZN)	A	Dividend	J	T	Buy	10/27/16	J		
47.					Buy (add'l)	11/23/16	J		
48. --Fidelity National Information (FIS)	A	Dividend	J	T	Buy	09/22/16	J		
49.					Buy (add'l)	10/20/16	J		
50. --Johnson Controls International (JCI)	A	Dividend	J	T	Buy	07/15/16	J		
51.					Buy (add'l)	11/02/16	J		

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52. --Adient PLC (ADNT)	A	Dividend	J	T	Spinoff (from line 50)	10/31/16	J		
53. --Procter & Gamble Co (PG)	A	Dividend	J	T	Buy	02/25/16	J		
54.					Buy (add'l)	03/09/16	J		
55. --TJX Companies Inc (TJX)	A	Dividend	J	T	Buy	05/17/16	J		
56.					Buy (add'l)	07/07/16	J		
57. --Schlumberger LTD (SLB)	A	Dividend	J	T	Buy (add'l)	04/21/16	J		
58. --Sherwin Williams Co (SHW)	A	Dividend	J	T					
59. --Snap-On Inc (SNA)	A	Dividend	J	T					
60. --Stryker Corp (SYK)	A	Dividend	K	T	Sold (part)	11/10/16	J	A	
61. --Wells Fargo & Co (WFC)	A	Dividend	K	T	Sold (part)	07/12/16	J	A	
62. --Wyndham Worldwide - (WYN)	A	Dividend			Sold	05/16/16	J	A	
63. --Schwab Strategic Tr Emerging - Mkts Equity (SCHE) ETF - SCHE	A	Dividend	L	T	Buy (add'l)	03/09/16	J		
64.					Buy (add'l)	08/18/16	J		
65.					Buy (add'l)	10/26/16	J		
66.					Buy (add'l)	12/07/16	J		
67. --SPDR Consumer Discretionary Select Sector - (XLY)	A	Dividend			Sold	02/09/16	J		
68. --iShares S&P Mid-Cap 400 Growth (IJK)	A	Dividend	L	T	Sold (part)	04/18/16	J	A	

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69.					Sold (part)	11/10/16	J	A	
70. --IQ Hedge Multi-Strategy Tracker (QAI)	A	Dividend	M	T	Sold (part)	06/16/16	K	A	
71. --iShares MSCI ETF - (ACWI)	A	Dividend			Sold	01/15/16	K	A	
72. --SPDR S&P MidCap 400 (MDY)	A	Dividend	M	T	Sold (part)	11/10/16	K	A	
73. --iShares MSCI Canada Index (EWC)	A	Dividend	K	T	Buy	04/08/16	J		
74.					Buy (add'l)	06/16/16	J		
75.					Buy (add'l)	07/28/16	J		
76. --Powershares DWA - (PIE)	A	Dividend			Buy	03/09/16	J		
77.					Sold	12/07/16	J	A	
78. --iShares S&P SmallCap 600 Index - (IJR)	A	Dividend	L	T	Sold (part)	11/10/16	J	A	
79. --Driehaus Emerging Markets Growth- (DREGX)	A	Dividend	L	T	Buy (add'l)	12/22/16	J		
80. --Vanguard Intern Term Tax-Exempt Admiral (VWIUX)	C	Dividend	N	T	Sold (part)	03/09/16	K	B	
81. --Vanguard Ltd Term Tax Exempt Admiral (VMLUX)	A	Dividend	M	T	Sold (part)	03/09/16	K	A	
82. --Vanguard REIT Index ETF (VNQ)	A	Dividend	K	T	Sold (part)	09/09/16	J	A	
83.					Sold (part)	10/05/16	J	A	
84.					Sold (part)	11/10/16	J	A	
85. --Vanguard FTSE Europe - (VGK)	A	Dividend			Sold	11/04/16	M	B	

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86. --Vanguard Pacific Stock - (VPL)	B	Dividend	L	T	Buy (add'l)	04/01/16	K		
87.					Buy (add'l)	08/25/16	J		
88.					Buy (add'l)	11/10/16	J		
89. --Schwab Internationl Equity -(SCHF)	A	Dividend	L	T	Buy	11/04/16	M		
90.					Sold (part)	12/27/16	L	A	
91. --iShares Dow Jones US Oil - (IEO)	A	Dividend	J	T	Buy	12/16/16	J		
92. TRUST #3									
93. --Schwab Money Market	A	Int./Div.	J	T					
94. --iShares S&P 500 Growth Index - (IVW)	A	Dividend	J	T	Sold (part)	06/16/16	J	A	
95.					Sold (part)	07/27/16	J	A	
96. --iShares S&P Mid-Cap 400 Growth - (IJK)	A	Dividend	J	T	Sold (part)	06/16/16	J	A	
97. --SPDR S&P MidCap 400 - (MDY)	A	Dividend	L	T	Sold (part)	06/16/16	J	A	
98. --iShares S&P SmallCap 600 Growth ETF - (IJT)	A	Dividend	J	T	Sold (part)	07/27/16	J	A	
99. --iShares S&P SmallCap 600 Index (IJR)	A	Dividend	J	T	Sold (part)	07/27/16	J	A	
100. --Vanguard FTSE Europe - (VGK)	A	Dividend	K	T	Sold (part)	01/11/16	L	A	
101.					Sold (part)	07/27/16	J	A	
102. --Vanguard Emerging Markets (VWO)	A	Dividend			Sold	01/11/16	J	A	

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103. --Vanguard REIT Index ETF (VNQ)	A	Dividend			Sold (part)	10/17/16	J	A	
104.					Sold	12/1/16	J	A	
105. --IQ Hedge Multi-Strategy Tracker - (QAI)	A	Dividend	J	T					
106. --iShares Core US Aggregate Bond - (AGG)	A	Dividend	J	T	Sold (part)	07/27/16	J	A	
107. --Vanguard Pacific Stock (VPL)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		
108. --iShares S&P 500 Value (IVE)	A	Dividend	J	T	Sold (part)	06/16/16	J	A	
109. --Schwab Strategic Tr Emerging Mkts Equity - (SCHE)	A	Dividend	K	T	Buy	01/11/16	K		
110.					Sold (part)	06/16/16	J	A	
111.					Sold (part)	07/27/16	J	A	
112. --SPDR DoubleLine Total Return - (TOTL)	A	Dividend	J	T	Buy	10/17/16	J		
113.									
114. New Your Life SEP Premium Plus Elite Variable Annuity									
115. --MainStay VP Int'l Equity	A	Dividend			Sold	09/06/16	L	E	
116. --MainStay VP Hi Yd BD	A	Dividend			Sold	09/06/16	K	F	
117. --Fidelity Contra Fund	A	Dividend			Sold	09/06/16	L	E	
118. --Fidelity VIP Mid Cap SC@	A	Dividend			Sold	09/06/16	L	F	
119. --MainStay VP U.S. Small Cap	A	Dividend			Sold	09/06/16	L	F	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Carman, Mark L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --MainStay Mid Cap Core	A	Dividend			Sold	09/06/16	L	F	
121. --MainStay VP MFS Utilities	A	Dividend			Sold	09/06/16	K	E	
122. Thrivent Municipal Cond Fund-A	A	Dividend	L	T					
123. Thrivent Diversified Income Plus Fund A	A	Dividend	J	T					
124. Templeton Global Advisors Limited	C	Dividend	K	T					
125. Templeton Investment Consel LLC	A	Dividend	K	T					
126. Pacific Life Insuarne Whole Life Policy	A	Interest	J	T					
127. Brokerage Acct #2									
128. --Nortner Calif Prw Bond	A	Interest			Redeemed	01/04/16	J	A	
129. --Berkshire Hathaway		None	J	T	Sold (part)	08/18/16	J	B	
130.					Sold (part)	10/07/16	J	A	
131. --Schwab Cash	A	Interest	J	T					
132. IRA #1									
133. --Microsoft	A	Dividend	J	T					
134. --Schwab Cash	A	Interest	J	T					
135. IRA #2									
136. --Schwab Money Market	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Carman, Mark L.

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06/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --iShares Barclarys Aggregate Bond (AGG)	A	Dividend	J	T					
138. --iShares S&P 500 Citigroup Value Indes (IVE)	A	Dividend	J	T	Sold (part)	05/24/16	J	A	
139. --iShares S&P 500 Growth Indes (IVW)	A	Dividend	K	T	Sold (part)	05/24/16	J	A	
140. --iShares S&P MidCap 400 Growth (IJK)	A	Dividend	J	T					
141. --SPDR S&P MidCap 400 (MDY)	A	Dividend	J	T					
142. --iShares S&P SmallCap 600 (IJT)	A	Dividend	J	T					
143. --Vanguard Eurpoean Stock (VGK)	A	Dividend	J	T					
144. --Vanguard Emerging Market (VWO)	A	Dividend			Sold	01/11/16	J	A	
145. --Vanguard Corp Bond (VCSH)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		
146. --Vanguard REIT Index	A	Dividend	J	T					
147. --IQ Hedge Multi-Strategy Trachker (QAI)	A	Dividend	J	T					
148. --iShares S&P Small Cap Core (IJR)	A	Dividend	J	T					
149. --Vanguard Pacific Stock (VPL)	A	Dividend	J	T	Buy (add'l)	04/18/16	J		
150. IRA #3									
151. --SPDR DoubleLine Total Return (TOTL)	A	Dividend	J	T	Buy	10/17/16	J		
152. --SPDR Barclays Capital Short Term High Yield (SJNK) Bond - SJNK	A	Dividend	J	T	Buy	10/17/16	J		
153. --SPDR Utilities Select Sector - (XLU)	A	Dividend	J	T	Buy	10/17/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Carman, Mark L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Guggenheim S&P 500 Equal Weight - (RSP)	A	Dividend	J	T	Buy	12/13/16	J		
155. --First Trust Dow Jones Internet Index - (FDN)	A	Dividend	J	T	Buy	09/20/16	J		
156. --SPDR Materials Select Sector - (XLB)	A	Dividend	J	T	Buy	09/20/16	J		
157. --Vanguard Intermediate Term Corp Bond Index - (VCIT)	A	Dividend	J	T	Buy	09/20/16	J		
158. --iShares 3-7 Year Treasury Bond -(IEI)	A	Dividend	J	T	Buy	09/20/16	J		
159. --Vanguard Mortgagebacked Securities Index (VMBS) ETF - VMBS	A	Dividend	J	T	Buy	10/17/16	J		
160. --Vanguard Market Neutral Investor - (VMNFX)	A	Dividend	J	T	Buy	10/17/16	J		
161. --iShares S&P SmallCap 600 Growth ETF - (IJT)	A	Dividend	J	T	Buy	09/20/16	J		
162. --iShares MSCI Canada Index - (EWC)	A	Dividend	J	T	Buy	10/17/16	J		
163. --SPDR Energy Select Sector - (XLE)	A	Dividend	J	T	Buy	10/17/16	J		
164. --Schwab Hedged Equity - (SWHEX)	A	Dividend	J	T	Buy	09/20/16	J		
165. --Guggenheim S&P 500 Equalwe Cons ETF - (RHS)	A	Dividend	J	T	Buy	10/17/16	J		
166. --iShares S&P SmallCap 600 Index - (IJR)	A	Dividend	J	T	Buy	10/17/16	J		
167. --AQR Managed Futures Strategy N - (AQMNX)	A	Dividend	J	T	Buy	10/17/16	J		
168. --SPDR Industrial Select Sector - (XLI)	A	Dividend	K	T	Buy	09/20/16	K		
169. --SPDR Health Care Select Sector - (XLV)	A	Dividend	K	T	Buy	10/17/16	K		
170. --Rydex S&P Equal Weight Financial - (RYF)	A	Dividend	K	T	Buy	10/17/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Carman, Mark L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/06/16	J		
172. --SPDR S&P MidCap 400 - (MDY)	A	Dividend	K	T	Buy	09/20/16	K		
173. --Vanguard Information Technology - (VGT)	A	Dividend	K	T	Buy	09/20/16	K		
174. --iShares MSCI USA - (MTUM)	A	Distribution			Buy	10/17/16	J		
175.					Sold	12/13/16	J	A	
176. --IQ Hedge mult-Strategy (QAI)	A	Dividend	K	T	Buy	09/20/16	K		
177. --iShares S&P Mid Cap (IJK)	A	Dividend	J	T	Buy	09/20/16	J		
178. --Schwab Emerging Markets (SCHE)	A	Dividend	K	T	Buy	09/20/16	K		
179.					Buy (add'l)	10/17/16	J		
180. --SPDR Fund Consumer (XLY)	A	Dividend	J	T	Buy	10/17/16	J		
181. --Vanguard Telecommunications (VOX)	A	Dividend	J	T	Buy	10/17/16	J		
182. --Vanguard FTSE Pacific (VPL)	A	Dividend	K	T	Buy	09/20/16	K		
183. --Vanguard Information Tech (VGT)	A	Dividend	K	T	Buy	09/20/16	K		
184. --Vanguard REIT (VNQ)	A	Dividend	J	T	Buy	09/20/16	J		
185.									
186.									
187.									

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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Name of Person Reporting

Carman, Mark L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Carman, Mark L.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII Lines 114-126. This account was liquidated and rolled over into what is now labled IRA#3

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Name of Person Reporting

Carman, Mark L.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mark L. Carman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544