

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Urbanski, Michael F.	2. Court or Organization Virginia - Western District	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 210 Franklin Road, SW Roanoke, VA 24011-2208		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Urbanski, Michael F.

Date of Report

05/14/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	- part-time (wages)
2. 2018	Gardener - self-employed (occasional)
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Virginia CLE	Jan. 8-11, 2018	Charlottesville, VA	National Trial Advocacy College (teaching/mock trial judging)	Meals, Lodging
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Urbanski, Michael F.

Date of Report

05/14/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Urbanski, Michael F.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank (cash)	A	Interest	M	T					
2. Parkway Acquisition Corp. PKKW:US - Common Stock	A	Dividend	K	T					
3. MetLife Policyholder Trust	A	Dividend	J	T					
4. Brighthouse Financial BHFC (Y)									
5. Real Estate/ Carroll Co., VA		None	L	S					
6. Northwestern Mutual - Ordinary Life	B	Dividend	K	T					
7. Northwestern Mutual - Custom CompLife	B	Dividend	L	T					
8. Northwestern Mutual - Term to Age 65	B	Dividend	K	T					
9. Northwestern Mutual - 90 Life	B	Dividend	K	T					
10. AXA Group IRA Equivest 100 Series (variable annuity) (H)									
11. AXA Equitable IRA/Multimanager Aggressive Equity Fund		None	J	T					
12. Trust #1 (H)									
13. USAA Subscriber's Account (cash) (Y)									
14. USAA Life Ins. Co. Annuity (fixed) #1	C	Interest			Matured	07/31/18	N		
15. USAA Life Ins. Co. Annuity (fixed) #2	A	Interest	J	T					
16. USAA Life Ins. Co. Annuity (fixed) #3	A	Interest	K	T					
17. USAA Life Ins. Co. Annuity (fixed) #4		None	N	T	Buy	07/31/18	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Urbanski, Michael F.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Ist Advantage Federal Credit Union (cash)	A	Dividend	J	T					
19. American General Life Insurance - Whole Life	A	Dividend	J	T					
20. Wells Fargo Advisors Cash Account (cash) (Y)									
21. Invesco High Yield Muni. Fd CL Y (ACTDX)	A	Dividend	J	T	Sold (part)	03/29/18	J	A	
22. API Funds, Yorktown Multi-Asset Income Fund (APIIX)	A	Dividend	K	T					
23. Delaware Grp Global & Intl Funds Emerging Markets Fd. (DEMIX)	A	Dividend	K	T	Sold (part)	06/26/18	J	B	
24. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
25. Federated Equity Funds, Strategic Value Divid. Fd. Instl (SVAIX)	C	Dividend	K	T					
26. Janus Invst Fd. Henderson Global Equity Income CL I (HFQIX)	B	Dividend	J	T	Sold (part)	01/02/18	J	A	
27.					Sold (part)	09/25/18	J		
28. Legg Mason Clbrdg Agg Growth I (SAGYX)	D	Dividend	K	T					
29. T. Rowe Price Real Estate Fund (TRREX)	A	Dividend	J	T	Sold (part)	01/02/18	J		
30.					Sold (part)	09/25/18	J		
31. Touchstone Mid Cap Inst. (TMCPX)	A	Dividend	K	T	Sold (part)	09/25/18	J	B	
32. Undiscovered Mgrs Behavioral Value I (UBVLX)	C	Dividend	K	T					
33. CUNA Single Premium Immediate Income Annuity (fixed)		None	M	T	Buy	11/27/18	M		
34. Trust #1 - CUNA Mutual Members Variable Annuity (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Urbanski, Michael F.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Ultra Series Fund Large Cap Growth		None			Matured	11/27/18	J		
36. Ultra Series Fund Large Cap Value		None			Matured	11/27/18	J		
37. Ultra Series Fund Diversified Income		None			Matured	11/27/18	J		
38. Vanguard VIF Money Market		None			Matured	11/27/18	K		
39. CUNA 1-Year Guaranteed Return	C	Interest			Matured	11/27/18	M		
40. CUNA 7-Year Guaranteed Return	B	Interest			Matured	11/27/18	K		
41. Ultra Series Fund Mid Cap		None			Matured	11/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Urbanski, Michael F.

Date of Report

05/14/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 5: One-half interest in real estate in Carroll County, Virginia. The assessed value of the entire parcel is \$163,300.

Part VII, lines 6-9: Whole life policies.

Part VII, line 8: This policy includes a cash value.

Part VII, lines 17 and 33: These items do not generate reportable income as defined on page 41 of the filing instructions.

Part VII, lines 22, 23, 25, 28, and 32: Name changes; see 2017, lines 21, 23, 25, 31, and 39, respectively.

Part VII, lines 34-41: Income on the assets held in the CUNA Mutual Members Variable Annuity (apart from the fixed portion at lines 39 and 40) is not reported by CUNA Mutual.

In accordance with page 45 of the filing instructions, fixed amounts received from individually owned annuity contracts are no longer reported as transactions.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Urbanski, Michael F.

Date of Report

05/14/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael F. Urbanski**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544