

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WilkinsonIII, James H.	2. Court or Organization Fourth US Circuit Court of Appeals	3. Date of Report 05/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Circuit Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 255 W. Main Street Room 230 Charlottesville, VA 22902		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. to date	I will receive no salary from my former employer, the University of Virginia Law School. There will be no continuing participation (contd Part VIII)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Duke Law School

March 12 -13

Durham, NC

Delivered Lecture

Lodging/Meals

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1									
2. Albemarle Corp Common Stock	A	Dividend	L	T					
3. AT&T Common Stock	D	Dividend	M	T					
4. Deere & Co Common Stock	D	Dividend	N	T					
5. Dupont E I De Nemours & Co Common Stock	C	Dividend	M	T					
6. Duke Energy Corp Common Stock	C	Dividend	L	T					
7. General Electric Co Common Stock	C	Dividend	M	T					
8. Hewlett Packard Common Stock	C	Dividend			Sold (part)	07/26/12	K	D	
9.					Sold	11/23/12	L	F	
10. Johnson & Johnson Common Stock	B	Dividend	K	T					
11. Kraft Common Stock	A	Dividend	J	T					
12. Lily Ely & Co Common Stock	B	Dividend	L	T					
13. Merck & Co Comon Stock	C	Dividend	L	T					
14. Mondelez Corp Common		None	J	T	Spinoff (from line 11)	10/05/12	J		
15. Pfizer & Co Common Stock	B	Dividend	K	T					
16. Proctor & Gamble Co Common Stock	D	Dividend	N	T					
17. Providence & Worcester RR Common Stock	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Royal Dutch Petroleum Shell Co Common Stock	D	Dividend	M	T					
19. Spectra Energy Corp Common Stock	B	Dividend	L	T					
20. SunTrust Bank Inc Common Stock	A	Dividend	K	T					
21. Ridgeworth Fd - Large Cap Core Growth Fund	A	Dividend	K	T					
22. Ridgeworth Fd - Sm Cap Val Equity	C	Dividend	L	T					
23. Ridgeworth Fd - MidCap Value Eqty	B	Dividend	M	T					
24. Ridgeworth Fd - Intl Equity	D	Dividend	N	T					
25. Ridgeworth Fd - Va Inter Mun Bond	F	Interest	P1	T	Buy (add'l)	01/24/12	K		
26. Vanguard Fd- Intl Eqty IDX MSCI Emerg Mkt	B	Dividend	K	T					
27. Federated Strategic Value Fd	C	Dividend	M	T	Buy	01/24/12	L		
28.					Buy (add'l)	07/27/12	K		
29.					Buy (add'l)	11/23/12	K		
30. Ridgeworth Fd - US Govt Sec Ultra Short	A	Interest	M	T	Buy	02/12/12	L		
31.					Buy (add'l)	02/15/12	J		
32. Federated FD - TR MMMKT	A	Interest	L	T					
33.									
34. IRA #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Ridgeworth Fd - Mid Cap Value Equity	A	Dividend	J	T					
36. Ridgeworth Fd - Large Cap Growth	D	Dividend	K	T					
37. Ridgeworth Fd - Large Cap Value Equity	A	Dividend	K	T					
38. Ridgeworth Fd - Emerging Growth		None			Sold	02/03/12	J	A	
39. Ridgeworth Fd - Intl Equity		None	K	T	Buy	08/27/12	J		
40. Ridgeworth Fd - Intl Equity Index	A	Dividend			Sold	08/27/12	K	A	
41. Ridgeworth Fd - Small Cap Value Equity	A	Dividend	J	T	Buy	02/03/12	J		
42. Federated FD - TR MMMKT	A	Interest	K	T					
43.									
44. Trust #2									
45. Albemarle Corp Common Stock	A	Dividend	K	T					
46. AT&T Common Stock	B	Dividend	K	T					
47. Dupont E I De Nemours & Co Common Stock	A	Dividend	K	T					
48. Duke Energy Corp Common Stock	A	Dividend	J	T					
49. Hewlett Packard Common Stock	A	Dividend			Sold (part)	07/26/12	J	C	
50.					Sold	11/23/12	K	D	
51. Johnson & Johnson Common Stock	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Lincoln Natl Corp Common Stock	B	Dividend	M	T					
53. Merck & Co Common Stock	B	Dividend	K	T					
54. Pfizer & Co Common Stock	A	Dividend	K	T					
55. Royal Dutch Petroleum Shell Co Common Stock	B	Dividend	K	T					
56. Spectra Energy Corp Common Stock	A	Dividend	J	T					
57. Ridgeworth Fd -Agressive Growth		None	K	T					
58. Ridgeworth Fd - Va Inter Mun Bond	D	Interest	M	T	Buy (add'l)	07/24/12	J		
59. Federated FD - Strategic Value Fund	A	Dividend	K	T	Buy	11/23/12	K		
60. Federated FD - TR MMMKT	A	Interest	K	T					
61.									
62. Deposit Accounts									
63. SunTrust Interest Checking #1	A	Interest	K	T					
64. SunTrust Checking #2		None	J	T					
65. SunTrust Checking #3		None	J	T					
66.									
67. Trust #3									
68. Ridgeworth Fd - Small Cap Growth Stock		None			Sold	09/04/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Ridgeworth Fd - Intl Equity	B	Dividend	L	T					
70. Ridgeworth Fd - Large Cap Growth Stock	E	Dividend	M	T	Buy (add'l)	12/20/12	K		
71. Ridgeworth Fd - Large Cap Value Equity	C	Dividend	M	T					
72. Ridgeworth Fd - Mid Cap Value Equity	A	Dividend	K	T	Buy (add'l)	9/4/12	J		
73.					Buy (add'l)	12/20/12	J		
74. Ridgeworth Fd - Va Inter Mun Bond	D	Interest	N	T	Buy (add'l)	12/21/12	J		
75. Federated FD - TR MMMKT	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II: Agreements.

RE: I will rec, contd.... in any benefit plan provided by the University, although past pension benefits are vested. My mortgage was provided at a favorable rate available to faculty members and had to be refinanced within 6 months of leaving the University. The mortgage was paid in full on 02/09/1985.

Trust #1

My Revocable Trust

IRA

My current IRA-

Trust #2

Revocable Trust

Trust #3

Irrevocable GST Trust

This trust was created from Trust (her Revocable Trust became Irrevocable Interim Trust - after death) which was split into two shares, after IRS approval was received, My share later became this trust. When it was initially funded, it only held cash, but it has now been fully invested. The overall asset allocation now includes various asset classes represented by a diverse group of SunTrust Bank mutual funds (Ridgeworth Funds) and Federated money market funds.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James H. WilkinsonIII**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544