AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GIBBONS, JULIA S.	US COURT OF APPEALS SIXTH CIRCUIT	06/02/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE (ACTIVE)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2014 **to** 12/31/2014
7. Chambers or Office Address		
970 FEDERAL BUILDING 167 N MAIN STREET MEMPHIS, TN 38103		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/02/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2014	STATE OF TN: SALARY AS COMMISSIONER OF SAFETY & HOMELAND SECURITY
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	NORTHWESTERN UNIVERSITY	3/6/14-3/9/14	CHICAGO, IL	MOOT COURT	MEALS, TRANSPORTATION & LODGING
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	U.S. BANK	CREDIT CARD	K
2.	CHASE VISA	CREDIT CARD	K
3.			
4.			
5.			

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GIBBONS, JULIA S.	06/02/2015

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
2. IRA-EQUITABLE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
3. SUNTRUST-MEMPHIS, TN	A	Interest	J	T					
4. FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	K	T					
5. STATE OF TN 401(K)									
6. -FIDELITY PURITAN FUND	B	Dividend	K	T					
7. -NATIONWIDE BANK		None	J	T					
8. IRA ROLLOVER RETIREMENT PLAN									
9. -AMERICAN FUNDS:INVESTMENT FUND OF AMERICA	B	Dividend	J	T					
10. -FRANKLIN FDS: MUTUAL GLOBAL DISCOVERY	A	Dividend	J	T					
11. -IVY FDS: ASSET STRATEGY FUND	B	Dividend	J	T					
12. -REGIONS FDIC CASH ACCOUNT	A	Interest	J	T					
13. -CITIGROUP INC - STOCK	A	Dividend			Sold	05/07/14	J	A	
14. -VOYA EMERGING MARKET FUND CL A	A	Dividend	J	T					
15. -HIGHLAND LONG/SHORT EQUITY		None			Sold	04/24/14	J	A	
16. -PIMCO TOTAL RETURN CLASS A	A	Dividend	J	T	Sold (part)	02/11/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/02/2015

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
17.					Sold (part)	02/12/14	J	A	
18. -GOLDMAN SACHS GLOBAL CD		None	J	T					
19. -PACIFIC LIFE OPTIMIZATION MODERATE AGGRESSIVE CL A	A	Dividend	K	T					
20. -FIRST TRUST TACTICAL INCOME PORTFOLIO	A	Dividend			Sold (part)	03/31/14	J	A	
21.					Sold	04/04/14	J	A	
22. -ALTRIA GROUP	A	Dividend	J	T	Sold (part)	11/04/14	J	B	
23. -SUNAMERICA FOCUSED DIVIDEND STRATEGY	A	Dividend	J	T					
24. -FIRST TRUST MEGA CAP PORTFOLIO		None			Sold (part)	02/07/14	J	A	
25.					Sold (part)	03/25/14	K	B	
26.					Sold	03/31/14	J	A	
27. -CUI GLOBAL INC NEW		None			Buy	01/17/14	J		
28.					Sold	03/13/14	J	A	
29. -FUELCELL ENERGY		None			Buy	01/21/14	J		
30.					Buy (add'l)	02/11/14	J		
31.					Sold (part)	02/18/14	J	A	
32.					Sold	02/26/14	J	A	
33. -NOVAVAX INC		None			Buy	02/12/14	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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34.					Sold (part)	02/27/14	J	A	
35.					Buy (add'l)	04/09/14	J		
36.					Buy (add'l)	04/17/14	J		
37.					Sold	07/03/14	J	A	
38. -FIVE OAKS INVESTMENTS	A	Dividend			Buy	02/19/14	J		
39.					Buy (add'l)	05/22/14	J		
40.					Sold (part)	06/11/14	J	A	
41.					Sold	11/12/14	J	A	
42. -ARIAD PHARMACEUTICALS		None	J	T	Buy	03/12/14	J		
43. -THRESHOLD PHARMACEUTICALS		None			Buy	03/14/14	J		
44.					Buy (add'l)	05/22/14	J		
45.					Sold (part)	09/08/14	J	A	
46.					Sold	09/16/14	J	A	
47. -VEEVA SYSTEMS		None			Buy	03/26/14	J		
48.					Buy (add'l)	05/22/14	J		
49.					Sold	06/17/14	J	A	
50. -WINDSTREAM HOLDINGS INC		None			Buy	04/01/14	J		

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51.					Sold (part)	05/13/14	J	A	
52.					Sold	05/15/14	J	A	
53. -OLD SECOND BANCORP INC		None	J	T	Buy	04/11/14	J		
54. -SANCHEZ ENERGY CORP		None	J	T	Buy	04/23/14	J		
55.					Buy (add'l)	04/30/14	J		
56.					Sold	05/22/14	J	A	
57.					Buy	12/10/14	J		
58.					Sold (part)	12/24/14	J	A	
59. -AEROVIRONMENT INC		None			Buy	05/23/14	J		
60.					Buy (add'l)	06/26/14	J		
61.					Sold	07/09/14	J	A	
62. -REACHLOCAL INC		None	J	T	Buy	06/18/14	J		
63. -FIBROCELL SCIENCE INC		None	J	T	Buy	06/23/14	J		
64.					Buy (add'l)	08/11/14	J		
65. -KIRKLANDS INC		None			Buy	07/11/14	J		
66.					Buy (add'l)	07/17/14	J		
67.					Sold	11/20/14	J	A	

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68. -GT ADVANCED TECHS INC		None			Buy	08/06/14	J		
69.					Sold	08/18/14	J	A	
70. -ALIBABA GROUP HOLDING		None	J	T	Buy	09/16/14	J		
71. -ACORDA THERAPEUTICS		None			Buy	09/25/14	J		
72.					Sold	12/08/14	J	A	
73. -YAHOO INC		None	J	T	Buy	11/11/14	J		
74. -FACEBOOK INC CL A		None	J	T	Buy	12/05/14	J		
75. -POTASH CORP OF SAKATCHEWAN		None	J	T	Buy	12/09/14	J		
76. -INTRALINKS HOLDINGS		None	J	T	Buy	12/30/14	J		
77. AXA EQUITABLE LIFE INS CO	A	Interest	K	T					
78. AXA EQUITABLE LIFE INS CO: UNIVERSAL LIFE	B	Interest	J	T					

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Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/02/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SCHEDULE VII, LINE 14: NAME CHANGED FROM ING EMERGING MARKET FUND TO VOYA EMERGING MARKET FUND

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/02/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JULIA S. GIBBONS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544