

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sorokin, Leo T.	2. Court or Organization District Court, Massachusetts	3. Date of Report 10/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Court One Courthouse Way Boston, MA 02210 Suite 7400		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Self-employed - psychologist
2. 2012	Adjunct Professor, Boston College
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Yale University	3/21/2012	New Haven, CT	Speaking in Law School Class	Transportation and Food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Gold Reserve Line of Credit	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental/Vacation Property Massachusetts (2001, 273,100)	D	Rent	N	R					
2. Bank of America Accounts	A	Interest	L	T					
3. IRA #1									
4. -Fidelity Contrafund	A	Dividend	K	T					
5. -Fidelity Pacific Basin	A	Dividend	J	T					
6. IRA #2									
7. -Davis NY Venture Fund C	B	Dividend	K	T					
8. IRA #3									
9. -Amazon	A	Dividend	J	T					
10. -Wells Fargo Cash Account	A	Interest	J	T					
11. -Citigroup	A	Dividend	J	T					
12. -Allianz AGIC Opportunity Fund	A	Dividend	J	T					
13. -RCM Mid Cap Fund	B	Dividend	J	T					
14. -PIMCO FDS PAC Dev Inv't Mgmt	A	Dividend	K	T					
15. -Intentionally Blank									
16. Brokerage Acct#1									
17. -Wells Fargo Money Market Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Home Properties, Inc.	A	Dividend	J	T					
19. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
20. -Bristol Myers Squibb	A	Dividend	J	T					
21. -Wisdomtree Investments	A	Distribution	J	T	Buy	05/08/12	J		
22. -Healthcare Realty Trust, Inc.	B	Dividend	K	T					
23. -Aethena Health	A	Dividend	J	T					
24. -Berkshire Hathaway Series B	A	Dividend	J	T					
25. -this line intentionally blank									
26. -Otelco Inc.	A	Dividend	J	T					
27. -Intentionally Blank									
28. -Apple	A	Dividend	K	T					
29. -Sector Spdr Tech Select Sector	A	Dividend	K	T					
30. DWS Scudder High Income Trust	B	Dividend	K	T					
31. Matthews Pacific Tiger Fund	A	Dividend	K	T					
32. -Intentionally Blank									
33. Intentionally Blank									
34. IRA #4									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. -Vanguard 500 Index Fund Adm	A	Dividend	K	T					
36. -Vanguard Intermediate Term Investment Grade Fund	A	Dividend	J	T					
37. -Vanguard U.S. Value Fund	A	Dividend	J	T					
38. - Vanguard Emerging Markets Stock Index Adm	A	Dividend	K	T					
39. Brokerage Acct#2									
40. -Vanguard U.S. Value Fund	A	Dividend	J	T					
41. Brokerage Acct#3									
42. -Vanguard 500 Index Fund	A	Dividend	J	T					
43. -Vanguard Long Term treasury Fund	B	Dividend	K	T					
44. -Vanguard Extended Market Index Fund	A	Dividend	J	T					
45. Brokerage Acct#4									
46. -American Century Income and Growth Fund	A	Dividend	K	T					
47. IRA #5									
48. -American Century Equity Income	A	Dividend	J	T					
49. -American Century Prime Money Market	A	Interest	K	T					
50. -American Century Small Cap Value Fund	A	Dividend	J	T					
51. Brokerage Acct#5									

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52. -American Century Equity Income Fund	A	Dividend	K	T					
53. Brokerage Acct#6									
54. -American Century Gifttrust Fund	A	Dividend	J	T					
55. Brokerage Acct#7									
56. -American Century Gifttrust Fund	A	Dividend	J	T					
57. Limited Partnership Bear Hollow Lender		None	J	U					
58. -Intentionally blank									
59. Limited Partnership 7400	D	Int./Div.	J	U					
60. Limited Partnership Bear Hollow Lender		None	J	U					
61. Limited Partnership Wolf Paca I	E	Int./Div.	J	U					
62. Limited Partnership Joppa East	A	Int./Div.	J	U					
63. Trust #3	C	Int./Div.	J	W	Distributed	12/31/12	M		Note - No gain on distribu
64. -Columbia Bank Account									
65. -Wells Fargo Bank Deposit Sweep									
66. -Fund Vanguard FTSE All World Index					Sold	12/13/12	J	A	
67. -Stock Pepco holdings					Sold	12/13/12	J	A	
68. -Merck					Sold	12/13/12	J	A	

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69. -Exelon					Buy	3/19/12	J		
70.					Sold	12/13/12	J	A	
71. -Stock Walmart					Sold	12/13/12	J	B	
72. -Fund Nuveen Muni Value Fund					Sold	12/13/12	J	A	
73. -Fund Pimco Muni Income Fund III					Sold	12/13/12	J	A	
74. -Limited Partnership Mayfield W					Sold	12/13/12	J	A	Note - Purchase by family
75. -Bear Hollow Lender									
76. -Exxon Mobil					Sold	12/13/12	J	A	
77. -Constellation Energy Group					Redeemed	3/12/12	J	A	See Note
78. -Duke Energy Corp					Sold	12/13/12	J	A	
79. -Fastenal					Sold	12/13/12	J	B	
80. -Ishares Barclays Tips Bond Fund					Sold	8/27/12	J	B	
81. -Lockheed Martin Company					Sold	12/13/12	J	A	
82. -MetLife					Sold	12/13/12	J	A	
83. -Pepsico					Sold	12/13/12	J	A	
84. -Vodafone Group					Sold	12/13/12	J	A	
85. -Stock AGL Res					Sold	12/13/12	J	A	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value				

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86. -Target					Sold	12/13/12	J	A	
87. -Intentionally blank									
88. -Stock Coca Cola					Sold	12/13/12	J	B	
89. -Stock Nextera					Sold	12/13/12	J	A	
90. -Stock McCormick & Co Non Vtg MkC					Sold	12/13/12	J	B	
91. -McDonald's Corp					Sold	12/13/12	J	B	
92. -Stock Partner Communications					Sold	12/13/12	J	A	
93. -Chlorox					Sold	12/13/12	J	A	
94. -SPDR S&P Int. ETF					Buy	08/27/12	J		
95.					Sold	12/13/12	J	A	
96. [REDACTED] Limited Partnership		None	M	T					
97. Brokerage Account 11									
98. -Vanguard 500 Index Fund	A	Dividend	J	T					
99. Brokerage Account 12A									
100. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
101. -Matthews Pacific Tiger Fund	A	Distribution	J	T					
102. -Home Properties, Inc.	A	Dividend	J	T					

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103. -Wisdomtree Investments		None	J	T	Buy	5/3/12	J		
104. Brokerage Account 12B									
105. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
106. -Sterling Bank Corp.	A	Dividend	J	T					
107. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
108. Brokerage Account 13A									
109. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
110. -Matthews Pacific Tiger	A	Dividend	J	T					
111. Brokerage Account 13B									
112. -Wells Fargo Bank Deposit Sweep Option	A	Int./Div.	J	T					
113. -Sterling Bank Corp	A	Dividend	J	T					
114. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
115. Brokerage Account 14A									
116. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
117. -Matthews Pacific Tiger	A	Dividend	J	T					
118. Brokerage Account 14B									
119. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					

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120. -Sterling Bank Corp	A	Dividend	J	T	Buy	10/20/12	J		
121. Trust #4	C	Int./Div.	M	W					See Note
122. -Raymond James Bank Deposit Program									
123. - Fund Davis New York Venture Fund Class A					Sold	10/18/12	J	A	
124. - Intentionally Blank									
125. - Fund Royce Opportunity Fund Investment Class N/L									
126. - Fund The Third Avenue Value Fund N/L									
127. - Fund GDL					Sold	3/28/12	J	A	See Note
128. - ISHARES TR S&P500 GRW					Sold	3/28/12	J	A	
129. -Stock American Tower Corp. Class A					Sold	2/10/12	J	C	
130. -Fund Oppenheimer DevMkts Fund									
131. - Stock El Paso Corporation					Merged (with line 132)	6/1/12	J	D	
132. - Kinder Morgan					Buy	7/9/12	J		
133. - Fund Thornburg Int'l Value Fund									
134. -Berkshire Hathaway B					Buy	7/9/12	J		
135. -Crown Castle					Buy	2/10/12	J		
136. - Stock Alleghany Corp									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sorokin, Leo T.

Date of Report

10/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Flow 2006 LLC									
138. -PNC Bank Account									
139. -Fund Hartford Capital Appreciation Fund Class A									
140. -Fund CGM Advisor Target Equity FundClass A					Sold	12/20/12	J	C	
141. -Liberty Media					Buy	10/18/12	J		
142. -Fund Third Avenue Focused Credit Fund Investor Class									
143. -Longleaf Small-cap Fund					Buy	3/29/12	J		
144. -Putnam Capital Spectrum					Buy	12/17/12	J		
145. -Arbitrage Fund					Buy	4/2/12	J		
146. Janus Worldwide Fund	A	Dividend	J	T					
147. Trust #5	E	Dividend	N	W					See Note
148. -Wells Fargo Bank Deposit Sweep									
149. -Stock Sector Spdr TR Tech Select Sector XLK									
150. -Bacays Bank PLC Medium Term Note					Sold	08/20/12	K	A	
151. -Dow Chemical Co Internotes									
152. -Richie Associates LLC									
153. -Bear Hollow Lender LLC									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Gunpowder W Corp.									
155. -Joppa East Limited Partnership									
156. -Mayfield W, Inc.									
157. -MHW, LLC									
158. -Exploration Capital 2005									
159. -Madison LLC					Sold	8/20/12	K	A	See Note
160. -Wolf Realty Corp.									
161. -Intentionally Blank									
162. -Tenn Valley Authority Bond									
163. -VSECU Bank Account									
164. -Merck									
165. -GSV Capital					Buy	5/4/12	J		
166. -Loan					Buy	4/26/12	L		See Note
167. Brokerage Account 15									
168. -Matthews Pacific Tiger Fund	A	Dividend	J	T					
169. Brokerage Account 20									
170. -Wells Fargo Money Market	A	Int./Div.	J	T	Buy	10/15/12	J		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. BA 17									
172. -Indexiq ETF Tr IQ ARB Global Res ETF	A	Dividend	J	T					
173. -astom/Fairpoint Mid Cap fund	A	Dividend	J	T					
174. -Acadian Emerging Markets Port Instl	A	Dividend	J	T					
175. -Allianz NFJ Small Cap Value Class I	A	Dividend	J	T					
176. -American Mutual Fund CI F2	A	Dividend	J	T					
177. -Brown Capital Mgt Small C. Inv.	A	Dividend	J	T					
178. -Cohen & Steers Realty Shares	A	Dividend	J	T					
179. -Columbia Acorn international CI Z	A	Dividend	J	T					
180. -Spartan 500 Index FD Investor Class	A	Dividend	J	T					
181. -Fidelity Advisor New Insights cl I	A	Dividend	J	T					
182. -Harbor International Institutional	A	Dividend	J	T					
183. -Artio Total Return Bond Fund CI I	A	Dividend	J	T					
184. -Fidelity Floating Rate High Income	A	Dividend	J	T					
185. -Fidelity Total Bond	A	Dividend	J	T					
186. -PIMCO Total Return Instl	A	Dividend	J	T					
187. -Osterweis Strategic Income Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Sorokin, Leo T.

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10/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Cohen & Steers Intl Realty Inc. C11	A	Dividend	J	T					
189. -IQ Alpha Hedge Strategy Instl	A	Dividend	J	T					
190. -Putnam Absolute Return 700 Fund	A	Dividend	J	T					
191. -Fidelity Cash Reserves	A	Int./Div.	J	T					
192. Trust #6	A	Interest	N	T					See Note
193. -Sterling Bank Corp					Sold	12/21/12	J	A	
194. -Berkshire Bank Account	A	Int./Div.	J						
195. -Single Family Home, West Hartford, CT			L	T					
196. Trust #7	A	Int./Div.	M	T					
197. -GE Interest Plus									
198. -Schwab Adv Cash Reserve									
199. -Schwab US Treasury Money Fund									
200. -Caterpillar Fin Powernotes Due 5/15/13									
201. -Gen Elec Cap CP Note Due 7/15/13									
202. -Historic TW Inc Bonds due 1/15/13									
203. -Kraft Foods Inc Notes due 10/1/13									
204. -Protective Life Internotes Due 2/15/13									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Connecticut St Go UTX Due 6/1/13									
206. -Connecticut St Go UTX due 1/1/15									
207. -Wisconsin St Tran Due 7/1/13									
208. -Bank Baroda NY CD Due 2/19/13									
209. -TIB Independent Bankers CD Due 1/30/13									
210. -AFLAC Inc.									
211. -AVNET									
212. -CDI Corp									
213. -Danaher Corp									
214. -Forest City Ent									
215. -General Electric Co.									
216. -Graco Inc.									
217. -Johnson & Johnson									
218. -Noble Corp									
219. -Pepsico Inc.									
220. -Procter & Gamble									
221. -Total Systems Services									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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222. BA 18									
223. -Schwab Adv Cash Reserves	A	Int./Div.	K	T					
224. -American Exp CR Notes Due 8/20/12	A	Int./Div.	J	T	Buy	12/20/12	J		
225. -Case New Holland Notes due 9/1/13	A	Int./Div.	J	T					
226. -General Electric Cap Internotes Due 3/15/13	A	Int./Div.	J	T					
227. -Greif Brothers Notes due 2/1/17	A	Int./Div.	J	T					
228. -Ingles Mkts Notes due 5/15/17	A	Int./Div.	J	T					
229. -Marriott Intern Notes due 2/15/13	A	Int./Div.	J	T					
230. -Plum Crk Tmbrlnd Bonds due 3/15/21	A	Int./Div.	J	T					
231. -Centex HM Equity Flt 2033 Asset Backd due 3/25/33	A	Int./Div.	J	T					
232. -Wells Fargo Mtg Fm 2033 REMIC due 3/25/33	A	Int./Div.	J	T					
233. -Bank Baroda NY CD due 2/19/13	A	Int./Div.	J	T					
234. -TIB Independent Bankers CD Due 1/30/13	A	Int./Div.	J	T					
235. -Acuity Brands	A	Dividend	J	T					
236. -Auto Data Processing	A	Dividend	J	T					
237. -BOFI Holding Inc.	A	Dividend	K	T					
238. -CISCO Systems	A	Dividend	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -General Electric Co.	A	Dividend	J	T					
240. -International Business Machines	A	Dividend	K	T					
241. -Japan Smaller Cap Fund	A	Dividend	J	T					
242. -Johnson & Johnson	A	Dividend	J	T					
243. -LICHT Corp	A	Dividend	J	T					
244. -PPG Industries	A	Dividend	J	T					
245. -Reading International Class A	A	Dividend	J	T					
246. -St Jude Medical	A	Dividend	J	T					
247. -Stone Harbor Emerging FD	A	Dividend	J	T					
248. -United Parcel Service Class B	A	Dividend	J	T					
249. -Dynex Capital Inc. New REIT	A	Dividend	J	T					
250. -Scotia Pacific Bond due 7/20/28		None	J	T					
251. -Deluxe Corp Bond Due 12/15/12	A	Int./Div.			Redeemed	12/15/12	J	A	
252. -Everbank Note Due 12/28/12	A	Int./Div.			Redeemed	12/28/12	K	A	
253. Janus Worldwide Fund	A	Dividend	J	T					See note

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 13 This Mutual Fund changed its name from Allianz AGIC Target Fund C to the name listed on line 13 during 2012.

Line 63 Most of the assets of this trust were sold and the proceeds distributed. The remaining asset is an interest in an illiquid limited partnership and an estimated value is provided.

Line 77 This asset was exchanged into shares of the asset listed on lines 69-70.

Lines 121, 147 (Trusts #s 4, 5) The valuation of this trust is listed as estimated because some of the assets of the trust are partial interests in illiquid limited partnerships. The value code was based upon the Cash Market value of the bank accounts and publicly traded assets combined with the trustee's estimate of the value of the partnership interests.

Line 127 (Trust #3) Gabelli Global Deal - the sale listed on the 2009 report of this entity was only a partial sale not a complete disposition of the asset and now the fund has changed its name

Line 159 The interest in this limited partnership was sold to another member of the LLC, Trisun Financial Group, LLC.

Line 166 In this transaction Trust 5 made a loan to Trust 4. Trust 4 invested this money in the asset listed on Line 137, however, this did not result in an increase in shares or ownership of the asset.

Line 192 Trust 6 - Upon the death of a family member, I became a trustee and inherited an interest in these assets.

Line 196 Trust 7 - Upon the death of a family member, I became a trustee and inherited an interest in these assets.

Line 171 & 222 BA 17 & 18 - Upon the death of a family member, I inherited the listed assets.

Line 253 - This mutual fund was not listed as its value was below \$1,000 at the end of 2011.

The following changes were made to my report in response to the October 22, 2013 Letter of Inquiry

For Lines 63, 103, 120, 170, 250, 251 and 252 columns B(1) and B(2) were completed (unless the answer in B(2) was none). For Lines 170, 251 and 252 columns C(1) and C(2) were completed. In addition, in line 252 a correction to the name of the investment was made by correcting the redemption date as part of the name.

For Line 57, the description of the asset was corrected. It is an interest in the limited partnership listed on line 60. Two sets of shares are held in this limited partnership. The columns regarding the income and gross value (Columns B and C) have been corrected for both lines 57 and 60.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Leo T. Sorokin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544