

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Batchelder, Alice M.	2. Court or Organization 6th Cir. Ct. of Appeals	3. Date of Report 06/28/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address [REDACTED] [REDACTED]		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Intellectual Property Law & Technology Advisory Council - University of Akron School of Law
2. Member	Board of Trustees - Grove City College - see note 1
3. Member	Board of Directors - Foundation for Research on Economics and the Environment
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	OPERS - pension
2. 2015	University of Akron School of Law and Bliss Institute -- teaching salary
3. 2015	Cleveland State University School of Law -- teaching salary
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Alliance Defending Freedom	August 4-6	Tucson, AZ	seminar presentation	transportation, lodging, and food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Nat'l Bank of Wyoming	Mtge/Note on Property # 23	K
2.	Farmers Savings Bank	Mtge/Note on Property # 9	L
3.	Westfield Bank	Mtge/Note on Properties 1, 8, 14, 20	M
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Account # 1 -- IRA		Dividend	L	T					
2. - Sequoia Financial Group Cash Account -- see note 2									
3. - iSHARES TR 1-3 yr Treas Index Fd					Sold	12/07/15	J	A	
4. - iSHARES Trust MSCI EAFE Index Fund					Sold	12/07/15	J	A	
5. - Vanguard INTL Equity ET Index FDS FTSE Emerging MKTS ETF					Sold	12/07/15	J	A	
6. -First Trust Dow Jones : Global Select Divident Index Fund					Sold	12/07/15	J	A	
7. - AMG Funds Yacktman Focused Fund Instl Class					Sold	12/07/15	J	A	
8. - First Eagle FDS Inc Global FD Cl I					Sold	12/07/15	J	A	
9. - FPA New Income Inc FPNIX					Sold	12/07/15	J	A	
10. - PIMCO Unconstrained BS Fund CL Institutional					Sold	12/07/15	J	A	
11. - PIMCO All Asset All Authority FD CL I					Sold	12/07/15	J	A	
12. - FPA FDS TR FPA Cresnet Portfolio Instl Cl Shs					Sold	12/07/15	J	A	
13. RPG Guggenheim S&P500 Pure Growth					Buy	12/07/15	J		
14. QAI IQ Hedge Multi Strategy					Buy	12/07/15	J		
15. PIZ Powershares DWA Dev. Mkt					Buy	12/07/15	J		
16. PCY Powershares Emerging Mkt					Buy	12/07/15	J		
17. PHB Powershares Fund Hi Yield					Buy	12/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. SCHE Schwab Emerging Mkt					Buy	12/07/15	J		
19. FNDFSchwab Fundamental lg					Buy	12/07/15	J		
20. SCHF Schwab Intl Equity					Buy	12/07/15	J		
21. SCHZ Schwab US Aggr. Bond					Buy	12/07/15	J		
22. SCHV Schwab US large value					Buy	12/07/15	J		
23. RWO SPDR Dow Jones Global					Buy	12/07/15	J		
24. GII SPDR S&P Global Infra					Buy	12/07/15	J		
25. Account #2 - IRA	B	Dividend	M	T					
26. -Sequoia Financial Group Cash Account see note 2									
27. -iSHARES TR 1-3 yr Treas Index Fund					Sold	12/07/15	J	A	
28. -iSHARES 1-3 Yr. Credit Bond					Sold	12/07/15	J	A	
29. -JM Smucker Co stock					Sold	12/07/15	J	A	
30. -RPM Intl. Inc					Sold	12/07/15	J	A	
31. -Vanguard Div. Appreciation					Sold	12/07/15	J	A	
32. -Vanguard Intl Equity Index Fds FTSE Emerging Mkts ETF					Sold	12/07/15	J		
33. -AMG Funds Yacktman Focused Fund Instl Class					Sold	12/07/15	J	A	
34. -First Eagle FTS Inc Global FD Cl I					Sold	12/07/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - FPA New Income IN FPNIX					Sold	12/07/15	K	A	
36. - PIMCO Unconstrained RD Fund CL Inst. PFIUX					Sold	12/07/15	J	A	
37. - PIMCO All Asset All Authority Fd Cl I					Sold	12/07/15	J	A	
38. - FPA FDS TR FPA Crescent Portfolio Instl Cl Shs					Sold	12/07/15	J	A	
39. - First Trust Industrials -- see note 3					Sold	06/27/14	J	A	
40. - Putnam Div. Inc. Tr. -- see note 3					Sold	06/27/14	K	B	
41. RPG					Buy	12/07/15	J		
42. QUI					Buy	12/07/15	J		
43. PIZ					Buy	12/07/15			
44. PCY					Buy	12/07/15	J		
45. PHB					Buy	12/07/15	J		
46. SCHE					Buy	12/07/15	J		
47. FNDF					Buy	12/07/15	J		
48. SCHF					Buy	12/07/15	J		
49. SCHZ					Buy	12/07/15	J		
50. SCHV					Buy	12/07/15	J		
51. RWD					Buy	12/07/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. GIL					Buy	12/07/15	J		
53. Account #3 - IRA	B	Dividend	K	T					
54. - Sequoia Financial Group Cash Account -- see note 2									
55. - Midcap 400 Value Index Fund									
56. - Midcap 400 Growth Index Fund									
57. - iSHARES TRUST MSCI EAFEIndex Fund									
58. - iSHARES BOXX Investment Grade Corp									
59. - iSHARES TR 1-3 yr Treas Index Fund									
60. - iSHARES S&P 500 Value Index Fund									
61. - iSHARES S&P 500 Growth Index Fund									
62. Real Estate (H) - see Part VIII, note 5									
63. Prop. # 1 -- duplex -- Medina, Ohio	D	Rent	M	Q					
64. Prop. # 2 -- 2-family -- Medina, Ohio (1/3 interest)	C	Rent	K	Q					
65. Prop. # 3 -- Single family -- Medina, Ohio (1/3 interest)	B	Rent	K	Q					
66. Prop. # 4 -- 4 duplex units -- Medina, Ohio (1/2 interest)	D	Rent	M	Q					
67. Prop. # 5 -- 2 duplex units -- Medina, Ohio (1/2 interest)	D	Rent	L	Q					
68. Prop. # 6 -- 2-fam. & 3-fam. Houses -- Medina, OH (1/2 int)	D	Rent	L	Q					

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69. Prop. # 7 -- Apartments -- Medina, Ohio (1/3 interest)	E	Rent	N	Q					
70. Prop. # 8 -- 2 duplex units -- Medina OH	D	Rent	M	Q					
71. Prop. # 9 -- Farm -- Lodi, OH	D	Rent	O	Q					
72. Prop. # 10 -- hog feeder, Lodi, OH (1/5 int)		None	J	W					
73. Prop. # 11 -- single family -- Medina OH	D	Rent	M	Q					
74. Prop. # 12 -- single family -- Medina, OH (1/2 interest)	C	Rent	K	Q					
75. Prop. # 13 -- Commercial bldg -- Medina OH (2/3 interest)	E	Rent	N	Q					
76. Prop. # 14 -- duplex -- Medina, OH	D	Rent	M	Q					
77. Prop. # 15 -- vacant lot -- Medina, OH (1/2 interest)		None	K	Q					
78. Prop. # 16 -- Vacant land -- Medina, OH		None	M	Q					
79. Prop. # 17 -- single family -- Medina, OH	D	Rent	M	Q					
80. Prop. # 18 -- single family -- Medina OH (1/2 interest)	C	Rent	K	W					
81. Prop. # 19 -- commercial bldg -- Medina OH	D	Rent	M	Q					
82. Prop. # 20 -- Comm. Bldg with apt. -- Medina OH	D	Rent	M	Q					
83. Prop. # 21 -- Vacant land -- Big Horn County, WY	A	Rent	N	W					
84. Misc. (H)									
85. Floating Rate Demand Note -- GE Interest Plus	A	Interest			Redeemed	06/13/15	K	A	

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86. Farmers Savings Bank accounts	B	Interest	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: The "audit" feature advises me that I should list the assets of the entity of which I am a trustee. I am assuming that this is not true when that entity is an educational institution, and the audit has simply detected the term "trust" in the item. Otherwise, this requirement is ridiculous, and I cannot comply with it.

Note 2: We have changed brokers. This account was formerly the Wells Fargo Bank Deposit Sweep Account.

Note 3: I missed the sale of this asset in the 2014 report.

Note 4: The assets on lines 41-52 are identical to those on lines 13-24. I did not repeat the explanation of the abbreviations.

Note 5: Real Estate assets in Sec. VII whose value method is reported as "Q": I have again used the Medina County Auditor's most recent appraisal figures. I am unable to provide the date of the appraisal in any of these cases because it was not provided to me. These appraisals are the basis for our real estate taxes.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alice M. Batchelder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544