

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Andrews, Richard G.	2. Court or Organization District of Delaware	3. Date of Report 04/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2014 to 12/31/2014
7. Chambers or Office Address United States District Court 844 King Street, Box 19 Wilmington, Delaware 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	529 Plan #1
2.	Trustee	529 Plan #2
3.	Custodian	UGMA #2
4.	Trustee	Trust #1
5.	Trustee	Trust #2
6.	Executor	Estate #1

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

Villanova University School of Law, salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. NY Intellectual Property Law
Ass'n

3/27-29/2014

NY, NY

CLE and Dinner Honoring
Federal Judiciary

Hotel, transportation, meals

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CBS common	A	Dividend	J	T					
2. Manulife Financial common	A	Dividend	J	T					
3. Sun Life Financial common	C	Dividend	L	T					
4. Viacom B common	A	Dividend	J	T					
5. CREF Growth Stock		None	M	T					
6. CREF Stock		None	M	T					
7. Fidelity Blue Chip Value Fund	B	Dividend	M	T					
8. Fidelity Delaware College Portfolio (Fid Index) (529 Plan # 1)		None	K	T	Sold (part)	06/30/14	K	C	
9.					Sold (part)	12/22/14	K	C	
10. Fidelity Freedom 2020	D	Dividend	L	T					
11. John Hancock Cash Management IRA	A	Dividend	J	T					
12. TIAA Traditional	D	Interest	N	T					
13. T. Rowe Price Blue Chip Growth (UGMA #2)	D	Dividend	M	T					
14. T. Rowe Price Growth & Income IRA	A	Dividend	K	T					
15.	A	Distribution							
16. T. Rowe Price Health Sciences	D	Dividend	M	T					
17. T. Rowe Price New Income Fund IRA	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18.	B	Distribution			Sold (part)	12/01/14	J	A	
19. T. Rowe Price Prime Reserve #2 (UGMA #2)	A	Dividend	L	T					
20. T. Rowe Price Summit GNMA	B	Dividend			Merged (with line 21)	05/16/14	N		
21. T. Rowe Price GNMA	C	Dividend	N	T					
22. Invesco Van Kampen American Franchise Fund	C	Dividend	K	T					
23. Vanguard Capital Value Fund	D	Dividend	L	T					
24. Vanguard Emerging Markets Admiral	B	Dividend	K	T					
25. Vanguard High Yield Corporate Fund Inv.	B	Dividend			Merged (with line 26)	10/17/14	K		
26. Vanguard High Yield Corporate Admiral	A	Dividend	K	T					
27. Vanguard High Yield Tax Exempt Admiral #1	B	Dividend	L	T					
28. Vanguard Intermediate Term Bond Index Admiral	C	Dividend	L	T					
29. Vanguard Intermediate Term Tax Exempt Inv	A	Dividend	K	T					
30. Vanguard Limited Term Tax Exempt Adm	B	Dividend	M	T					
31. Vanguard Long-Term Investment Grade Inv.	C	Dividend			Merged (with line 32)	10/17/14	L		
32. Vanguard Long-Term Investment Grade Adm	B	Dividend	L	T					
33. Vanguard Long-Term Tax Exempt Fund Admiral	C	Dividend	L	T					
34. Vanguard Explorer Fund	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Vanguard Total Intl Stock Index	B	Dividend			Merged (with line 36)	10/17/14	L		
36. Vanguard Total Intl Stock Index Inst	A	Dividend	L	T					
37. Vanguard Prime Money Market #1	A	Dividend	J	T					
38. Vanguard Prime Money Market #2	A	Dividend	J	T					
39. Vanguard REIT Index Fund	B	Dividend			Merged (with line 40)	10/17/14	L		
40. Vanguard REIT Index Adm	B	Dividend	M	T					
41. Vanguard Extended Market	A	Dividend			Merged (with line 42)	10/17/14	L		
42. Vanguard Extended Market Index Inst	B	Dividend	M	T					
43. Vanguard Stock Market Index	B	Dividend			Merged (with line 44)	10/17/14	L		
44. Vanguard Total Stock Index Inst	A	Dividend	L	T					
45. Vanguard Target 2020 Fund IRA	B	Dividend	L	T					
46.	B	Distribution			Sold (part)	12/01/14	J	A	
47. Vanguard Target 2025 Fund IRA	A	Dividend	K	T	Buy	09/29/14	K		
48. Vanguard Tax-Managed Capital Appreciation Adm	B	Dividend	M	T					
49. Vanguard Developing Markets Index Admiral	C	Dividend	L	T					
50. Vanguard Tax-Managed Small Cap Adm	B	Dividend	M	T					
51. Vanguard U.S. Growth IRA	B	Dividend	K	T					

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52. Vanguard Wellington Admiral #1	D	Dividend	M	T					
53. Vanguard Windsor II Fund Admiral	E	Dividend	N	T					
54. M&T Bank Accounts	A	Interest	M	T					
55. John Hancock whole life ins. #1	D	Dividend	M	W					
56. John Hancock whole life ins. #2	D	Dividend	M	W					
57. Sun Life whole life ins. #1	A	Int./Div.	J	W					
58. Sun Life whole life ins. #2 (Y)									
59. Trust #1									
60. - Exxon Mobil common	C	Dividend	L	T					
61. - American Century Equity Income	C	Dividend	K	T					
62. - American Century Income & Growth	C	Dividend	K	T					
63. - DWS Strategic High Yield Tax Free Fund	B	Dividend	K	T					
64. - DWS Intermediate Tax/AMT Free Fund	A	Dividend	K	T					
65. - DWS Managed Municipal Bond Fund	B	Dividend	K	T					
66. - Franklin Templeton Class Z	D	Dividend	N	T					
67. - Hennessy Select Large Value FD Orig	B	Dividend	K	T					
68. - RBC Enterprise Fund Class I	E	Dividend	M	T					

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69. - Fidelity Gov't MM #1		None	J	T	Open	11/17/14	J		
70. Fidelity Gov't MM #2		None	J	T	Open	11/13/14	J		
71. Trust #2									
72. - Anglogold Ashanti LTD stock (X)		None	J	T					
73. - BAE Systems stock (X)	A	Dividend	J	T					
74. - BP Ord stock (X)	A	Dividend	J	T					
75. - BP PLC ADR stock (X)	A	Dividend	J	T					
76. - BT Group Ord stock (X)	A	Dividend	J	T					
77. - Columbia Acorn Fund-Z (X)	E	Dividend	M	T					
78. - Columbia Acorn Intl Fund-Z (X)	D	Dividend	M	T					
79. - Diageo Ord stock (X)	A	Dividend	K	T					
80. - Exxon Mobil common(X)	B	Dividend	M	T					
81. - Fidelity Intl Discovery (X)	A	Dividend	L	T					
82. - Fidelity New Markets Income (X)	B	Dividend	K	T					
83. - Fidelity Real Estate Inv (X)	B	Dividend	L	T					
84. - Fidelity Select Biotech (X)	D	Dividend	L	T					
85. - Fidelity Select Health Care (X)	D	Dividend	M	T					

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86. - Fidelity Strategic Inc (X)	B	Dividend	K	T					
87. - Fidelity Tax-Free Bond (X)	C	Dividend	M	T					
88. - Fidelity Value (X)	A	Dividend	K	T					
89. - Freeport McMoran Inc stock (X)	B	Dividend	K	T					
90. - Gabelli Equity (X)	B	Dividend	L	T					
91. - HSBC Holdings PLC ADR stock (X)	A	Distribution	J	T					
92. - Janus Overseas Fund Class T (X)	A	Dividend	L	T					
93. - Mercantile Investment Trust PLC (X)	A	Dividend	L	T					
94. - News Corp.stock (X)		None	J	T					
95. - Oakmark Equity & Income Fund (X)	D	Dividend	M	T					
96. - Public Service Enterprise Gp Inc stock (X)	B	Dividend	L	T					
97. - Schlumberger Ltd Com stock (X)	A	Dividend	L	T					
98. - Templeton Dragon Fund Inc (X)	B	Dividend	J	T					
99. - Transocean Ltd Com stock (X)	A	Dividend	J	T					
100. - T. Rowe Price Corporate Income (X)	C	Dividend	L	T					
101. - T. Rowe Price High Yield Fund (X)	B	Dividend	K	T					
102. - Twenty-First Century Fox Inc stock (X)	A	Dividend	J	T					

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103. - Unilever PLC stock (X)	B	Dividend	L	T					
104. - United Parcel Service common (X)	A	Dividend	J	T					
105. - Vanguard European Stock Ind F Adm (X)	B	Dividend	M	T					
106. - Vanguard High Yield Tax-exempt # 2 (X)	A	Dividend	K	T					
107. - Vanguard Intermediate-Term Tax Exempt Fund Admiral (X)	B	Dividend	M	T					
108. - Vanguard Pacific Stock Index F Adm (X)	A	Dividend	L	T					
109. - AstraZeneca Ord stock (X)	A	Dividend			Sold	08/26/14	K	A	
110. - Dow Chemical Co. common (X)	A	Dividend			Sold	08/27/14	M	B	
111. - Fidelity Maryland Municipal Income (X)	A	Dividend			Sold	09/02/14	L	A	
112. - Imperial Tobacco Group stock (X)	A	Dividend			Sold (part)	09/25/14	K		
113.					Sold	10/03/14	J		
114. - JPMorgan Chase & Co. common (X)	A	Dividend			Sold	08/27/14	K	B	
115. - Pfizer Inc. common (X)	A	Dividend			Sold	08/26/14	K		
116. -T. Rowe Price GNMA (X)	B	Dividend			Sold (part)	09/24/14	L		
117.					Sold	09/25/14	K		
118. - T. Rowe Price Inflation Protected Bond (X)	A	Dividend			Sold	09/12/14	K		
119. - Vanguard Windsor II (X)	A	Dividend			Sold	09/02/14	K	A	

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Date of Report

04/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Vanguard Wellington F Admiral # 2 (X)	B	Dividend			Sold (part)	09/24/14	L	B	
121.					Sold	09/25/14	L	A	
122. - Vanguard GNMA Fund Admiral (X)	A	Dividend			Sold	09/04/14	L	A	
123. - Columbia Acorn Intl Select Fund -Z (X)		None			Sold	09/08/14	K	A	
124. - Columbia Acorn Select Fund-Z (X)		None			Sold	09/02/14	K	B	
125. - Fidelity Tax-Free MM (X)	A	Dividend	J	T					
126. - Wells Fargo Bank Account (X)	A	Interest			Closed	11/26/14	K		
127. - Ing Bank Account (X)		None			Closed	06/06/14	J		
128. - M & T Bank Account		None	M	T	Open	07/14/14	J		
129. Fidelity Conservative (529 Plan #2) (X)		None	K	T					
130. Fidelity Moderate Growth Port (529 Plan #2) (X)		None	K	T					
131. Estate #1									
132. - Real Property, Wilmington, DE (X)		None			Sold	10/31/14	N	B	Jeff Davis
133. - Automobile (X)		None			Sold	10/27/14	J		Neil Lanctot
134. - Bank account, National Westminster Bank, England (X)		None			Closed	09/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII. On June 4, 2014, [REDACTED] I was the successor trustee [REDACTED], successor custodian on a 529 plan, and executor of the [REDACTED] estate. In regard to Part VII, lines 20 and 21, on May 16, 2014, T.Rowe Price Summit GNMA (PRSUX) was merged into T.Rowe Price GNMA (PRGMX) in a non-taxable transaction. In regard to Part VII, lines 25 and 26, 31 and 32, 35 and 36, 39 and 40, 41 and 42, 43 and 44, due to a plan conversion, there were non-taxable transactions where the existing funds were converted into different versions of the same funds. In regard to Part VII, line 49, at some point in 2014, the fund previously known as Vanguard Tax-Managed International changed its name to Vanguard Developing Markets Index. In regard to Part VII, line 58, the insured died in 2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard G. Andrews**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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