

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Jones, Steve C.	2. Court or Organization United States District Court, Northern District of Georgia	3. Date of Report 11/6/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge-Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☐ Annual ☒ Amended Report ☐ Date ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 1967 United States Courthouse 75 Ted Turner Drive, S.W. Atlanta, Georgia 30303-3309		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	University of Georgia Athletic Association Board
2. Managing Trustee	University of Georgia Foundation
3. Board Member	Russell Foundation
4. Board Member	Athens Community Foundation
5. Board Member	Georgia Chief Justice Commission on Professionalism
6. Advisory Board Member	UGA Hall of Fame Chapter, National Football Foundation
7. Member(Ex Officio) Trustee	Athens Academy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	Steve C. Jones & Georgia Judicial Retirement System, Pension upon age 60 and minimum of 10 years of service.
2. 1978	Steve C. Jones & Unified Government of Athens-Clarke County, Georgia, Pension upon retirement at age 62, with eligibility for receipt of early benefits age 56.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Unified Government of Athens-Clarke County, Georgia, fixed benefits from vested pension plan.	\$11,496.00
2. 2017	Georgia Judicial Retirement System	\$80,832.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Self-Employed Seamstress
2. 2017	Clarke County School District(Retirement Compensation)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Institute For Continuing Legal Education	09-15-2017	Amelia Island, Florida	Participation on IP Panel	Transportation, Meals & Hotel.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	University of Georgia Athletic Association	2017 Football Tickets (170.00 Notre Dame, 120.00 Auburn, 300.00 Season Tickets).	\$590.00
2.	██████████ (sister of spouse)	cash to spouse	\$4,800.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	Mortgage on rental property #1 (Athens, GA. partVII, line 1	L
2.	Weels Fargo	Mortgage on rental property #2 (Athens, GA.); Part VII, Line 2	K
3.	SoFi	Personal Loan- creditor	L
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1 Athens, GA.	D	Rent	M	W					
2. Rental property #2 Athens, GA	D	Rent	L	W					
3. Rental property #5 Athens, GA.	D	Rent	L	W					
4. Rental property #6 Athens, GA	D	Rent	L	W					
5. Rental property #7 Athens, GA	D	Rent	M	W					
6. Rental property #8 Athens, GA	D	Rent	M	W					
7. Athens First IRA (CD holding)	A	Interest	J	T					
8. Sun TrustBank (X)	A	Interest	J	T					
9.									
10. VALIC Fixed Account Plus	A	Interest	K	T					
11. Short Term Fund Account	A	Interest	N	T					
12. Clarke Co Bd of Ed 457-Plan- 100% Fixed Account Plus	A	Interest	L	T					
13. VOYA Financial	B	Interest	L	T					
14. Invesco American Franchise Fund, Class C	A	Dividend	J	T					
15. IRA									
16. Blackrock Strategic, INC	C	Dividend	K	T					
17. Fidelity Advisor Strategic, Inc.	D	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,001 - \$500,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. PIMCO Income Fund CLC	E	Dividend	K	T					
19. Ivy High Income	D	Dividend	K	T					
20. JP Morgan Income Builder Fund Class C	D	Dividend	K	T					
21. American Capital Income Builder CLC	D	Dividend	K	T					
22. Franklin Rising Dividends CLC	C	Dividend	J	T					
23. Fidelity Advisor New Insights CLC	D	Dividend	K	T					
24. Invesco Convertible Securities	D	Dividend	L	T					
25. Commercial Bank- CD	A	Interest	J	T					
26. Synovus - Property Account (X)	D	None	J	T					
27.									
28.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Rental property #4 in Compton, CA is no longer rental property. (Section 7- Investments and Trust)

Part 7: Line 26, Synovus Account is a checking account which pays no interest.

The IRA, in Part VII, line 27 should have been listed above lines 16 -24 in Part VII. The individual assets in Part VII, lines 16-24, are the individual assets, bonds, and stocks that are a part of the IRA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Steve C. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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