AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Waddoups, Clark	U.S. District Court, Utah	11/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2012 **to** 12/31/2012
7. Chambers or Office Address		
110 Frank E. Moss Federal U.S. Courthouse 350 South Main Street Salt lake City, Utah 84111		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Waddoups, Clark	11/07/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Key Bank Checking	A	Interest	J	T					
2. Mass Mutual-universal policy		None	J	T					
3. Mass Mutual-whole life		None	J	T					
4. 1365 Roosevelt LLC		None	L	W					
5. 1876 Wyoming LLC		None	K	W					
6. Batteries & Bands-common stock		None			Donated				
7. MassMutual RetoreEase Ammiotu	F	Distribution	O	T					
8. MassMutual Odyssey	E	Distribution	N	T					
9. Parr Brown Profit Sharing Plan (401k)		None	J	T					
10. Chase Bank	A	Interest	J	T					
11. Ishares Barclays 7-10 Yr Treasury Bond		None	J	T					
12. Ishares Barclays 1-3 Yr Treasury Bond		None			Distributed	12/31/12	J		Roll over to Premiere IRA
13. Ishares Barclays Intermediate Cr DB FD		None			Distributed	12/31/12	J		Roll over to Premiere IRA
14. Ishares Barclays 1-3 Yr DC BD FD		None			Distributed	12/31/12	J		Roll over to Premiere IRA
15. Ishares Trust 10+ Year Credit Bond fund		None			Distributed	12/31/12	J		Roll over to Premiere IRA
16. Market Vectors ETF TR Emerging Mkt		None			Distributed	12/31/12	J		Roll over to Premiere IRA
17. Prime fund Captial Reserve Class		None			Distributed	12/31/12	J		Roll over to Premiere IRA

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Waddoups, Clark	11/07/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. SPDR Ser TR Barclays Cap High Yield BD		None			Distributed	12/31/12	J		Roll over to Premiere IRA
19. Vanguard Long Term Corp Bond ETF		None			Distributed	12/31/12	J		Roll over to Premiere IRA
20. Vanguard BD Index FD Inc Total BD Mkt		None	J	T	Buy	12/31/12	J		
21. National Financial Services: Bank Deposit Sweep* Program		None	J	T	Buy	12/31/12	J		
22. Amana Mutual Fund Trust Growth		None	J	T	Buy	12/31/12	J		
23. First Eagle Global Class A		None	J	T	Buy	12/31/12	J		
24. Homestead Small Co. Stock FD		None	J	T	Buy	12/31/12	J		
25. Ishares Barclays Treas Inflation		None	J	T	Buy	12/31/12	J		
26. Ivy Limited Term BD Fund Class Y		None	J	T	Buy	12/31/12	J		
27. Pimco Tital Return Class D		None	J	T	Buy	12/31/12	J		
28. SPDR BD Index FD Inc Total BD Market		None	J	T	Buy	12/31/12	J		
29.									
30.									
31.									
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII Investments, items 12 through 19 were all held in Premier Select, Nantionl Financial Services Inc. an IRA account. The value of each item is listed as Value Code J/$15,000 or less. The combined value of the account is Value Code K/$15,001 to $50,000. These distributions are changes in specific investments that were replaced by items 20 through 28. They are held in the same Premier select, National financial Services Inc. IRA account. The investments are those held as of 12/31/12. The dates of the individual transcations are not reported on my year end statement and may be different for each transaction. I have reported the transaction date as the last date of 2012, which is the most complete information I have. Item 6 was dispossed of as a gift

* These transactions involve less than $1,000, but I have reported them for completeness.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Clark Waddoups**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544